**Mechanism of Vps4 hexamer function revealed by cryo-EM**

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Vps4 is a member of AAA+ ATPase (adenosine triphosphatase associated with diverse cellular activities) that operates as an oligomer to disassemble ESCRT-III (endosomal sorting complex required for transport III) filaments, thereby catalyzing the final step in multiple ESCRT-dependent membrane remodeling events. We used electron cryo-microscopy to visualize oligomers of a hydrolysis-deficient Vps4 (vacular protein sorting-associated protein 4) mutant in the presence of adenosine 5'-triphosphate (ATP). We show that Vps4 subunits assemble into an asymmetric hexameric ring following an approximate helical path that sequentially stacks substrate-binding loops along the central pore. The hexamer is observed to adopt an open or closed ring configuration facilitated by major confor-mational changes in a single subunit. The structural transition of the mobile Vps4 subunit results in the repositioning of its substrate-binding loop from the top to the bottom of the central pore, with an associated translation of 33 Å. These structures, along with mutant-doping experiments and functional assays, provide evidence for a sequential and processive ATP hydrolysis mechanism by which Vps4 hexamers disassemble ESCRT-III filaments.

**INTRODUCTION**

The endosomal sorting complexes required for transport (ESCRTs) are a collection of evolutionarily conserved proteins that “catalyze” a neck-severing reaction leading to membrane budding away from the cytoplasm. ESCRTs function in a wide range of crucial biological processes, including multivesicular body biogenesis, budding of HIV, and cytokinesis. Central to the function of ESCRT are the ESCRT-III complex and the oligomeric AAA+ ATPase (adenosine triphatase) Vps4 (vacular protein sorting-associated protein 4). Site-specific adaptor proteins recruit subunits of the ESCRT-III complex to different membrane structures, where they assemble into helical filaments that can produce negative curvature and induce membrane scission. Vps4 catalyzes the disassembly of membrane-bound ESCRT-III filaments, and its function is essential for all ESCRT-dependent biological processes. To understand the mechanism of Vps4 function, we sought to determine electron cryo-microscopy (cryo-EM) structures of reconstituted Vps4 oligomers.

**RESULTS**

Although oligomerization is a prerequisite for Vps4 activity, a stable oligomer that is suitable for structural studies has proven to be elusive using wild-type protein. This is because adenosine 5'-triphosphate (ATP) hydrolysis is rapid and results in weak subunit interaction and oligomer disassembly. To overcome this problem, we explored the oligomerization properties of an ATP hydrolysis-deficient mutant, Vps4E233Q (12). Using size exclusion chromatography and multangle light scattering (MALS) analysis, we found that Vps4E233Q is a monomer that oligomerizes into a hexamer in the presence of ATP, similar to what has been proposed for the wild-type protein (13, 14). It has been shown that wild-type Vps4 can form a fully active hetero-oligomer with Vps4E233Q (15), and thus, that this mutant is a suitable alternative for cryo-EM studies of the Vps4 oligomer.

Vps4 oligomers suspended in vitreous ice for cryo-EM assume a highly preferred orientation that could not be alleviated with varying buffer conditions. To address this major limitation, we applied a novel strategy that involved merging cryo-EM projections recorded at tilts of 0° and 45° of the specimen stage, thereby sampling all missing views and eliminating three-dimensional (3D) reconstruction artifacts. We further used molecular dynamics flexible fitting (MDFF) to dock the subunit crystal structure of Vps4 (17) into the cryo-EM density, thereby obtaining pseudotomographic resolution models for each oligomer configuration. Comparison between the two structures shows that the remaining five subunits (B to F) in Vps4 occupy nearly identical positions.

