Intrinsically core-shell plasmonic dielectric nanostructures with ultrahigh refractive index

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Topological insulators are a new class of quantum materials with metallic (edge) surface states and insulating bulk states. They demonstrate a variety of novel electronic and optical properties, which make them highly promising electronic, spintronic, and optoelectronic materials. We report on a novel conic plasmonic nanostructure that is made of bulk-insulating topological insulators and has an intrinsic core-shell formation. The insulating (dielectric) core of the nanocone displays an ultrahigh refractive index of up to 5.5 in the near-infrared frequency range. On the metallic shell, plasmonic response and strong backward light scattering were observed in the visible frequency range. Through integrating the nanocone arrays into a-Si thin film solar cells, up to 15% enhancement of light absorption was predicted in the ultraviolet and visible ranges. With these unique features, the intrinsically core-shell plasmonic nanostructure paves a new way for designing low-loss and high-performance visible to infrared optical devices.

INTRODUCTION

Plasmonic metallic nanostructures have been widely applied in various optical devices, such as optical recording, waveguides, sensors, solar cells, etc. (1, 2). By controlling the geometry and size of metallic nanostructures, electromagnetic waves can be localized and manipulated in nanometer scale. The gold (Au) nanorods facilitate five-dimensional (5D) optical recording based on their excellent wavelength and polarization sensitivity of surface plasmon resonances (3, 4). Silver (Ag) nanoparticles enable electromagnetic energy to be confined and transported below the diffraction limit in plasmonic waveguides, which cannot be realized using conventional waveguides or photonic crystals (5). Au and Ag nanoparticles with plasmon resonances improve the sensitivity of biosensors and push the sensitivity toward the single-molecule detection limit (6). Furthermore, Au, Ag, and aluminum (Al) nanostructures integrated into the absorption layer distinctly enhance the light absorption and energy conversion efficiency in photovoltaic devices (7–9). Plasmonic metallic nanostructures play a key role in the optical devices for realizing new functions and improving the performances. However, metallic nanostructures always demonstrate large parasitic loss in the visible frequency range (10). Such a shortcoming inevitably limits the performance of optical devices.

Alternatively, high–refractive index dielectric nanostructures have been demonstrated as competitive candidates for high-performance optical devices (10, 11). With lower intrinsic loss, they show promise in overcoming the shortcoming of plasmonic metallic nanostructures (12, 13). Moreover, dielectric nanostructures, owing to their intrinsic insulating properties, do not deteriorate the electrical properties of photovoltaic devices. High–refractive index germanium (Ge) nanowires enhance light absorption in photodetectors in intermediate sizes (14). Gradient metasurfaces consisting of dielectric silicon (Si) strip arrays are designed as transparent optical devices to manipulate light, and are capable of achieving ultrathin optical gratings, lenses, and axicons (15). Si nanospheres display controllable electric and magnetic resonances as well as directional light scattering in the visible frequency range, which make them excellent media for light manipulation and harvest in optoelectronic devices (16, 17). In addition, Si slab waveguide achieves optical cloaking that realizes a broadband and low-loss invisibility of objects (18). Nevertheless, compared with plasmonic metallic nanostructures, the light manipulation capability of dielectric nanostructures is inferior at the deep subwavelength scale. Hence, to more effectively manipulate light and achieve high-performance optical devices, it is highly desirable to combine the excellent features of plasmonic metallic nanostructures and dielectric nanostructures in a single nanostructure.

