Intrinsic coupling modes reveal the functional architecture of cortico-tectal networks

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In the absence of sensory stimulation or motor output, the brain exhibits complex spatiotemporal patterns of intrinsically generated neural activity. Analysis of ongoing brain dynamics has identified the prevailing modes of cortico-cortical interaction; however, little is known about how such patterns of intrinsically generated activity are correlated between cortical and subcortical brain areas. We investigate the correlation structure of ongoing cortical and superior colliculus (SC) activity across multiple spatial and temporal scales. Ongoing cortico-tectal interaction was characterized by correlated fluctuations in the amplitude of delta, spindle, low gamma, and high-frequency oscillations (>100 Hz). Of these identified coupling modes, topographical patterns of high-frequency coupling were the most consistent with patterns of anatomical connectivity, reflecting synchronized spiking within cortico-tectal networks. Cortico-tectal coupling at high frequencies was temporally parcellated by the phase of slow cortical oscillations and was strongest for SC-cortex channel pairs that displayed overlapping visual spatial receptive fields. Despite displaying a high degree of spatial specificity, cortico-tectal coupling in lower-frequency bands did not match patterns of cortex-to-SC anatomical connectivity. Collectively, our findings demonstrate that neural activity is spontaneously coupled between cortex and SC, with high- and low-frequency modes of coupling reflecting direct and indirect cortico-tectal interactions, respectively.

INTRODUCTION

Rather than remaining entirely inactive in the absence of sensory stimuli or motor output, the brain displays complex spatiotemporal patterns that are more generally described as "ongoing activity" (1–3). For a long time, ongoing activity was thought of as a form of neural noise, which was the net product of random fluctuations in neural networks. However, more recent studies have shown that the dynamics of spontaneously generated neural activity can be informative about the functional organization of large-scale brain networks (4–6), revealing intrinsically generated "coupling modes" at multiple spatial and temporal scales (2, 3). Converging evidence from both noninvasive and invasive approaches has led to the identification of the most prominent spectral features of ongoing large-scale functional interaction (3, 4, 7–9). However, for the most part, the study of ongoing brain dynamics has been heavily limited to measures of cortico-cortical functional coupling (5, 10, 11). Whether the result of an overly cortico-centric focus of the field (12), or because of technical limitations of commonly used experimental procedures, there have been relatively few studies on the role that subcortical structures play in the generation of intrinsically organized large-scale neural dynamics.

The superior colliculus (SC) presents itself as an interesting model to study the ongoing dynamics of cortical-subcortical functional interaction because it receives dense inputs from a wide range of sensory and motor cortical areas (13). In addition, the SC is indirectly connected to the cortex via other subcortical structures such as the pulvinar and lateral geniculate nucleus (14–17). Here, we hypothesize that neural activity in the cortex and SC is intrinsically coupled and that functional interaction along bottom-up (SC-to-cortex) and top-down (cortex-to-SC) pathways is conveyed through distinct physiological frequency bands. To test this hypothesis, we recorded spiking activity and local field potentials (LFPs) from all layers of the SC and visual cortex while simultaneously sampling LFPs from the entire posterior cortex using a custom-designed microelectrode array (μECoG; Fig. 1). We identified cortico-tectal functional coupling modes spanning several physiological carrier frequencies. High-frequency amplitude envelope correlation was strongly related to the correlated spiking activity of SC and cortex neurons, and mirrored patterns of anatomical connectivity, whereas lower-frequency coupling appeared less related to structural connectivity. Cortico-tectal functional interaction in the high-frequency band was parcellated by the phase of slow cortical oscillations, reflecting the subcortical entrainment of ongoing activity to cortical “up” and “down” states. As the first demonstration of the large-scale correlation structure of ongoing cortico-tectal neural activity, these findings highlight that the functional architecture of large-scale networks in the brain can be resolved through the correlation analysis of ongoing neural dynamics.

RESULTS

Large-scale correlation structure of cortico-cortical and cortico-tectal interactions

Before assessing the dynamics of simultaneously recorded SC and cortical activity, we first wanted to identify the spectral signatures that define cortico-cortical functional connectivity under isoflurane anesthesia (for average ongoing power spectra of SC, intracortical, and μECoG recording sites, see fig. S1). To this end, we computed the correlation of band-limited signal amplitudes between all possible combinations of μECoG recording contacts. Figure 2A illustrates the strength of amplitude correlation for each carrier frequency as a function of...
Cortical amplitude correlation spectra, global cortico-tectal amplitude for cortico-tectal functional connectivity analysis (frequencies over larger distances). Correlated for slow (~0.7 Hz), delta (~3 Hz), and spindle (~11 Hz) characteristics, with spontaneous amplitude fluctuations strongly m.

