Anomalous photovoltaic effect in organic-inorganic hybrid perovskite solar cells

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Organic-inorganic hybrid perovskite (OIHPS) have been demonstrated to be highly successful photovoltaic materials yielding very-high-efficiency solar cells. We report the room temperature observation of an anomalous photovoltaic (APV) effect in lateral structure OIHP devices manifested by the device’s open-circuit voltage ($V_{OC}$) that is much larger than the bandgap of OIHPS. The persistent $V_{OC}$ is proportional to the electrode spacing, resembling that of ferroelectric photovoltaic devices. However, the APV effect in OIHP devices is not caused by ferroelectricity. The APV effect can be explained by the formation of tunneling junctions randomly dispersed in the polycrystalline films, which allows the accumulation of photovoltage at a macroscopic level. The formation of internal tunneling junctions as a result of ion migration is visualized with Kelvin probe force microscopy scanning. This observation points out a new avenue for the formation of large and continuously tunable $V_{OC}$ without being limited by the materials’ bandgap.

INTRODUCTION

Organic-inorganic hybrid perovskite (OIHP) materials have been revolutionizing the photovoltaics field in recent years with their use in high-efficiency solar cells (with power conversion efficiency exceeding 22%) and low-cost potential (1–10). Meanwhile, the development of other OIHP-based devices, such as lasers (11, 12), high-gain photodetectors (13, 14), light-emitting diodes (15), and transistors (16), is also in full swing. It is widely wondered whether OIHP materials will continue to generate breakthroughs in the optoelectronics field with their intriguing electronic and optoelectronic properties. Currently, there is a consensus that OIHP materials are a group of soft materials with high electronic and ionic conduction due to their relatively loosely bonded crystal structure (17–21). Investigations focused on making full use of this unusual property have been recently performed (22). In addition, a more insightful understanding of the photovoltaic process in OIHP materials is crucial to further improving the photocurrent and photovoltage output of OIHP solar cells (23, 24).

Here, we report the observation of an anomalous photovoltaic (APV) effect in lateral structure OIHP solar cells. Investigations focused on the APV effect in some inorganic materials, especially ferroelectrics, have attracted an upsurge in interest over the past decade because of its completely different working mechanisms and output characteristics compared to those of traditional p-n junction solar cells (25–29). To date, several semiquantitative or phenomenological models have been established to explain the APV effect, which can be classified into two major types: (i) the intrinsic noncentrosymmetry in bulk materials and (ii) the granularity of the polycrystalline materials (26, 30, 31). The former type of APV mechanism principally demands a very low conductivity in photovoltaic materials (25), whereas the latter mechanism does not suffer from a similar limitation. However, to date, most models for the granularity mechanism (for example, the Dember effect model, the structure transition model, and the p-n junction array model) are speculative because the corresponding formation mechanisms have not been proven. Hence, determining the origins of the open-circuit voltage ($V_{OC}$) that is larger than the materials’ bandgap with experimental evidence is of great academic interest (25).