Topological insulators are quantum materials with topologically protected metallic (edge) surface states and insulating (dielectric) bulk states (19). Unique electronic and optical properties, such as the quantum spin Hall effects, exotic Majorana particles, topological magnetoelectric effects, and topological Kerr and Faraday rotation, are expected in topological insulators (20). With these fascinating properties, they hold great potential in developing future electronic, spintronic, and optoelectronic devices. Recently, Dirac plasmon excitations have been observed in binary 3D topological insulator Bi2Se3 microribbon arrays at the terahertz frequency (21). Ultraviolet and visible frequency plasmonics have been observed in the nanoslit arrays and grating of the bulk-insulating quaternary 3D topological insulator Bi1.5Sb0.5Te1.8Se1.2 (BSTS) (22). With an intrinsic metallic surface and an insulating bulk, topological insulators provide an excellent platform for the realization of a new type of nanostructures that could combine the fascinating properties of plasmonic metallic nanostructures and dielectric nanostructures.

Here, we report on the nanofabrication of such a new type of nanostructure, a nanocone, on bulk-insulating topological insulator BSTS single crystals. The fabricated nanocone demonstrates an intrinsically core-shell nanostructure, in which the core is dielectric and the shell is metallic. In contrast to the metallic shell, the dielectric core displays an ultrahigh refractive index of up to 5.5 in the near-infrared frequency range. Plasmonic response and strong backward light scattering were directly observed in the core-shell BSTS nanocone arrays. By integrating the nanocone arrays into an ultrathin amorphous
silicon (a-Si) solar cell, 15% enhancements of light absorption in the ultraviolet and visible frequency ranges were achieved.

**RESULTS**

**Bulk-insulating BSTS crystals**
For the practical applications of topological insulators in electronic or optical devices, highly insulating bulk states that support conductive surface states are required. However, most discovered topological insulator materials, such as Bi$_2$Se$_3$, Bi$_2$Te$_3$, and Sb$_2$Te$_3$, demonstrate high bulk conductivity due to naturally occurring crystalline defects and the resulting carrier doping (23). On the basis of the experimental observations from angle-resolved photoemission spectroscopy, in these topological insulators, Fermi surface passes through either the bulk conduction band or the bulk valence band, rather than the bulk band gap, which results in a mixture of the surface conductivity with the bulk conductivity (24, 25). To take advantage of the novel electronic properties of topological surface states, bulk defects and conductivity have to be substantially decreased.

Recently, quaternary BSTS has been demonstrated as a desirable topological insulator material with a highly insulating bulk state, and showing surface-dominated electronic transport (26–28). The chemical characteristics of BSTS make it suitable for reducing defect formations and bulk conductivity (28). Such a bulk-insulating topological insulator material can effectively support an intrinsically core-shell nanostructure. Here, we grew BSTS single crystals using a modified Bridgeman method (see Materials and Methods) (26). The x-ray diffraction (XRD) data indicate the high quality of our bulk BSTS crystal samples (see fig. S1). Flat BSTS crystal sheets with a thickness of 0.2 mm, which were used in this work, were cleaved from the grown bulk crystals along the (100) plane.

**Ultrahigh refractive index**
Optical constants are the basic optical parameters of materials that define the interaction of incident light and materials. They can be separated into real and imaginary components, which follow the relation: $\varepsilon = \varepsilon_1 + i\varepsilon_2 = n^2 = (n + ik)^2$. Here, $\varepsilon$ and $n$ are the complex dielectric function and refractive index, $\varepsilon_1$ and $\varepsilon_2$ are the real and imaginary dielectric constants, and $n$ and $k$ are the refractive index and the extinction coefficient, respectively. We measured the $n$ and $k$ values of cleaved flat BSTS crystal sheets by using a spectroscopic ellipsometer. The measured wavelength ranged from 350 to 1700 nm, covering the visible and near-infrared frequency ranges. The measured data were fitted by using a B-spline model for the metallic surface and a Tauc-Lorentz model for the insulating bulk. The B-spline model, which was initially developed by Johs and Hale (29), is a fast and simple method to accurately determine the dielectric constants of thin films. The Tauc-Lorentz model, which was proposed by Jellison and Modine (30), is a powerful tool to parameterize the optical function of dielectric materials. The details of these models can be found in the Supplementary Materials. The obtained results were comparable with the former reports, in which a Drude model and a Tauc-Lorentz model were used for the fitting of the dielectric constants of BSTS crystals (22).