Displaying minimal inter-electrode amplitude envelope correlation, suggesting that neural data could be acquired from both superficial (blue) and deep (green) layers of the SC simultaneously. Correlation topographies 50 times to generate a surrogate correlation distribution, and then expressed true spatial correlation across all frequencies in terms of SD of the surrogate distribution (z score). None of the identified delta (sSC z-scored correlation = -0.92; dSC z-scored correlation = -1.12), spindle (sSC z-scored correlation = 0.11; dSC z-scored correlation = -0.02), or gamma (sSC z-scored correlation = -1.73; dSC z-scored correlation = -1.72) frequency bands displayed coupling profiles that matched anatomical connectivity patterns. However, despite displaying quantitatively weaker amplitude envelope correlation, coupling in frequencies above 100 Hz mirrored anatomical connectivity for both superficial (z-scored correlation = 0.92; P = 0.055) and deep (z-scored correlation = 2.97, P = 0.003) SC layers (Fig. 2C). These results suggest that coupling in the identified delta, spindle, and gamma frequency bands reflects indirect modes of cortico-tectal functional interaction, whereas coupling in high frequencies likely reveals direct cortico-tectal communication.

**Correlated fluctuations in high-frequency LFPs reflect cortico-tectal structural connectivity**

We next investigated the dynamic relationship between simultaneously recorded high-frequency (>120 Hz) LFP components in the SC and cortex. Figure 3A displays a short epoch of co-recorded SC, intracortical, and μECoG data from an example experiment. Amplitude envelopes of high-frequency signals displayed spontaneous bursting-like activity patterns that appeared to occur synchronously between the SC and the cortex. To visualize the corticocortical connectivity findings from μECoG LFPs, we repeated the same amplitude envelope correlation analysis between intracortical recording sites and μECoG contacts (Fig. 2A). Indeed, intracortical-μECoG amplitude envelope correlation displayed the same spectral characteristics, with spontaneous amplitude fluctuations strongly correlated for slow (~0.7 Hz), delta (~3 Hz), and spindle (~11 Hz) frequencies over larger distances.

Because the origin of cortical afferent inputs varies between superficial and deep SC layers (18), we used current source density analysis to separate SC recording contacts into superficial and deep zones (fig. S2) for cortico-tectal functional connectivity analysis (19). Reflecting cortico-cortical amplitude correlation spectra, global cortico-tectal amplitude envelope correlation was characterized by peaks in the delta, spindle, and low gamma (30 to 45 Hz) frequencies (Fig. 2A, bottom). Cortico-tectal coupling in the delta range displayed a patchy cortical topography, whereas spindle coupling was widespread with a peak in parietal cortical areas (Fig. 2B). Coupling in the low gamma band displayed a more spatially specific cortical topography, with a clear peak in parietal areas (Fig. 2B). Given that anatomical connectivity defines the structural framework upon which neural dynamics is superimposed, functional connectivity measures reflecting direct cortico-cortical interaction should overlap with patterns of monosynaptic anatomical connectivity. To test this, we computed the spatial correlation of cortico-tectal coupling topographies with the distribution of SC-projecting neurons across the cortex (Fig. 2C). Cortico-tectal anatomical connectivity data were adapted with permission from Manger et al. (13). To estimate the significance of anatomy/physiology spatial correlation, we randomly scrambled amplitude correlation topographies 50 times to generate a surrogate correlation distribution, and then expressed true spatial correlation across all frequencies in terms of SD of the surrogate distribution (z score). None of the identified delta (sSC z-scored correlation = -0.92; dSC z-scored correlation = -1.12), spindle (sSC z-scored correlation = 0.11; dSC z-scored correlation = -0.02), or gamma (sSC z-scored correlation = -1.73; dSC z-scored correlation = -1.72) frequency bands displayed coupling profiles that matched anatomical connectivity patterns. However, despite displaying quantitatively weaker amplitude envelope correlation, coupling in frequencies above 100 Hz mirrored anatomical connectivity for both superficial (z-scored correlation = 0.92; P = 0.055) and deep (z-scored correlation = 2.97, P = 0.003) SC layers (Fig. 2C). These results suggest that coupling in the identified delta, spindle, and gamma frequency bands reflects indirect modes of cortico-tectal functional interaction, whereas coupling in high frequencies likely reveals direct cortico-tectal communication.