RESULTS

The OIHP solar cells used in this study had a symmetric lateral structure of Au/MAPbBr$_3$ (or MAPbI$_3$ and CsPbBr$_3$/Au, where the photoactive layer and metallic electrodes were deposited directly on a glass substrate (Fig. 1A). The as-made OIHP solar cells did not show any photovoltaic effect because of the symmetric lateral structure (17, 19). After the lateral MAPbBr$_3$ device was electrically poled by a moderate electrical field of 0.3 to 0.5 V/μm at room temperature (RT) for 1 to 2 min, a large $V_{OC}$ of about 1.1 to 1.3 V was obtained (Fig. 1A) from the MAPbBr$_3$ devices, with an electrode spacing of 50 μm, which is close to the best $V_{OC}$ (1.4 V) reported for vertical structure MAPbBr$_3$ solar cells (32, 33). The generation of this large $V_{OC}$ in a device with symmetrical electrodes can be ascribed to the in situ formation of a p-i-n or p-n structure in the MAPbBr$_3$ film induced by ion migration and space-charge doping, as illustrated in Fig. 1A (middle) (19, 33). The short-circuit current ($I_{SC}$) is ~300 pA for devices with an active area of 50 μm × 1 mm under an illumination intensity of 25 mW/cm$^2$. The device’s $V_{OC}$ showed a linear relationship with the poling bias, as shown in Fig. 1 (B and C). Astonishingly, when the lateral MAPbBr$_3$ device was poled by a larger electrical field of 5 V/μm for 1 to 2 min, the $V_{OC}$ further increased markedly to 7.4 eV, which is much larger than the bandgap (2.3 eV) of MAPbBr$_3$. Meanwhile, the photocurrent increased slightly to 490 pA (Fig. 1B). In lateral devices with a MAPbI$_3$ photoactive layer, no $V_{OC}$ larger than the bandgap was observed at RT, which is consistent with our previous study (17, 19). Nevertheless, a similar over-bandgap $V_{OC}$ of 2.6 V was observed when the poling temperature was elevated to be around 330 K (fig. S1). The anomalously large $V_{OC}$ in the OIHPS materials was found to increase with the spacing between the two electrodes (Fig. 1, C and D) when the poling electrical field was fixed at 5 V/μm. A large $V_{OC}$ of 14.9 V was found in MAPbBr$_3$ solar cells with an electrode spacing of 100 μm after an electrical poling at 5 V/μm for 1 to 2 min. There is a threshold at an electrode spacing of 8 μm, below which $V_{OC}$ exceeding the bandgap cannot be found, even at a high poling field of 5 V/μm.

This is the first time that OIHP solar cells with a $V_{OC}$ larger than the bandgap have been observed, which needs a mechanism for interpretation. An APV effect dependent on electrode spacing has been frequently observed in some materials that lack a center of symmetry, generally
known as a bulk photovoltaic (BPV) effect due to the generation of “shift current” under illumination (30, 34). The BPV effect has more frequently been observed in ferroelectric materials, which have an aligned internal field after poling (24, 29). The ratio between the available \(V_{OC}\) and the electrode spacing (0.1 to 0.2 V/μm) in OIHP is close to that of the BPV-based APV effect in some typical inorganic ferroelectric materials, such as bismuth ferrite (BFO; ~0.1 V/μm) and lanthanum-doped lead zirconate titanate (PLZT; ~0.2 V/μm) (25–27). However, MAPbBr\(_3\) at RT has a cubic structure and thus does not meet the crystal structure for ferroelectricity. On the other hand, the ordering of polar organic cations, such as MA\(^+\), has been theoretically predicted to be the other route of polar domain formation (35, 36). Nevertheless, many theoretical and experimental studies indicate that MA\(^+\) cations easily rotate in the inorganic cage at RT (37–40). The quick reorientation of the organic cations was claimed to contribute to the dynamic screening of energetic hot carriers in hybrid perovskites (41). On the experimental side, although switchable local phase contrast in PFM imaging of MAPbI\(_3\) films was observed, which, in principle, could be explained by the MA\(^+\) ordering, no reliable hysteretic piezoresponse force microscopy (PFM) loop has been observed at RT in either single crystalline or polycrystalline samples (42). To date, there is no solid experimental evidence to support the notion of ferroelectricity in MAPbI\(_3\), despite speculations on the polar ordering in tetragonal MAPbI\(_3\), at RT (20, 43). Moreover, the anomalous \(V_{OC}\) has only showed up in MAPbI\(_3\) devices at an elevated poling temperature of around 330 K, which is also contrary to the ferroelectric mechanism because if ferroelectricity exists, then the spontaneous polarization should vanish above the phase transition temperature (330 K for MAPbI\(_3\)). In addition, a dependence of the anomalous \(V_{OC}\) on the polarization direction of the incident light is considered as a fingerprint of the BPV in ferroelectric materials (27). Here, the anomalous \(V_{OC}\) observed in OIHP materials did not respond to the light polarization direction change (fig. S2), which also excludes the contribution of the BPV effect. There was a new interesting mechanism of photoferroelectricity [proposed by Liu et al. (44)] that suggests light-induced ordering of MA\(^+\) in MAPbI\(_3\), which could explain the experimentally observed light-enhanced piezoelectricity (43). However, its contribution to the APV effect observed here can be excluded, because a similar above-bandgap \(V_{OC}\) (for example, 5.9 V) can be obtained when the polar MA\(^+\) in MAPbBr\(_3\) was replaced by nonpolar Cs\(^+\) cations (fig. S3).