Figure 1A shows the $n$ and $k$ of the insulating bulk as a function of wavelengths. The $n$ value of bulk increases with wavelength and reaches up to 5.5 at a wavelength of 1300 nm. The $k$ value of bulk increases with wavelength and displays a peak value of 3.9 at a wavelength of 625 nm, and it then decreases monotonously down to 2 in the near-infrared frequency range. Figure 1B shows the real part, $\varepsilon_1$, and the imaginary part, $\varepsilon_2$, of the permittivity of the insulating bulk calculated from the measured $n$ and $k$ values. The $\varepsilon_1$ value remains negative for the wavelength ranging from 350 to 670 nm, which implies the existence of surface plasmon resonances in BSTS crystals in this frequency range. Figure 1C shows the obtained $n$ and $k$ values of BSTS metallic surface states. The $n$ value remains less than 1 in the visible to near-infrared frequency range, which demonstrates a marked discrepancy with that of the bulk. Figure 1D shows the dependence of $\varepsilon_1$ and $\varepsilon_2$ of the metallic surface on the wavelengths extracted from the $n$ and $k$ values. The $\varepsilon_1$ value remains negative over the observed spectral range and then decreases monotonously down to $-140$ at a wavelength of 1700 nm, which is similar to that in noble metals such as Ag and Au.

**Nanofabrication of nanocone arrays**
Periodic nanocone arrays were directly patterned on the top surface of cleaved BSTS crystal sheets using a focused ion-beam system. The nanofabrication process does not break the lattice structures, the nanocones can be considered as BSTS nanocrystals with topological surface states. The lateral dimension of each fabricated sample was $100 \times 100 \mu m$. The base diameter ($d$) of nanocones ranges from 200 to 600 nm. The height ($h$) of the BSTS nanocones is fixed at 450 nm. The period ($p$) of the nanocone array ranges from 400 to 1300 nm. Figure 2A displays a schematic drawing of an intrinsically core-shell nanostructure of a bulk-insulating topological insulator BSTS nanocone. The red part is the metallic shell with a thickness of $\sim 1.5$ nm (22). The yellow part is the dielectric core with a band gap of 0.25 eV (22). Such a band gap is much higher than the thermal energy at room temperature ($\sim 0.025$ eV), which guarantees the insulating bulk states at room temperature. Figure 2B shows a 3D atomic force microscopy (AFM) image of the fabricated BSTS nanostructures, which displays a shape of nanocones. Figure 2C represents a 2D AFM image of the BSTS nanocone arrays with $d=300$ nm and $p=600$ nm. Figure 2D shows a 3D image of the BSTS nanocone arrays. The morphology of these arrays can also be clearly seen from the scanning electron microscopy (SEM) images (see fig. S2).

**Plasmonic absorption measurements**
The reflection spectra $R(\lambda)$ of BSTS core-shell nanocone arrays were measured using a Fourier transform infrared (FTIR) microscope system. The measured wavelengths were from 300 to 800 nm, covering the visible frequency range. The absorption spectra were calculated by using the following formula: $A(\lambda) = 1 - R(\lambda)$. Figure 3A shows the normalized absorption spectra $A(\lambda)$ measured from the BSTS nanocone arrays with different values of $d$ and $p$. No absorption peak was observed in the BSTS nanocone arrays with $d=200$ nm. Evident plasmonic responses were observed from the arrays, with $d$ ranging from 300 to 600 nm and $p$ ranging from 600 to 1200 nm. The plasmonic absorption peaks shift from a wavelength of 300 to 600 nm with the increase of $d$, as shown in fig. S3. To further confirm the plasmonic responses, we fabricated BSTS nanocone arrays with fixed $d=500$ nm and variant $p$ ranging from 1000 to 1300 nm. As shown in Fig. 3B, the plasmonic absorption peaks shift from a wavelength of 420 to 460 nm.
Fig. 1. Optical parameters of topological insulator BSTS single crystals. (A) Refractive index, $n$, and extinction coefficient, $k$, of the insulating bulk of topological insulator BSTS crystals. The inset shows the intrinsic structures of topological insulator BSTS single crystals with surface states and bulk states. (B) Dielectric function, $\varepsilon$, of the insulating bulk of topological insulator BSTS nanocones. (C) Refractive index, $n$, and extinction coefficient, $k$, of the metallic surface of topological insulator BSTS crystals. (D) Dielectric function, $\varepsilon$, of the metallic surface of topological insulator BSTS crystals.