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SC-intracortical recording sites. Figure 4 displays the average cortical topography of high-frequency LFP amplitude correlations from superficial SC to mECoG (Fig. 4A, left) and deep SC to mECoG channel pairs (Fig. 4A, middle). Superficial SC recording sites were correlated with mECoG contacts distributed over the entire visual cortex, with strongest correlation in cortical area 18 (amplitude correlation = 0.021 ± 0.003 SEM), slightly weaker correlation in higher visual (SSY: suprasylvian area, 0.014 ± 0.003) and posterior parietal areas (PPc: 0.012 ± 0.002), and almost no correlation in auditory cortical areas (0.005 ± 0.002) (Fig. 4B, left). In contrast, deep SC layers displayed amplitude correlation effects with a wider range of cortical areas, with strongest correlation to visual area 21 (0.022 ± 0.004 SEM) and posterior parietal areas (PPc = 0.021 ± 0.003, PPr = 0.022 ± 0.003) (Fig. 4B, middle). The cortical topography of deep SC to mECoG high-frequency correlation extended from early visual areas, through higher visual and multisensory areas along the suprasylvian gyrus toward somatosensory cortex, and reflecting the data presented in Fig. 2C, was significantly correlated with the topography of cortico-tectal anatomical connectivity (Fig. 4A, right; P = 0.003).

To assess cortico-tectal amplitude correlation effects systematically across SC layers, we aligned all penetrations to the current source
density inflection depth (see fig. S2) and then computed the percentage of recording sites that were significantly correlated with cortex at each depth (Fig. 4C). Cortico-tectal amplitude correlation effects displayed a clear depth dependency in the SC, with correlation being lower in upper superficial layers and gradually increasing with depth to peak in intermediate layers, before fading again in the deepest layers (for cortical depth profile, see fig. S5).

**High-frequency LFP amplitude correlation reflects correlated cortico-tectal spiking activity**

We reasoned that correlated fluctuations of high-frequency extracellular fields between SC and cortex might reflect, to a large extent, the synchronous spiking activity of neurons across cortico-tectal networks. To test whether SC spiking occurred synchronously with fluctuations in high-frequency µECOG amplitude, we computed SC spike-triggered average (STA) spectrograms based on µECOG signals. Figure 5A displays an example recording, with SC STA spectra shown for µECOG contacts that displayed both weak and strong high-frequency amplitude envelope correlation, respectively. There was little observable change in µECOG signal power locked to the timing of SC spikes for the channel pair that displayed weak amplitude correlation. In contrast, the SC-µECOG channel pair that displayed strong amplitude correlation showed a broadband increase in the power of µECOG frequencies above 10 Hz locked to the timing of SC spiking activity (Fig. 5A). Generalizing from the example shown in Fig. 5A, SC-µECOG channel pairs displaying significant high-frequency coupling showed increased STA µECOG power in frequencies above 10 Hz locked to the timing of SC spikes (Fig. 5B). In addition, the magnitude of cortico-tectal amplitude correlation was tightly coupled to the STA power of high-frequency cortical signals across all SC-µECOG channel pairs \( (r = 0.77, P < 0.0001), \) indicating that spontaneous fluctuations of high-frequency µECOG signal amplitude occur synchronously with spiking activity in the SC (Fig. 5C).

To confirm the neurophysiological origin of high-frequency cortico-tectal amplitude correlation, we next investigated the relationship between LFP amplitude envelope correlation and cortico-tectal spike-spike correlations. Figure 5D displays spike cross-correlation histograms for two SC-intracortical channel pairs that display strong and weak amplitude correlation, respectively. The correlated channel pair shows a large peak centered at 0 ms in the cross-correlation histogram, indicating that these neurons fire synchronously. In contrast, the uncorrelated channel pair showed little or no structure in the spike cross-correlation histogram, indicating that these co-recorded neurons fire independently. Across all SC-intracortical channel pairs, the strength of high-frequency amplitude correlation was significantly correlated with the probability of synchronized cortico-tectal spiking \( (r = 0.57, P < 0.0001); \) Fig. 5E). To test whether this effect was specific for high-frequency coupling, we repeated the same analysis across all frequencies (Fig. 5F). Indeed, this analysis confirmed that high-frequency amplitude envelope correlation specifically tracks the synchronized spiking activity of co-recorded neuron populations, with coupling at frequencies below about 100 Hz displaying weak correlation with coordinated spiking activity.

**High-frequency LFP amplitude correlation during sustained visual stimulation**

To investigate how patterns of cortico-tectal LFP amplitude correlation change after the application of an external driving force (that is, sensory stimulation), we performed amplitude correlation analysis on neural activity induced by drifting grating stimulation. To ensure that we analyzed only sustained activity throughout stimulation, high-frequency amplitude correlation was computed in the time window from 100 ms after grating onset, up until the offset of stimulation. In the SC, drifting gratings typically evoked large power increases in frequencies above 80 Hz (Fig. 6A), whereas µECOG responses displayed power increases in a broader range of frequencies above 40 Hz (Fig. 6A). Across all SC-µECOG electrode pairs, there was a strong correlation between the strength of spontaneous and stimulus-driven high-frequency (>120 Hz) amplitude correlation \( (r = 0.49, P < 0.001), \) indicating that patterns of cortico-tectal functional connectivity were generally preserved across spontaneous and stimulus-driven conditions. However, the strength of amplitude correlation during visual stimulation was significantly weaker than spontaneous correlation (Fig. 6A; number of data points below 1:1 dotted line, \( P < 0.001, \) sign test). This result suggests that dynamic fluctuations of SC neural activity are more dependent on cortical inputs during ongoing activity, whereas bottom-up retinal inputs and intrinsic network properties may play a larger role in shaping the dynamics of SC activity during visual stimulation.