It has been established that ion migration occurs in OIHP materials under electric poling, which is responsible for the current hysteresis and many other unique properties of perovskite electronic devices (13, 16–19, 45–48). Ions may diffuse back after turning off the poling bias, which may also generate a current. It is thus necessary to find out whether the observed \(V_{OC}\) is an artifact caused by the back diffusion of ions. Because the ion migration process is very sensitive to temperature, freezing the ion migration at low temperature should exclude its contribution to the photocurrent.

The APV effect was studied for a large temperature range (from 120 to 330 K), as shown in Fig. 2 (A and B). The anomalously large \(V_{OC}\) remained (for example, 5.1 V) when the device was measured at a sufficiently low temperature of 120 K. The large \(V_{OC}\) was obtained by scanning the device in both forward and backward directions (fig. S4). No hysteresis effect was observed in a wide temperature range of 120 to 330 K, which is consistent with our previous observation and excludes the contribution of ion redistribution to the photocurrent observed here. This can be explained by the fact that the \(I-V\) (current-voltage) curves scanned here only applied a small electrical field (0.1 V/μm) to the OIHP films and hence should not cause significant ion migration (19). The \(I_{SC}\) lost nearly half of its value when the temperature decreased from 330 to 120 K, which can be explained by the more severe charge trapping effect at lower temperature. Typical \(V_{OC}\) enhancement at lower temperature due to the reduced saturated dark current was not observed here because the random (or noncontinuous) tunneling junction distribution results in the leakage current dominating the total dark current.

When the illumination light intensity changed, a semilogarithmic increase in \(V_{OC}\) with the photocurrent \(I_{SC}\) was observed, that is, \(V_{OC} = \frac{nkT}{q} \ln \left( \frac{I_{SC}}{I_{SC}^{0}} \right) \), where \(k\) is the Boltzmann constant, \(T\) is the temperature, \(q\) is

**Fig. 1. APV effect in OIHP solar cells.** (A) Scheme of the lateral perovskite solar cells before (top) and after electrical poling (middle and bottom), where the device structure is Au/MAPbBr\(_3\) (or MAPbI\(_3\))/Au. \(E_{g}\) bandgap. (B) \(I-V\) curves of the MAPbBr\(_3\) devices after different intensities of electrical poling (for example, poling for 1 to 2 min at 0.2, 0.3, 0.8, 2, and 5 V/μm, respectively). (C) \(I-V\) curves of the electrically poled (5 V/μm) MAPbBr\(_3\) devices with different electrode spacings. (D) Summarized \(V_{OC}\) of lateral MAPbBr\(_3\) devices with different poling electrical fields and electrode spacings. E-field, electrical field.
the unit charge, and \( n \) is the ideal factor (~31). This anomalous \( V_{OC} \) variation behavior, with respect to the incident light intensity (Fig. 2C), also excludes ion back diffusion as the dominating contribution to the photocurrent because ion back diffusion current is sensitive only to poling bias and not to light intensity. Note that if the \( I-V \) curve scan is conducted immediately after the electrical poling, then there is an ion back diffusion current component added onto the photocurrent (Fig. 2D). However, its contribution quickly decreases after poling and becomes negligible after \( \sim 200 \text{ s} \), the time scale in which the photocurrent was measured for this project. On the other hand, the photocurrent generated by the APV effect was much more stable. Figure 2E shows that the photocurrent output of a MAPbBr\(_3\) solar cell measured at a fixed voltage of 4 V can last for several hours. When stored in the dark, the electrically poled MAPbBr\(_3\) solar cell can maintain its above-bandgap \( V_{OC} \) overnight (Fig. S5). The slow photocurrent decrease in the time scale of 1000 s (Fig. 2E) is attributed to the light-induced degradation of the perovskite film, because the uncovered MAPbI\(_3\) (MAPbBr\(_3\)) tends to lose methylammonium and probably iodine much faster in vacuum and under illumination (see a demonstration in fig. S6).