Fig. 2. Intrinsically core-shell topological insulator BSTS nanocone arrays fabricated on the surface of flat BSTS crystal sheets. (A) A schematic drawing of intrinsically core-shell nanostructures of 3D topological insulator BSTS nanocones. The red part is a metallic shell, and the yellow part is a dielectric core. (B) An enlarged 3D AFM image of four BSTS nanocones with $d = 300$ nm and $h = 450$ nm. (C) A 2D AFM image of BSTS nanocone arrays with $d = 300$ nm, $h = 450$ nm, and $p = 600$ nm. The scale bar is 1000 nm. (D) A 3D AFM image of these BSTS nanocone arrays.
They are all located within the measured ε-negative regime of the permittivity in the BSTS bulk and surface.

The previously reported experimental observations of plasmonic absorptions in BSTS nanoslits and grating are polarization-sensitive (22). The plasmonic resonances can only propagate along the direction perpendicular to the nanoslits and grating. This limits their applications in some fields such as plasmonic solar cells and photodetectors. The plasmonic resonances in our fabricated intrinsically core-shell nanocone arrays are polarization-insensitive. They can be directly integrated into thin film photovoltaic devices for localizing and harvesting light.

Origin of plasmonic response

As mentioned earlier, Dirac plasmon excitations were initially observed in topological insulator Bi2Se3 micro-ribbon arrays in the infrared (terahertz) frequency range (21). Through theoretical calculations, the observed plasmons were assigned to Dirac quasi-particles at the topological surface. In addition, low-frequency conductance and mid-infrared absorption were investigated in the topological insulator (Bi1−xInx)2Se3 by using time-domain terahertz spectroscopy (31). The data showed room-temperature optical absorption at 0.31 eV, which implied a Dirac plasma frequency located in the mid-infrared frequency range. With a similar electron density, the plasmonic resonances of Dirac surface states in the topological insulator BSTS should also localize in the mid-infrared to terahertz frequency range. Therefore, the massless Dirac electrons in the surface states contribute very little to the visible plasmonic absorptions.

The optical conductivity contribution of bulk carriers of bismuth-based topological insulators was measured and investigated by optical spectroscopy (32, 33). The conductivity contribution from bulk carriers was found to be higher than that from the surface Dirac carriers. Therefore, the observed plasmonic absorptions in the visible region largely originate from interband absorption in the BSTS bulk states (22). The interband transition–induced negative permittivity was theoretically predicted in Bi2Se3 (34). BSTS may be the first topological insulator material in which the negative permittivity originates from the interband electronic absorption.

The previously reported plasmonic absorption in BSTS nanoslits and gratings cannot be well fitted without considering the contribution from surface states (22). In these nanostructures, the plasmonic response was attributed to the combined contributions of bulk charge carriers from interband transitions and surface charge carriers of the topological insulator BSTS. Analogously, in the core-shell nanocone arrays, massive electrons from the bulk on the nanoshell surface might also contribute in part to the observed plasmonic absorption in the visible frequency range. However, it should be noted that the present massive electrons on the nanoshell surface in the bulk states and the massless Dirac electrons in the surface states cannot be easily separated by model fitting.