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**Fig. 3. High-frequency LFP amplitude envelopes are correlated between cortex and SC. (A) Simultaneously recorded SC, intracortical, and µECOG signals from one example recording session. No relationship between signals is immediately visible upon inspection of LFPs (top). However, high-frequency amplitude envelopes display burst-like fluctuations that appeared to occur synchronously. (B) Cortical topography of high-frequency amplitude envelope correlation for the seed SC electrode displayed in (A). Note the region-specific amplitude correlation for lateral visual and suprasylvian cortical areas. (C) Cortical topography of high-frequency amplitude envelope correlation for the intracortical recording site displayed in (A). Note the presence of correlated high-frequency activity in cortical regions immediately surrounding the position of the intracortical recording site (marked as *). (D) Strength of high-frequency amplitude correlation between all SC and intracortical channel pairs. Note the presence of a cluster of correlated channels in the center of the SC probe and the lower third of the intracortical probe.**
Correlated cortico-tectal recording sites display similar visual spatial receptive fields

We next tested whether SC-µECoG channel pairs identified through high-frequency LFP amplitude envelope correlation analysis displayed similar visual spatial receptive fields. Because previous anatomical studies have shown that visual cortical neurons project to areas of the SC with corresponding retinotopic representations (20), we reasoned that spontaneously correlated cortico-tectal recording sites should display similar spatial receptive fields. Figure 6B displays SC and µECoG visual spatial receptive fields from an example channel pair that exhibited significant
Despite the SC receptive field being smaller than the μECoG receptive field, both recording sites responded to stimuli in similar locations in the visual field. To quantify the similarity of SC and μECoG spatial receptive fields (RF), we computed the correlation coefficient between the two-dimensional visual spatial response matrices (Fig. 6B). Spontaneously coupled SC-μECoG channel pairs showed significantly stronger similarity of visual spatial receptive fields (amplitude correlated: mean RF correlation = 0.46 ± 0.22 SD, amplitude uncorrelated: mean RF correlation = 0.27 ± 0.25 SD, P < 0.0001; Fig. 6B). These results confirm that functionally coupled cortico-tectal recording sites display similar visual receptive fields, as predicted by anatomical connectivity.

**Correlated cortico-tectal activity is temporally coordinated by slow oscillations**

To further investigate the temporal dynamics of cortico-tectal functional connectivity, we computed the phase-locking value (PLV) of
SC spiking activity to cortical oscillations. In general, SC spiking activity was strongly modulated by the phase of cortical oscillations at a frequency of about 0.8 Hz (Fig. 7A, left), matching the reported frequency of the slow cortical oscillation (21, 22). However, functionally coupled SC-μECoG channel pairs displayed significantly stronger phase locking to slow cortical oscillations than did uncorrelated channel pairs (correlated: PLV = 0.09 ± 0.002 SEM, uncorrelated: PLV = 0.05 ± 0.001, P < 0.01). Cortico-tectal slow oscillatory spike-phase locking was specific for endogenous cortical slow oscillations and displayed no temporal dependency to the frequency of artificial ventilation (fig. S6). In contrast to cortico-tectal spike-phase locking, SC spiking activity displayed significant phase locking to local oscillations at a frequency of about 10 Hz (PLV = 0.055 ± 0.002 SEM, P < 0.01) (Fig. 7A, right).