To further confirm the over-bandgap \( V_{OC} \), we mapped the surface potential of the electrically poled OIHP solar cells by Kelvin probe force microscopy (KPFM) in \( N_2 \) atmosphere (Fig. 3A). The surface potential continuously changed from the cathode region to the anode region (Fig. 3, B and C) without any abrupt potential change (fig. S7). Under a light intensity of \( \sim 100 \text{ mW/cm}^2 \), a potential difference of 3.5 V from the anode to the cathode side was obtained under open-circuit conditions, which was above the bandgap. These KPFM results indicated that the anomalously large \( V_{OC} \) was uniformly contributed by the whole polycrystalline MAPbBr\(_3\) film, agreeing with the observation that larger electrode spacing yielded larger \( V_{OC} \).

**DISCUSSION**

We had previously established that poling of lateral structure films could yield the formation of p-i-n or p-n structure devices, which output a \( V_{OC} \) below the bandgap (17, 19). To interpret the APV phenomenon observed here, it is proposed that there are many local p-i-n or p-n structures formed separately in the polycrystalline MAPbBr\(_3\) (or MAPbI\(_3\) and CsPbBr\(_3\)) films during the poling process. Because both cation and anion vacancies need to move in opposite directions upon poling, p- and n-type doped regions are expected to be generated, and they can be adjacent to each other. The p-i-n or p-n structures comprise a certain amount of grains, which act as local photovoltaic units (PVUs) in the micrometer scale. However, it is well known that, in the absence of metallic interconnecting layers or tunneling junctions, simply connecting p/n/p/n structures does not form a tandem cell. Hence, there must be a mechanism that allows all the photovoltages generated in each individual PVU to be accumulated, even if their polarities are aligned by the poling field.

Formation of tunneling junctions in the perovskite films is possible because of the accumulation of ions or ion vacancies at locations where ions have low mobility, such as large-gap grain boundaries (GBs), with their direction normal to the applied electrical field or positions with nonuniform morphology. The buildup of ions with positive and negative...
charges around GBs can heavily n-dope (n++) and p-dope (p++) the MAPbBr$_3$ at opposite sides of GBs, forming an interfacial p$^+/n^+$ tunneling junction at the GBs. This ion blocking effect is not surprising because the ion migration rate should be nonuniform in polycrystalline film. It is not in conflict with our recent finding that ions migrate faster along the GBs than through lattice because, in that case, GBs are parallel with the applied field ($\gamma$).

The presence of a tunneling junction at GBs should give rise to abrupt band bending around GBs, which, however, was not observed in the surface potential mapping shown in Fig. 3 (B and C). This might be caused by the low resolution of KPFM (tens of nanometers), which is significantly larger than the tunneling junction thickness or GB thickness (which are in the nanometer scale). Another reason is that the tunneling junction formed in polycrystalline film is discontinuous and randomly dispersed at GBs (labeled as “dispersed tunneling junction” in Fig. 3D), which imperceptibly contributes to the photovoltage accumulation but may not form a clear abrupt potential change on the film surface. This is because the PVUs in the bulk of polycrystalline film are staggered in both the out-of-plane and in-plane directions, and the KPFM tip only collected an “averaged” signal under the conductive tip on the film surface. According to this picture, if the ion accumulation regions are well aligned and/or become continuous (labeled as “aligned tunneling junction” in Fig. 3E), then the presence of the tunneling junction should be detectable.

To verify this hypothesis, we conducted another KPFM study on MAPbBr$_3$ solar cells with artificial boundaries to control ion accumulation. This was realized by intentionally broadening the thickness of GBs with an electron beam (E-beam) under scanning electron microscopy (SEM; fig. S8). It is often observed that an E-beam (10 to 20 kV) causes the decomposition of OIHP materials. The evaporation of the MAPbBr$_3$ reduces the grain size and hence broadens the GBs (Fig. 3F), which allows the ion accumulation to be controlled at designated positions to form controllable patterns.