Finite-difference time-domain simulations

The plasmonic absorption of the nanocone arrays was simulated by using the finite-difference time-domain (FDTD) software. The dielectric functions of the BSTS cores and shells used in the simulation are from the data shown in Fig. 1. A broadband plane wave source (300 to 800 nm) was used to simulate the light incident on the nanocone arrays from the top surface. Periodic boundary conditions were used at the lateral boundaries of the simulation model to mimic the infinite periodic arrays of nanoparticles. To quantify the absorption of the nanocone arrays, we first calculated the light reflection R(λ) from the nanocone arrays, and then the absorption can be achieved by A(λ) = 1 − R(λ). The reflection data were obtained from a power monitor set above the nanocone arrays with a height of 1 µm.

Figure 4 (A to D) shows the localized electromagnetic field distribution in BSTS nanocone arrays with different values of d and p. The d and p changes of nanocone arrays range from 300 to 600 nm and from 600 to 1200 nm, respectively. From the images of electromagnetic field distributions, we noticed that the plasmon resonances were localized on the surface of BSTS nanocones. From the FDTD simulation, we also found that the bulk states of BSTS largely contribute to the observed plasmonic responses. The electromagnetic field distributions in BSTS nanocone arrays with fixed d = 500 nm and different p are listed in fig. S4 (A to C). In addition, numerical simulation was also performed to calculate the plasmonic absorption spectra, which can be found in fig. S3. The simulated plasmonic absorption spectra fit well with the experimental results. The small discrepancy may result from the surface roughness of the fabricated core-shell nanocone arrays.

Backward light scattering

With an ultrahigh bulk refractive index, the topological insulator BSTS has potential in effectively enhancing light absorption and controlling light scattering processes. We experimentally measured light scattering by using a confocal microscope. A white light was used as the source for the illumination. Figure 5A shows a schematic drawing of the home-built dark-field microscope used in the measurements. The images were captured using a confocal microscope. In the propagation process, the central light beam is blocked by the dark-field patch stop. Only a ring-shaped beam on the edge reaches the BSTS nanocone arrays, which is simultaneously reflected and scattered by the BSTS nanocone arrays.
The backward scattered light by the BSTS nanocone arrays was detected by the objective lens, whereas the reflected light was not detectable. Hence, the dark-field microscope was able to merely detect the scattered light and exclude the unscattered light from the nanocone arrays. Using such a microscope, we measured the backward light scattering of BSTS nanocone arrays with $d=300\,\text{nm}$ and $p=600\,\text{nm}$. As shown in Fig. 5B, the captured black-and-white photo displays ordered bright spots, which suggest strong backward light scattering by the BSTS nanocone arrays. The scattering may originate from the integral effects of plasmonic scattering by metallic shells and Mie scattering by the high-index dielectric cores.

Applications in plasmonic solar cells

BSTS nanocone arrays combine the excellent properties of plasmonic metallic nanostructures and high-refractive index dielectric nanostructures. They demonstrate both localized surface plasmonic resonances and strong light scattering. Hence, the performance of light manipulation can be markedly improved in the subwavelength and broad wavelength ranges. In addition, unlike the plasmonic metallic
nanostructures, BSTS nanocone arrays do not cause large parasitic loss and electrical property deterioration. Hence, the intrinsically core-shell nanostructure is highly promising for low-loss and high-performance optical devices.

Because the plasmonic resonances in the nanocone arrays locate in the visible frequency, integrating them into photovoltaic devices is expected to achieve enhanced light absorption and efficiency. The strong backward scattering in BSTS nanocone arrays also enables the enhancement of optical absorption when they are placed at the back of photovoltaic devices. Because of the large backward scattering angles, this could achieve a much larger light path length, which is extremely beneficial for photovoltaic devices. Using the FDTD method, we theoretically simulated the absorption enhancement of light in a 300-nm-thick a-Si solar cell. The configuration of the proposed a-Si thin film solar cells is shown in Fig. 6A. With the integration of topological insulator nanoparticles, a large light absorption enhancement was achieved at both the short wavelength and long wavelength regions. This led to a 15% enhancement of light absorption in the whole wavelength region and up to 55% enhancement at a wavelength of 325 nm. These results indicate the potential application of the topological insulator nanocone arrays in solar cell technology.