To quantify the relationship between SC and cortical oscillations by an additional coupling measure that takes phase into account, we computed the cross-frequency phase-amplitude coupling between SC and μECoG recording sites (see fig. S7). This measure quantifies the strength with which the amplitude of SC LFP oscillations is modulated by the phase of μECoG signals across all possible frequency-frequency combinations. Figure 7B displays population-averaged cross-frequency phase-amplitude spectrograms for SC-μECoG channel pairs that display significant (left) and insignificant (middle) high-frequency amplitude correlation, respectively. Cross-frequency coupling for correlated SC-μECoG channel pairs was characterized by the strong modulation of SC activity above 8 Hz by the phase of slow cortical oscillations. In contrast, uncorrelated SC-μECoG channel pairs displayed comparatively weak cross-frequency coupling (Fig. 7B, middle). The difference in cross-frequency spectra between significantly correlated and uncorrelated SC-μECoG channel pairs reveals that the phase of slow cortical oscillations specifically modulates the amplitude of SC oscillations for functionally coupled cortico-tectal recording sites (Fig. 7B, right; P < 0.01, Bonferroni-corrected).
activity was strongest on those channels that were defined as the slow oscillation phase where high-frequency spike-phase histograms were centered on the phase of cortical up states, computing spike-phase histograms across all SC layers. Slow oscillation preference of SC spiking activity to slow cortical oscillation phase by channel depth (Fig. 8C). In addition to displaying stronger modulation by slow cortical oscillations, spiking activity in intermediate layers also occurred at an intermediate phase in raw data, Fig. 8A displays a raster plot of spontaneous SC spiking activity plotted with a simultaneously recorded electrocorticogram. Gabor wavelet analysis was used to identify narrow-band activity that is band-pass filtered in the slow oscillatory frequency range (0.5 to 1.2 Hz). Spiking activity of SC and cortical neurons. Correlated cortico-tectal neural activity. We identified several distinct cortico-tectal coupling modes spanning different carrier frequencies, with amplitude envelope correlation in low gamma and high frequencies likely reflecting modes of indirect and direct communication, respectively. Patterns of high-frequency amplitude correlation specifically matched the topography of anatomical connectivity. We demonstrated that such coincident fluctuations in high-frequency LFPs tracked the synchronized spiking activity of SC and cortical neurons. Correlated cortico-tectal neural activity was temporally coordinated by the phase of slow cortical oscillations, reflecting the subcortical propagation of cortically generated up states. Together, these findings reveal the multiple temporal and spatial scales of cortico-tectal functional interaction, and highlight the rich information content of high-frequency LFPs measured by electrocorticogram.

**Cortico-tectal coupling modes**

Reflecting the multiple temporal scales of cortico-cortical functional interaction, our results confirm the existence of several cortico-tectal
coupling modes with distinct carrier frequencies. From these identified coupling modes, amplitude envelope correlation in the low gamma and high frequencies displayed the most spatially specific cortico-tectal interaction, with peaks in parietal and visual/suprasylvian cortical areas, respectively. Indeed, reflecting the inherent link between structural connectivity and functional interaction, we found that the spatial topography of cortico-tectal high-frequency amplitude correlation is in agreement with previously identified patterns of anatomical connectivity (13), with superficial layers receiving mostly visual cortical inputs, whereas deep layers receive inputs from a wider range of cortical areas (18). The strong correspondence between anatomical connectivity and amplitude correlation topographies suggests that high-frequency coupling reflects direct cortex-to-SC communication. In contrast to high frequencies, functional interaction in delta, spindle, and low gamma frequencies displayed spatial topographies that were inconsistent with direct cortico-tectal anatomical connectivity. Because the SC is highly interconnected with other subcortical structures such as the substantia nigra (23, 24), pulvinar (14, 16, 17), ventral lateral geniculate nucleus (15, 25), and lateral posterior nucleus (26), it is likely that coupling measured in these frequency bands reflects indirect cortico-tectal interaction mediated via other subcortical structures. In line with this, the observed disparity in cortico-tectal interaction across different carrier frequencies may more generally reflect the varying biophysical time constants of mono- and multisynaptic modes of transmission in the brain (27). Low-frequency amplitude correlation exhibits a longer temporal window of integration and can therefore integrate information spanning several synapses along indirect cortico-tectal pathways (28, 29), explaining the divergence from maps of monosynaptic anatomical connectivity. In contrast, high-frequency amplitude correlation more likely represents rapid fluctuations transmitted across short synaptic distances (27–29) and therefore adheres to patterns of direct cortico-tectal anatomical connectivity. Studies in primates have identified an alternative ascending visual pathway that passes from the retina, through superficial layers of the SC to the pulvinar, and terminates in dorsal visual stream areas MT and V3 (14, 30). Functionally, this pathway is believed to mediate the phenomenon of blindsight, because it remains intact after early-life primary visual cortex lesions (31). Therefore, unlike high-frequency modes of direct cortex-to-SC communication, amplitude envelope coupling in lower-frequency bands may reflect the intrinsic network dynamics of an extrageniculate ascending visual pathway. Alternatively, low-frequency cortico-tectal coupling may reflect a more general, brain-wide form of coordinated activity, similar to resting-state networks identified in human studies (4). Nevertheless, the topological disparity between low- and high-frequency cortico-tectal coupling modes suggests that independent and complementary information about functional interaction is conveyed through different physiological carrier frequencies.