Here, we “wrote” two Z-shaped lines in the lateral structure devices by using an E-beam, as shown in Fig. 3G. After electrical poling, an over-bandgap V$_{OC}$ of 3.5 V was obtained in this sample. By aligning the ion

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**Fig. 3. Role of ion accumulation in APV effect.** (A) Scheme of the KPFM characterization, where the anode of the solar cell was grounded. (B) Surface potential distribution of the MAPbBr$_3$ device measured in the dark and under light (in N$_2$ atmosphere), respectively. (C) Surface potential profiles at the position marked in (B). (D) Scheme of the dispersed tunneling junction in OIHP film, which consists of many discontinuous, randomly distributed tiny tunneling junction regions. $I_{ph}$, photocurrent. (E) Scheme of the aligned tunneling junction. (F) Broadened GBs in OIHP film by E-beam treatment under SEM (10 to 20 kV), which was used to control the position of ion accumulation. (G) and (H) show the topography and surface potential of the E-beam-treated OIHP film (90 μm x 90 μm) with controlled ion accumulation pattern with “Z” shapes, respectively. (I) Topography (top) and surface potential (bottom) profiles of the position marked in (H).

accumulation, two abrupt band-bending regions appeared in the surface potential mapping image (Fig. 3H). Their positions coincided with the E-beam written regions (Fig. 3G), which should be attributed to the intentionally increased density of “dispersed tunneling junctions” inside the E-beam–treated region (~100 nm in width). This result demonstrated the close relationship between ion accumulation and the possibility of forming tunneling junctions. Figure 3I compares the morphology and surface potential profile in the position marked in Fig. 3H. The abrupt surface potential change was around 1.0 V for each junction. In the case of regular OIHP film without E-beam treatment, the ion accumulation and the tiny interfacial tunneling junction were randomly distributed (Fig. 3D). Nevertheless, these polycrystalline films still had the capability to generate an APV effect, although its equivalent circuit was not yet fully understood. A qualitative explanation based on the proposed working mechanism is that the band bending induced by local tunneling junctions can be an additional kind of driving force for the separation and collection of photogenerated charge carriers, which lead to an over-bandgap $V_{OC}$ (fig. S9). Phenomenally, a difference between the APV effect based on the dispersed tunneling junction and tandem solar cells is the continuously adjustable $V_{OC}$ by electrode spacing or poling electrical field in lateral OIHP solar cells (Fig. 1D).

We discovered that the APV effect in OIHP is sensitive to oxygen absorption. For the electrically poled OIHP solar cells with an initial $V_{OC}$ of 18 V (electrode spacing was 100 μm), the APV effect disappeared gradually when the device was exposed to oxygen (Fig. 4A). This $V_{OC}$ loss should not relate to material degradation by oxidation because it is reversible. Once the oxygen atmosphere was removed by vacuuming, the $V_{OC}$ recovered to be over-bandgap again (Fig. 4B). At an oxygen pressure of 100 to 1000 Pa, it took about 30 to 40 min for the OIHP solar cells to lose most of their $V_{OC}$, and likewise, under a vacuum condition, it took about 30 to 40 min to recover the anomalously large $V_{OC}$ (Fig. 4C), indicating a quick diffusion of oxygen in and out of the OIHP polycrystalline films. Under KPFM, the over-bandgap potential difference shown in Fig. 3B disappeared immediately when the atmosphere was changed from N$_2$ to air, leaving a residual $V_{OC}$ of ~1.0 V spanning the entire MAPbBr$_3$ film (fig. S10). Consistently, the tunneling junctions in MAPbBr$_3$ solar cells with controlled ion accumulation disappeared when the sample was exposed to air (Fig. 3, D and F). The disappearance of the tunneling junction may be caused by a much lower doping concentration at GBs by the charge trapping effect of absorbed oxygen (see the scheme in Fig. 4H). Zohar et al. (49) studied the electrochemical reaction in MAPbI$_3$ films with impedance spectroscopy, in which the n-type MAPbI$_3$...
In summary, we have demonstrated an APV effect for the first time in MAPbBr3, MAPbI3, and CsPbBr3 lateral structure solar cells. The largest obtained Voc (14 to 18 V) was multiple times larger than the materials’ bandgap. This APV effect was not related to the “ferroelectricity” of OIHP materials, as excluded by the polarized light study and elevated temperature study. This APV effect was sensitive to oxygen absorption. The origins of the APV effect have been proven to be the formation of dispersed tunneling junctions and many staggered local PVUs in OIHP film, which provide an experimentally proven mechanism for the explanations of APV effects. This discovery also provides a new strategy to form large and continuously adjustable photovoltages without being limited by the materials’ bandgap, which may find new applications in self-powered, highly integrated chips (for example, >5 V) or microelectromechanical systems. Moreover, this work also suggests that ion migration in OIHP is useful in some situations because it enables the formation of novel optoelectronic devices.