The Vps4 hexamer is maintained by three regions of intersubunit interactions (Fig. 2A). The first interface lies between helix α11 in the large ATPase subdomain and a surface patch formed by the small ATPase subdomain and the β domain of a neighboring subunit. The second interface houses the canonical nucleotide-binding site, where a neighboring subunit provides the arginine finger residues that are critical for ATP hydrolysis (Fig. 6). These two subunit interfaces have been predicted on the basis of their homology to other AAA+ ATPases and have been experimentally confirmed (18). However, in addition, we observe a novel third interface involving a C-terminal helix α11 of one subunit that interacts with an interhelical loop (between α10 and α11) of a neighboring subunit. Mutation of two highly conserved residues, Arg^114 and Phe^333, led to a significant decrease in protein oligomerization and ATPase activity (Fig. S9), supporting the functional relevance of this third interface. In the open hexamer structure, all three interfaces exist...
**Fig. 1. Vps4 hexamers in open and closed conformations.** (A) and (B) Vps4 hexamer in the open (A) and closed (B) conformations. Top and middle: Side and top views, respectively, of cryo-EM density maps. Bottom: Top view of structural models. Difference densities (red) corresponding to ATP are shown at the same threshold cutoff. (C) Flexibly fit crystal structure of Vps4 subunit into the corresponding EM density region (subunit D, closed conformation). (D) Structural transitions between the open and closed Vps4 conformers. The conformers are aligned on the basis of subunits B to F (gray) and shown from the side of subunit A in the open (orange) and closed (yellow) conformations.

**Fig. 2. Subunit interfaces within the Vps4 hexamer.** (A) Ribbon representation of two neighboring Vps4 subunits with inserts showing details of the three interfaces (I to III). (B) Schematic diagram showing the presence of the three interfaces between different subunit pairs in the two Vps4 hexamer conformers. (C) Only partial ATP-binding sites are formed at the A/B subunit interface of the closed conformer.
between all subunit pairs except F/A. In the closed structure, the three interfaces exist in four subunit pairs (B/C, C/D, D/E, and E/F), whereas only the first interface is maintained in subunit pairs A/B and F/A (Fig. 2B). Thus, the structural transition of subunit A from the open to closed conformation involves breaking the interactions at interfaces II and III in A/B, followed by establishing interface I in F/A.

Difference mapping between each hexamer structure model and the corresponding cryo-EM 3D map reveals clear density attributed to ATP in the nucleotide-binding pockets of 5 of the 6 Vps4 subunits (Fig. 1, A and B). The only exception is subunit A in the closed conformer, where no significant density was observed, providing the first strong indication that subunit A reflects different nucleotide-binding states in the two structures.

Furthermore, subunit A in the closed conformer adopts a much more compact configuration between its large and small ATPase subdomains compared to all other subunits in both conformers (Fig. S10). For subunits A to E in the open conformer and subunits B to E in the closed conformer, the arginine finger residues from the neighboring subunit are in close contact with the nucleotide density, suggesting that these subunits are in the ATP-bound prehydrolysis state (Fig. 2A). For subunit A in the closed conformer, the neighboring arginine finger residues are far away from the nucleotide-binding pocket, suggesting that the mobile member of the Vps4 hexamer is in a posthydrolysis state. This interpretation agrees with the lack of nucleotide density in the binding pocket.

Given the unique and distinct conformational states displayed only by subunit A in the two conformers, we hypothesized that active hydrolysis in the hexamer occurs only at the A/B interface. To confirm this, we conducted mutant complementation experiments. As shown previously (15), addition of Vps4E233Q to wild-type Vps4 does not inhibit, but instead stimulates, ATP hydrolysis (Fig. 3A), suggesting that the mutant forms heterohexamers with wild-type Vps4. On the other hand, the arginine finger mutant of Vps4 (Vps4R288A/R289A) cannot oligomerize by itself and therefore has no ATPase activity (19). However, on the basis of our structures, Vps4E233Q can form an active heterohexamer with Vps4R288A/R289A if and only if the arginine finger mutant occupies the position of subunit A in the open conformer (Fig. 3B). As the arginine finger mutant was titrated with Vps4E233Q, robust ATPase activity was observed, although neither mutant alone is active (Fig. 3A) (12).