**Comparison with other species**
The interaction between the SC and cortex, or optic tectum and cortex in nonmammalian species, has been extensively studied in the past few decades (32–34). Although the tectum is highly conserved across vertebrate
species, its relative size and precise functionality change dramatically across phylogeny. For example, the optic tectum represents one of the largest and most important visual structures in the avian brain (35), whereas in primates, the cortex has subsumed many of the tectum’s previous functions, with the SC only occupying a small fraction of the brain’s entire volume (36). Despite such anatomical and functional variance across species, our findings on cortico-tectal interaction in the ferret have some interesting parallels with previous work in owls and pigeons. Marin et al. (33) showed in pigeons that the optic tectum and entopallidum (extrastriate cortex) were synchronized in the low gamma band and that synchrony was selectively routed to cortex via the rotundum (pulvinar) in a bottom-up attention network. These findings in pigeons place the observed envelope coupling between SC and parietal cortex in the low gamma band into a broader and more functionally relevant context. Indeed, the dense reciprocal connectivity between SC and pulvinar provides the anatomical substrate for such indirect cortico-tectal interaction in the low gamma band (13, 14, 16, 17), indicating that such a bottom-up attention network might also involve the SC in mammalian species. Similar to our findings, previous studies have reported gamma activity in the intermediate layers of the optic tectum in barn owls, chicks, and pigeons (37–39). These studies demonstrated that the intermediate layer 10 is the likely source of gamma activity, which is then propagated to superficial and deep layers, displaying a striking resemblance to depth patterns of high gamma activity reported here (Fig. 8C). Therefore, despite displaying immense phylogenetic variation, it appears that many spectral signatures of tectal function are highly conserved between mammalian and avian species.

Uncovering networks through analysis of ongoing activity
To our knowledge, this study represents the first attempt at investigating the large-scale spatiotemporal structure of spontaneous cortico-tectal interaction; therefore, to place our results into context, we must look to previous studies investigating different brain areas. Our results are most similar to a study performed by Fukushima and colleagues (40), which showed that high-frequency μECOG signals in awake monkey auditory cortex display spatial covariations in a manner that is reflective of the underlying tonotopic map of the auditory cortex. Revealing a similar functional organization of the primary visual cortex of the cat, Kenet and co-workers (41) showed using voltage imaging that the spatiotemporal structure of spontaneous neural activity was highly correlated to the underlying orientation map. Aside from reflecting the functional organization or brain regions, large-scale spatiotemporal fluctuations of spontaneous neural activity have also been shown to explain a large degree of trial-to-trial variability of sensory-evoked responses in primary visual cortex (1). However, unlike the abovementioned studies, which report the correlation structure of indirect measures of neural activity (that is, surface potentials and voltage-sensitive dye), we report here a direct link between the spontaneous correlation structure of high-frequency extracellular fields and the synchronized spiking activity of widely distributed neurons. Similar to our findings, Nir and co-workers showed that time-to-time variations in neuronal firing rate are strongly correlated to power modulations of high-frequency extracellular fields (40 to 100 Hz) between interhemispheric recording sites in the human auditory cortex (10). Other groups have investigated the correlation structure of intrinsically generated brain activity using functional magnetic resonance imaging (fMRI), which tracks the slow modulations of blood oxygen level–dependent (BOLD) signals throughout the brain (42). Similar to our findings, Vincent and co-workers showed that spontaneous BOLD fluctuations in the anesthetized monkey brain are correlated between anatomically connected cortical regions (43). Because BOLD signals track slower hemodynamic responses of brain regions (an indirect measure of neural activity), together, these studies reveal that functional brain organization can be delineated at both very slow and very fast temporal scales. Collectively, amplitude correlations in neural activity measured electrophysiologically or through fMRI can be more generally described as envelope intrinsic coupling modes (3). Although only demonstrated in cortico-tectal networks here, we propose the correlation analysis of spontaneous high-frequency amplitude envelopes as a useful tool for elucidating direct functional connectivity in high-throughput invasive recording paradigms.

SC activity couples to cortical slow and thalamic spindle oscillations
As shown here, the cortical slow oscillation temporally regulates correlated cortico-tectal activity under anesthesia. Slow cortical oscillations are generated locally within cortical layer 5 and subsequently propagate to other granular and supragranular cortical layers (21, 44, 45). Under normal physiological circumstances, slow cortical oscillations are the defining feature of slow wave sleep (46); however, they are also present under anesthesia, with the emergence of slow cortical oscillations representing the strongest physiological correlate of the loss of consciousness after the induction of propofol anesthesia (47). Because projection neurons in cortical layer 5 are strongly activated during up states of the slow oscillation (45, 48, 49), it is perhaps unsurprising that the downstream target neurons of these cells fire preferentially during cortical up states. Previous studies have also shown that neural activities in the basal ganglia (50), thalamus (51), cerebellum (52), and brainstem (53) are locked to the phase of the slow cortical oscillation during slow wave sleep and anesthesia. Indeed, these results highlight the strong entrainment capacity of slow cortical oscillation up states on downstream subcortical networks. However, local spindle-like activity in the SC was also locked to the phase of cortical slow waves, displaying a strikingly similar cross-frequency phase-amplitude relationship as thalamically generated spindles (21, 22, 44). Together, the entrainment of SC activity to thalamocortically coordinated sleep oscillations may suggest that the SC plays some role in regulation of neural activity during sleep (54). Alternatively, coupling in slow and spindle oscillatory frequencies may be induced by isoflurane anesthesia, which, through its action on GABA_A (γ-aminobutyric acid type A) receptors, greatly enhances cortical slow oscillations (55). Further studies in chronically implanted animals are needed to fully elucidate any sleep function of the SC.