MATERIALS AND METHODS
Perovskite precursor synthesis
Methylammonium iodide (CH3NH3I) was synthesized by the method described by Lee et al. (3). A concentrated aqueous solution of hydroiodic acid [15.0 ml, 57 weight % (wt %) in water; Alfa Aesar] was reacted with methylamine (CH3NH2) (13.5 ml, 40 wt % in aqueous solution; Alfa Aesar) at 0°C for 2 hours with constant stirring under nitrogen atmosphere. Methylammonium iodide was crystallized by removing the solvent using a rotary evaporator. The generated white powder was washed three times with diethyl ether (Alfa Aesar) and then dried in a vacuum oven.

Film formation and device fabrication
The lateral MAPbBr3 and MAPbI3 devices were fabricated on pre-cleaned glass substrates. First, 75-nm-thick Au electrodes with varied spacings of 8, 30, 50, or 100 μm were thermally deposited using a shadow mask. Subsequently, a 300-nm-thick MAPbBr3 or MAPbI3 film was spin-coated on substrate with a “two-step” interdiffusion method. In particular, for the fabrication of MAPbBr3 film, PbBr2 (45 wt %) and MABr (4.0 wt %) were dissolved in dimethylformamide and 2-propanol, respectively, to form precursor solutions. The PbBr2 hot solution was spun onto glass at 6000 rpm. Then, the hot MABr solution was spin-coated on PbBr2 film at 6000 rpm for 35 s. The bilayer films were then annealed at 100°C for 1 hour. Similarly, for MAPbI3 film, PbI2 (40 wt %) and MAI (4.0 wt %) were first dissolved in dimethylformamide and 2-propanol, respectively, to form precursor solutions. The PbI2 hot solution was spun onto glass at 6000 rpm. Then, the hot MAI solution was spin-coated on PbI2 film at 6000 rpm for 35 s. The bilayer films were then annealed at 100°C for 1 hour. The CsPbBr3 films were fabricated by a one-step method. The precursor solution was prepared by dissolving 149 mg of CsBr and 257 mg of PbBr2 in a mixed solvent of 800 μl of N,N′-dimethylformamide and 200 μl of dimethyl sulfoxide. The solution was stirred overnight and filtered through a polytetrafluoroethylene filter. Then, the precursor solution was spun onto a glass substrate with gold electrodes at 4000 rpm for 30 s. The samples were drop-casted with 120 μl of toluene at the eighth second after the spin-coating started. After spin-coating, the sample was annealed at 100°C for 30 min.

Film and device characterization
Measurements on lateral solar cells were conducted in a probe station chamber under a vacuum of 10−5 Pa, with white light (25 mW/cm2) through a quartz window. The substrate was located on a metal plate with its temperature controlled by a heater and injected liquid N2, which could vary from 80 to 340 K. During the testing, the atmosphere of the probe station chamber was changed by injecting dry oxygen gas from a charging valve. A high voltage supply (Keithley 240A) with a maximum available voltage output of 1200 V was used for the poling process. A semiconductor analyzer (Keithley 4200) was used for the I-V characterization.

KPFM measurements
Measurements were conducted in a small, closed cell with continuous dry N2 flow or in air. Pt/Ir-coated conductive probes were used in the KPFM measurements. The two-pass KPFM mode was used to measure the topographic and surface potential signals from the same sample area. The first pass was used to acquire the morphology, and the second pass was used to acquire the surface potential with the tip lifted by a fixed distance following the surface profile. The scanning area and tip velocity were 90 μm × 90 μm (or 2 μm × 2 μm; 4 μm/s) and ~54 μm/s, respectively. The tip-surface distance for KPFM measurements was 40 nm for all samples. During testing, the perovskite solar cells were kept under open-circuit conditions with the cathode grounded within the shield of the equipment. A white light with an intensity of ~100 mW/cm2 was shined from the bottom of the transparent glass substrate. All the KPFM measurements were carried out at least 10 to 15 min after the electrical poling. The KPFM scanning process itself usually lasts for 20 to 200 min (turn light on, turn light off, change locations, adjust the N2 flow, etc.). During the KPFM scanning, the solar cells were kept under open-circuit conditions with no bias applied.

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