Consistent with our prediction that only one arginine finger mutant can be incorporated into the heterohexamer, the ATPase activity increases and plateaus as the molar ratio between Vps4R288A/R289A and Vps4E233Q approaches 1:5 (Fig. 3A). A similar trend was also observed for wild-type Vps4, suggesting that only one of the six subunits in the Vps4 hexamer may be active at any time. To gain a more quantitative assessment, we mixed different ratios of wild-type Vps4 and Vps4E233Q together, while maintaining the total concentration of Vps4 constant. Assuming that wild-type and mutant subunits incorporate randomly into a hexameric ring, the concentration of the heterohexamer ensemble composed of different numbers of wild-type and mutant subunits will be determined according to a binomial distribution that varies as a function of the molar ratio between the two subunits. Our results show that the experimental activity curve is in good agreement with the theoretical activity curve predicted for one wild-type subunit per hexamer being sufficient for full Vps4 hexamer ATPase activity (Fig. S11), reinforcing the finding that only one subunit is active at any given time.

Although ATP hydrolysis per se only requires one active subunit, disassembly of ESCRT-III filaments may require more than one active subunit in the hexamer. To examine this possibility, we used an established ESCRT-III disassembly assay for filaments formed by a Vps24-Vps2 chimera (Fig. 3C and fig. S12, A and B) (20, 21). In the presence of ATP, a large fraction of Vps24-Vps2 proteins are in the sol-
uble fraction when incubated with wild-type Vps4 (Fig. 3D and fig. S12C). Adding increasing amounts of Vps4E233Q mutant to a fixed amount of wild-type Vps4 gradually decreased the ability of Vps4 to disassemble Vps24-Vps2 filaments (Fig. 3D and fig. S12D). The results are in stark contrast to the stimulatory effect of Vps4E233Q on ATP hydrolysis, suggesting that processive engagement of multiple active subunits in a Vps4 hexamer is required for effective disassembly of ESCRT-III filaments.

DISCUSSION
Although we cannot completely rule out a probabilistic model, our analysis is in line with a sequential model of ATP hydrolysis by Vps4. The two hexamer structures suggest that interactions at the A/B interface weaken upon ATP hydrolysis and that subunit A establishes a new interaction with subunit F before dissociating from B (Fig. 1D). In the ensuing open conformer, subunit B assumes the role of the active subunit to undergo hydrolysis. With the active subunit alternating in a cyclic order, every subunit needs to wait for another five ATP hydrolysis events before it assumes the right position for catalysis.

Earlier studies have shown that active disassembly of ESCRT-III filaments requires the presence of loops lining the central pore of the Vps4 hexamer. Only one ESCRT-III subunit can bind to one Vps4 hexamer via the pore loops at any time (20–22), and the cryo-EM structures provide an explanation for this behavior. The pore loops stack sequentially to form a deep helical ladder (Fig. 4A), with pore loop 1 of subunit A being the only one exposed at the top of the ladder in the open conformation. In the closed conformation, pore loop 1 of subunit A translates by about 33 Å and relocates to the bottom, leaving pore loop 1 of subunit B exposed at the top of the ladder (Fig. 4B). Thus, only one pore loop 1 is exposed at a time and is in position to engage ESCRT-III. We postulate that the interaction of ESCRT-III with pore loops of subunit A induces a conformational change that accelerates ATP hydrolysis, which, in turn, results in the observed conformational change from open to closed, providing the necessary mechanical force for ESCRT-III disassembly (Fig. 4C). As has been suggested, the interaction between ESCRT-III and pore loops promotes unfolding of ESCRT-III and its dissociation from the filament (20). The cryo-EM structures suggest that the translocation of pore loops coupled with sequential ATP hydrolysis within the Vps4 hexamer provides the structural basis for ESCRT-III disassembly.

In conclusion, the structures described here provide crucial insights into the oligomerization of Vps4 into functional hexamers. The visualization of distinct conformers, supported by biochemical and functional analysis of mutants, reveals an elegant sequential ATP hydrolysis mechanism in the context of a stable asymmetric hexamer. The observed conformational changes appear compatible with the disassembly of ESCRT-III filaments in a processive and coordinated fashion (Fig. 4C and movie S2). These findings set the stage for further structural studies of Vps4 hexamers in association with substrate and regulatory proteins.