**DISCUSSION**

The topological insulator BSTS nanocone is a novel type of plasmonic nanostructure that has an intrinsically core-shell formation and demonstrates visible-range plasmonic responses, strong backward light scattering, and an ultrahigh bulk refractive index. Compared to traditional core-shell nanostructures that are all artificial, the core-shell structure of BSTS nanocones is intrinsic. In addition, with plasmonic responses in the mid-infrared to terahertz range in the topological surface states and in the blue ultraviolet to visible range in the bulk states, topological insulator nanostructures provide an excellent platform for realizing ultra-broadband plasmon excitations in one material. Hence, topological insulator nanostructures could be extensively used in a variety of optical devices, such as ultra-broadband photodetectors.

Refractive index is one of most important optical constants in almost all optical devices, such as lenses, waveguides, and optical fibers. The refractive index in the core of BSTS nanostructures is much higher than that of well-known conventional high-index materials, such as Si (~3.5) and Ge (~4), at near-infrared frequencies. With an ultrahigh refractive index, BSTS nanocones are promising for realizing controllable electric and magnetic resonances as well as directional light scattering. In addition, topological insulator materials also demonstrate a high, near-infrared transparency of more than 70% over a wide range of wavelengths. On the basis of these features, topological insulator nanostructures may have practical applications in high-efficiency optical devices, such as visible-region nanoantennas and antireflection coating.

**CONCLUSION**

In summary, we have proposed and fabricated a new type of core-shell plasmonic nanostructures with an ultrahigh bulk refractive index based on topological insulator materials. The plasmonic responses of the new nanostructures locate in the visible frequency range and can be tuned by changing the size and period of the nanocone arrays. Strong backward light scattering caused by plasmonic resonances and dielectric cores was also observed in the visible frequency range. Both the plasmonic responses and the strong backward light scattering in the BSTS nanocone arrays are extremely beneficial for low-loss and high-performance optical devices, such as solar cells. Hence, our work paves the way for practical applications of topological insulator nanostructures in advanced optical and plasmonic devices.

**MATERIALS AND METHODS**

**Single crystal growth**

The bulk BSTS single crystals were grown using a modified Bridgeman method. High-purity (99.9999%) Bi, Sb, Te, and Se were mixed at a molar ratio of 1.5:0.5:1.8:1.2 in an evacuated quartz tube. The quartz tube was placed in a box special furnace with a temperature gradient of 5° to 10°/cm. The furnace temperature was increased.
and maintained at 950°C for 1 week and then gradually decreased to room temperature over 3 weeks. The grown crystals had smooth and shiny surfaces and were easily cleaved.

**Nanofabrication**

Nanocone arrays were directly patterned on the top surface of cleaved BSTS crystal sheets using a focused ion-beam system (Raith IonLiNE). The nanostructures of nanocone arrays were realized by fabricating two crossed grating with variant periods. The ion density was fixed to keep the height of nanocones constant. The lateral dimension of each fabricated sample was 100 × 100 μm.

**Optical measurements**

The refractive index and extinction efficiency of flat BSTS single crystals were measured using a spectrophotometer (J. A. Woollam Co.). The optical constants were fitted using the models in the software. The reflection spectra were measured using an FTIR microscope (Bruker LUMOS). The measured wavelengths were from 300 to 800 nm. The light scattering was measured using a home-built dark-field microscope. A white light was used as the light source. A ring-shaped patch was used to block the central beam.

**FDTD simulations**

The plasmonic absorption was simulated using FDTD software (Lumerical Solutions). FDTD was also used to theoretically simulate the absorption enhancement of light in ultrathin a-Si solar cells.

**REFERENCES AND NOTES**


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