High-frequency LFP components
Despite the transmembrane potential being one of the most informative neurophysiological signals in the brain, limitations of large-scale electrophysiological recording techniques have required that investigators infer intracellular processes from the less informative extracellular field, as measured by LFPs. The reason that there has been an expanding interest in higher-frequency components of extracellular fields is that these signals have been shown to track the spiking activity of neurons (56–58) and the trial-to-trial behavioral performance of ECoG-implemented epilepsy patients (59). Indeed, a recent study by Khodagholy et al. (60) showed that the spiking activity of individual superficial cortical neurons can be detected by recording cortical surface potentials with sufficiently small electrodes (10 × 10 μm²). In addition to reflecting Na⁺...
action potentials, high-frequency cortical surface potentials result from the superposition of several ionic processes that coincide with neuronal firing, such as synaptic currents, calcium spikes, spike afterhyperpolarizations, and intrinsic currents and resonances (58, 61). Thus, although spiking activity correlates with high-frequency μECoG activity, the relative contribution of the abovementioned ionic processes to the composition of high-frequency LFP signals reported in this study remains unclear. Nevertheless, our results extend on previous work by illustrating that coincident fluctuations in high-frequency signals between areas reflect the synchronized spiking activity of widely distributed neuronal populations. Indeed, this finding has strong implications for human ECoG studies in particular, by enabling the inference of spiking processes without penetrating the cortical surface.

In conclusion, we present a novel approach for investigating large-scale cortico-subcortical functional interaction through simultaneous depth and μECoG recordings. This approach enabled us to identify multiple ongoing cortico-tectal coupling modes spanning different carrier frequency bands. High-frequency amplitude envelope correlations conveyed the most information about direct cortex-to-SC communication and acted as a proxy for detecting coordinated spiking activity. Our results speak toward scaling down the dimensions of ECoG grids used in human studies in favor of high-density and small-diameter electrode designs (60), which would enable the tracking of spiking activity with high spatial resolution over vast areas of cortex and improve the accuracy of detecting pathologically altered neural activity and abnormal modes of neural communication.

MATERIALS AND METHODS

Data presented in this study were collected from nine adult female ferrets (Mustela putorius). All experiments were approved by the Hamburg state authority for animal welfare (BUG-Hamburg) and were performed in accordance with the guidelines of the German Animal Protection Law.

Custom μECoG design

For large-scale recordings of cortical LFPs, we used a polyimide-based micro-electrocorticographic electrode array (μECoG) (62), with a thickness of 10 μm, which was developed to optimally fit to the posterior cortex of the ferret. The custom μECoG array consisted of three “fingers,” each containing three rows of electrodes such that the polyimide foil can bend and conform to the curved surface of the ferret brain. The μECoG array had 64 platinum thin-film electrodes with a diameter of 250 μm. Electrodes were arranged in a hexagonal formation with an inter-electrode distance of 1.5 mm. Figure 1A displays a schematic diagram of the μECoG layout. To allow for simultaneous recording of μECoG signals and intracortical activity, small holes of 500-μm diameter were cut into the polyimide foil in the space between electrodes to allow for the placement of multichannel linear probes.

Surgery

Animals were initially anesthetized with an injection of ketamine (15 mg/kg). A glass tube was placed in the trachea to allow artificial ventilation of the animal and supply isoflurane anesthesia (0.5 to 1%, 1:1 NO2-O2 mix, 0.8- to 1-Hz ventilation frequency). To prevent dehydration of the animal throughout experiments, a cannula was inserted into the femoral vein to deliver a continuous infusion of 0.9% NaCl, 0.5% NaHCO3, and pancuronium bromide (60 μg/kg per hour). Physiological parameters such as the electrocardiogram, rectal temperature, and end-tidal CO2 concentration were monitored throughout experiments to maintain the state of the animal. A large craniotomy was then performed over the entire left posterior cortex. After carefully removing the dura, the μECoG array was gently placed on the surface of the cortex. A small hole was drilled in the removed piece of skull over the area corresponding to the visual cortex, and then the piece of bone was fixed back in place with silicone elastomer (World Precision Instruments). All recordings were carried out in a dark, sound-attenuated chamber.