MATERIALS AND METHODS
Plasmid construction, protein expression, and purification
Saccharomyces cerevisiae Vps4 was expressed in Escherichia coli Rosetta (DE3) cells using a modified pET-21a vector with a His8 tag inserted before the protein-coding region. Site-directed mutagenesis was performed using a standard polymerase chain reaction (PCR) mutagenesis protocol (Stratagene). The codons used to introduce the point mutations for Vps4 were K179A (AAA→GCG), E233Q (GAA→GCG), R288A (AGA→GCG), C317S (TGC→AGC), C376S (TGC→AGC), R414A (CTG→GCG), and F432A (TTT→GCG). The S. cerevisiae Vps241–179–Vps2181–232 (Vps24-Vps2) construct was generated by overlapping PCR. The chimeric Vps24-Vps2 protein was expressed in E. coli Rosetta (DE3) cells using a modified pET-28b vector, with a small ubiquitin-like modifier (SUMO) protein tag inserted between a His6 tag and the protein-coding region.

Vps4, Vps4E233Q, Vps4K179A, Vps4R288A/Vps4R289A, Vps4R414A, Vps4E233Q/Vps4R414A, Vps4F432A, Vps4R414A/F432A, Vps4E233Q/R414A, Vps4E233Q/F432A, and Vps4E233Q/R414A/F432A were purified using a protocol, as previously described (23). Briefly, bacterial cells were grown to midlog phase in LB medium at 37°C and induced with 0.2 mM isopropyl β-D-1-thiogalactopyranoside for an additional 16 to 20 hours at 16°C. Harvested cells were lysed with sonication in buffer A [25 mM tris-HCl (pH 8.0), 300 mM NaCl, 5 mM 2-mercaptoethanol, and phenylmethylsulfonyl fluoride [10 μg/ml (w/v)]]. Cell lysate was cleared by centrifugation, and supernatant was loaded onto a Ni2+-nitrilotriacetic acid affinity column. Bound protein was washed with buffer A and eluted with buffer A supplemented with 250 mM imidazole. Fractions were pooled, and tobacco etch virus or Ubl-specific protease 1 was added to cleave off the His6 tag or His6-SUMO tag. After dialysis against a buffer containing 50 mM tris-HCl (pH 8.0) and 25 mM NaCl, the digested protein mixture was size-exclusion chromatography on a Superdex 200 column (GE Healthcare). Vps4 protein used for cryo-EM analysis was further purified by gel filtration on a HiLoad Superdex 200 (GE Healthcare) column equilibrated with buffer containing 50 mM tris (pH 7.5), 100 mM KCl, and 5 mM MgCl2. Vps24-Vps2 was purified in the same way as with Vps4. Following a Source
Q column affinity step, peak fractions containing untagged Vps24-Vps2 were pooled and further purified in buffer containing 20 mM tris (pH 8.0) and 100 mM NaCl on a HiLoad Superdex 75 (GE Healthcare) column.

Size exclusion chromatography and MALS analysis
Size exclusion chromatography was performed with a Superdex 200 10/300 GL (GE Healthcare) column to compare the elution profiles of wild-type and mutant Vps4 in the presence or absence of ATP. The column was equilibrated in 50 mM tris-HCl (pH 7.5), 100 mM KCl, and 5 mM MgCl₂, with or without 0.1 mM ATP. Vps4/E233Q, Vps4/E233Q/R414A, Vps4/E233Q/F432A, and Vps4/E233Q/R414A/F432A were analyzed at a concentration of 30 to 50 μM (fig. S9).

The average molecular weight of Vps4/E233Q was determined by separation using a WTC-050S5 column (Wyatt Technology Corporation) with an AKTA micro column (GE Healthcare) and by analysis using a DAWN HELEOS II MALS detector and Optlab rEX differential refractive index detector using ASTRA VI software (Wyatt Technology Corporation). The molecular weight was calculated from the Raleigh ratio based on the static light scattering and the corresponding protein concentration of a selected peak (fig. S1).

Cryo-EM specimen preparation and data acquisition
Protein samples were concentrated to 16.5 mg/ml and incubated for 10 min with 2 mM ATP. Sample (3 μl) at a concentration of 1 mg/ml was loaded onto glow-discharged QUANTIFOIL R2/2 200 mesh grids and flash-frozen using Vitrobot (FEI Mark IV). The samples were visualized at liquid nitrogen temperature on a Titan Krios electron microscope (FEI) operating at 300 kV. Cryo-EM images were recorded at a nominal magnification of ~29,000 using a K2 Summit direct electron detector (Gatan Inc.) in counted mode, corresponding to a pixel size of 1 Å per pixel with a dose rate of ~6.0 electrons Å⁻² s⁻¹. Vps4 oligomers were mostly observed in relatively thick ice, with apparent preferred top-down orientations. To compensate for the preferred particle orientation, images were taken at both tilt angles of 0° and 45° using defocus values ranging from ~3 to ~5 μm. The total exposure time was 8.0 s, and intermediate frames were recorded in 0.2-s intervals, resulting in an accumulated dose of ~48 electrons/Å² and a total of 40 frames per micrograph.

Cryo-EM image processing and 3D reconstructions
A total of 976 and 936 cryo-EM images tilted at 0° and 45°, respectively, were recorded during multiple microscope sessions. Dose-fractionated image stacks were binned 2 × 2 and were subjected to whole-frame motion correction using MotionCor2 (http://biorxiv.org/content/early/2016/07/04/061960). The power spectra of motion-corrected images were evaluated to remove micrographs showing resolution lower than ~8 Å, resulting in a working data set of 834 untilted and 856 tilted micrographs. A sum of the total 8-s frames in each image stack was integrated with dose filter applied using MotionCor2, and the integrated images were used for single-particle extraction and analysis. Contrast transfer function (CTF) parameters were determined by CTFFIND3 and CTFTILT (24) for untilted and tilted micrographs, respectively. The defocus value for each individual tilted particle was assigned according to its location in the corresponding micrograph, calculated by CTFTILT on the basis of micrograph rotating axis and angle.

A total of 183,658 particles were interactively selected using e2boxer.py (25). Two-dimensional and 3D classifications were performed on a binned data set with a pixel size of 2 Å and a box size of 128 × 128 pixels using RELION 1.3 (26). In the first step, a rough 3D map was generated ab initio by e2initial.py (25) using the 2D class averages as input and without enforcing any symmetry (step 1, fig. S3). Particle projections were subjected to 3D classification using the 60 Å filtered initial model as reference to sort out homogeneous subsets or different particle conformers. The classification was executed in eight classes, starting with an angular sampling of 7.5°, followed sequentially by angular samplings of 1.8° and 0.9° that were combined with local angular searches, yielding one well-defined class resembling the closed conformation (yellow map in step 2, fig. S3). To improve particle partitioning, the closed conformation map was low-pass-filtered to a resolution of 40 Å and was used as an initial model to repeat the 3D classification into eight classes (step 3, fig. S3). This process resulted in reconstructions representing closed and open hexamer conformations as well as apparent pentamers. After removing particles belonging to poorly defined classes (shaded maps in fig. S3), we performed an additional cycle of 3D classification into six classes using a 40 Å low-pass–filtered map of the closed conformation as reference (step 4, fig. S3). This process resulted in better-defined closed and open hexamers, as well as pentamer maps. Particle projections belonging to closed hexamer classes (orange maps in step 4, fig. S3) were pooled together and subjected to autorefinement in RELION 1.3 (26). The open conformation hexamers and pentameric particles were grouped together and subjected to one more cycle of 3D classification into two classes using the open hexamer conformation map as the initial model. This step resulted in well-defined open hexamer and pentamer classes (step 5, fig. S3) that were separately subjected to autorefinement and reconstruction. A soft mask covering the entire reconstruction volume was only applied at the last refinement cycle, producing final maps with global resolutions of 6.1 and 6.7 Å for closed (99,175 particles; 54%) and open (45,915 particles; 25%) hexamer conformations, respectively. About 20% (38,569 particles) of Vps4 oligomers were classified as apparent pentamers with a five-subunit configuration that is nearly identical to its counterpart in the hexamer structures.