Electrophysiology

Neural activity in the SC was obtained with 2 × 16-channel dual-shank silicon probes (NeuroNexus Technologies, 100-μm electrode spacing, 500-μm inter-shank distance). Intracortical activity was obtained with linear 1 × 32-channel (100-μm electrode spacing, NeuroNexus Technologies) probes that were inserted into the visual cortex through small holes in the μECoG (Fig. 1). All silicon probe contacts had a surface area of 413 μm2. Broadband signals from silicon probes were digitized at 22,321.4 Hz (0.1-Hz high-pass and 6000-Hz low-pass filters), whereas μECoG signals were digitized at 1395.1 Hz (0.1-Hz high-pass and 357-Hz low-pass filters). Broadband data from silicon probes and μECoG were sampled simultaneously with a 128-channel AlphaLab SnR recording system (Alpha Omega Engineering). Unless otherwise stated, LFP signals were not re-referenced. Functional connectivity analysis was performed on spontaneous recordings of neural activity of about 10 min in length and the sustained period of drifting grating stimulation.

Visual stimulation

Visual stimuli consisted of flashes and drifting gratings presented on an LCD monitor (Samsung SyncMaster 2233, 60-Hz refresh rate) placed 28.5 cm in front of the animal. Stimuli were generated using the Matlab Psychophysics Toolbox (The MathWorks Inc.). Very large (40°) flashes were used for probing visual responses, whereas smaller flashes (8°) were used for quantifying visual spatial receptive fields. To provide more sustained visual stimulation, drifting gratings of 1-s duration were presented in 12 different directions spanning 360°.

Alignment of μECoG and SC electrode placement across animals

For each animal, the position of the μECoG over the posterior cortex was recorded by taking photographs through a Zeiss OPMI pico microscope. The approximate position of all 64 μECoG electrodes was then projected onto a scaled illustration of a model ferret brain. The cortical topography of amplitude correlation measures was then interpolated to fill the space between μECoG electrodes. Finally, cortical topographies for all SC-μECoG recording penetrations were averaged to obtain the mean amplitude correlation topography. SC penetrations were aligned using the flash current source density inflection depth (19, 63). For more details on SC-depth realignment, see fig. S2.

Data analysis

All offline data analyses of neural signals were performed using custom software in Matlab (The MathWorks Inc.). To extract multiunit spiking activity from broadband extracellular recordings, we high-pass–filtered signals at 500 Hz and detected spikes with a positive and negative threshold (64). LFPs were obtained by low-pass filtering broadband signals at 300 Hz. LFPs were filtered in both the forward and reverse direction to...
ensure zero phase shift. Finally, LFPs were downsampld by a factor of 16 to a sample rate of 1395.1 Hz. A notch filter was then applied at the respiratory rate frequency. We computed spectral estimates of LFPs using a series of 80 Morlet wavelets that were logarithmically spaced from 0.1 to 300 Hz (FieldTrip, width = 7 cycles), with increments of 5 ms (65).

Amplitude correlation. To track the waxing and waning of neural signals over time, we computed time-resolved estimates of the LFP signal amplitudes. To avoid the detection of spurious amplitude correlation due to the effects of shared noise or volume conduction, we orthogonalized pairs of signals before the computation of amplitude envelope time series (4). This crucial step removes zero phase-lagged components shared between simultaneously recorded signals, and ensures that only nonzero phase-lagged signal components are considered for amplitude envelope correlation analysis. After orthogonalization, amplitude envelopes were computed by taking the absolute value of time-frequency analysis in the time domain. The strength of amplitude correlation was then defined as the linear correlation coefficient of orthogonalized amplitude envelope time series. Significant SC-μECoG amplitude correlation channel pairs were defined as those that exceeded the mean plus two times the SD of the global SC-μECoG amplitude correlation matrix (P < 0.05).

Spike-phase locking. To estimate the influence of the phase of cortical oscillations on spiking activity in the SC, we calculated spike-LFP PLVs using spikes recorded from the SC and the phase of μECoG signals (66). For each SC-μECoG channel pair, spike PLVs were calculated from 1000 randomly drawn spikes. This process was repeated 100 times to get an estimate of the mean spike PLV. Channels where less than 1000 spikes were detected in the entire recording were eliminated from spike-phase locking analysis. The level of significance for spike PLVs was determined by the Rayleigh statistic (67).

Cross-frequency coupling. We quantified cross-frequency phase-amplitude coupling using methods previously described by Canolty et al. (68). Briefly, for each SC-μECoG channel pair, the phase of cortical signals and the amplitude of SC signals were combined to construct composite time series. The magnitude of the mean of composite signals provides an indication of the strength of phase-amplitude coupling. To estimate the SD of cross-frequency coupling measures, this analysis was repeated 20 times with randomly shifted SC-amplitude time series. Finally, the modulation index was defined as the mean of composite time-frequency coupling using methods previously described by Canolty et al. (68).

REFERENCES AND NOTES


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Intrinsic coupling modes reveal the functional architecture of cortico-tectal networks
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