Reported resolutions are based on the gold standard Fourier shell correlation (FSC) using the criterion of 0.143 (fig. S4) (27). High-resolution noise substitution was used to correct for the effects of soft masking on the FSC curves. All density maps were corrected for the modulation transfer function of the K2 Summit direct electron detector and then sharpened by applying a negative B-factor that was estimated using postprocessing in RELION 1.3 (26). Local resolution was determined using ResMap (28), with half-reconstructions as input maps.

Molecular dynamics flexible fitting
The program Situs (29) was used for rigid body fitting of six independent monomer structures [Protein Data Bank (PDB) ID: 2QPA] (17) into the cryo-EM density maps. Rigid body fitting was done successively for each of the six monomers comprising the molecular complex. After each monomer was fitted into the map, the simulated map of the newly added monomer was subtracted from the original map, and the next monomer was fit into the residual map. This process was repeated until all six monomers were accommodated into the density map. The six monomers were then simultaneously refined against the original map using the multiframe refinement program colllage from the Situs program package (29) to ensure that steric clashes between the monomers were eliminated. The resulting model provided the starting structure in the subsequent flexible fitting. The same rigid body fitting procedure was used for both closed and open conformations.

The starting monomer structure used in the rigid body fitting procedure was derived from chain A of the crystal structure of Vps4 with bound ATP (PDB ID: 2QPA) (17), which has the same sequence as the proteins used in

the present study. A phosphate group was added to transform adenosine 5’-diphosphate (ADP) into ATP, and Mg\(^{2+}\) was added to each monomer structure. The positions for both Mg\(^{2+}\) and the phosphate group were determined from the crystal structure of *S. cerevisiae* Vps4 in the presence of adenosine 5’-O-(3-thiotriphosphate (PDB ID: 3EIH) (18) after aligning it with 2QPA on the basis of the composite protein structures.

MDFF version 0.2 (16) was used to further refine our starting hexamer models via targeted flexible fitting into the cryo-EM density. These calculations were set up using VMD version 1.9.2 (30), and molecular dynamics was run using NAMD version 2.9 (31) with the CHARMM27 (32, 33) force field. For this process, the pore loop 1 region (residues 204 to 210 from all six subunits) of the hexamer structure that resulted from rigid body fitting was mutated into alanines, because the original side chains in this region were trapped in a local energy minimum. The mutated hexamer structure was solvated in a TIP3P (34) water box for each starting complex (closed or open conformation). The water boxes had dimensions of 180.1 × 179.6 × 178.4 Å for the closed conformation and 179.2 × 184.7 × 176.4 Å for the open conformation. The size of the water box was obtained by adding 25 Å to the size of the hexamer along each dimension. K\(^{+}\) and Cl\(^{-}\) ions were added to the system to maintain charge neutrality, as well as an excess ion concentration of 0.10 M KCl, and 5 mM MgCl\(_2\). Vps4 (25 µl) or the mixture of Vps4 and different mutants was incubated in buffer with 2 mM ATP (final concentration) for 10 min at 37°C. Malachite green reagent (80 µl) was then added, and the reaction was quenched with the addition of 10 µl of 32% (w/v) sodium citrate. Absorbance at 620 nm (A\(_{620}\)) was measured on a SpectraMax M5 microplate reader ( Molecular Devices). To account for background ATP hydrolysis, signal from an identically treated sample lacking protein was subtracted. Each experiment was repeated three times.

**Sedimentation analysis of filament disassembly**

Vps24–Vps2 chimeric filaments were assembled by concentrating the protein to at least 350 µM and by incubating at 4°C overnight. Filament formation was confirmed by negative-stain EM imaging (37) and ultra- centrifugation of protein samples in a TLA120.1 rotor at 60,000 rpm for 40 min at 10°C. After ultracentrifugation, the pellet and supernatant were diluted with equal volumes of SDS–polyacrylamide gel electrophoresis (SDS-PAGE) loading buffer, and equal volumes of supernatant and pellet fractions were analyzed on a 15% SDS-PAGE and visualized by Coomassie staining. The intensity of bands was quantified with ImageJ.

**SUPPLEMENTARY MATERIALS**

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/3/4/e1700325/DC1

**REFERENCES AND NOTES**


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