Emergence of topological semimetals in gap closing in semiconductors without inversion symmetry

Shuichi Murakami,1,2* Motoaki Hirayama,1,2 Ryo Okugawa,1 Takashi Miyake3

A band gap for electronic states in crystals governs various properties of solids, such as transport, optical, and magnetic properties. Its estimation and control have been an important issue in solid-state physics. The band gap can be controlled externally by various parameters, such as pressure, atomic compositions, and external field. Sometimes, the gap even collapses by tuning some parameter. In the field of topological insulators, this closing of the gap at a time-reversal invariant momentum indicates a band inversion, that is, it leads to a topological phase transition from a normal insulator to a topological insulator. We show, through an exhaustive study on possible space groups, that the gap closing in inversion-asymmetric crystals is universal, in the sense that the gap closing always leads either to a Weyl semimetal or to a nodal-line semimetal. We consider three-dimensional spinful systems with time-reversal symmetry. The space group of the system and the wave vector at the gap closing uniquely determine which possibility occurs and where the gap-closing points or lines lie in the wave vector space after the closing of the gap. In particular, we show that an insulator-to-insulator transition never happens, which is in sharp contrast to inversion-symmetric systems.

INTRODUCTION

In electronic band theory of crystals, degeneracy at each wave vector \( k \) is understood in terms of symmetry. A dimension of an irreducible representation (irrep) of a \( k \)-group at a given \( k \) point is equal to degeneracy at the \( k \) point considered. For example, a fourfold degeneracy of valence bands at the \( \Gamma \) point in cubic semiconductors comes from cubic symmetry. Meanwhile, proposals of topological semimetals have shown us other possibilities for band degeneracies, stemming from topology. In this topological semimetal, a band gap closes at generic \( k \) points, and this closing of the gap originates not from symmetry, but from topological reasons. There are various topological semimetals, such as Weyl, Dirac, and nodal-line semimetals. In Weyl semimetals (WSMs) (1–4), the band structure has three-dimensional (3D) nondegenerate Dirac cones. There are various proposals of materials for WSMs (2, 5–13), some of which have been experimentally confirmed, such as TaAs (14–16). Because of topological properties of Weyl nodes (1, 17, 18), characteristic surface states called Fermi arcs arise (2, 19–21). As another example of topological semimetals, a nodal-line semimetal (22–35) has line nodes along which the band gap closes. Because the emergence of topological degeneracy is accidental, the search for candidate materials realizing topological semimetals is still elusive.

Here, we consider physics of topological semimetals from a new perspective. We focus on the evolution of a band structure of a general inversion-asymmetric insulator by changing a single parameter, \( m \), which can be any parameter in the Hamiltonian. We suppose that the gap closes at some value of the parameter \( m \). We then prove that after a further change of the value of \( m \) (Fig. 1A), the system always becomes either (i) a nodal-line semimetal (Fig. 1B) or (ii) a WSM (Fig. 1C). We show that the space group of the crystal and the wave vector at the gap closing uniquely determines which possibility is realized and where the gap-closing points or lines are located after the closing of the gap. Here, we restrict ourselves to 3D spinful systems with time-reversal symmetry, that is, nonmagnetic systems with nonzero spin-orbit coupling (SOC). In particular, we find that an insulator-to-insulator (ITI) phase transition never occurs in any inversion-asymmetric systems. It is in sharp contrast to inversion-symmetric systems.

This work is motivated by the universal phase diagram (Fig. 2A) between a topological insulator (TI) and a normal insulator (NI) in three dimensions (1). Our result in the present paper indicates that when inversion symmetry is broken at a transition between different \( \mathbb{Z}_2 \) topological numbers (36, 37), that is, between a strong topological insulator (STI) and an NI [or a weak topological insulator (WTI)], a WSM phase always appears, as was expected by Murakami (1). This theory can be applied to any inversion-asymmetric crystals, such as BiTeI under high pressure (6) and Te under pressure (34).

RESULTS

Setup of the problem

We consider a Hamiltonian matrix \( H(k, m) \), where \( k \) is a Bloch wave vector and \( m \) is an external parameter controlling the gap. Furthermore, we assume that a space group of the system remains the same for any values of \( m \). To see how the gap closes, we assume that for \( m < m_0 \), the system is an insulator, and that at \( m = m_0 \), the gap closes at a wave vector \( k = k_0 \). We assume the Hamiltonian to be analytic with respect to \( k \) and \( m \). Then, we expand the Hamiltonian in terms of \( m - m_0 \) and \( q \equiv k - k_0 \), and retain some terms of lower order to see the evolution of the band structure for \( m > m_0 \). For the purpose of application to real materials, we consider all the 138 space groups without inversion symmetry. For each space group, there are various \( k \) points, such as \( \Gamma \), \( X \), and \( L \). Each \( k \) point is associated with a \( k \)-group (little group), which leaves the \( k \) point unchanged. To focus on the closing of the gap, we retain only the lowest conduction band and the highest valence band, whose irreps of the \( k \)-group at \( k_0 \) are denoted by \( R_c \) and \( R_v \), respectively. In our analysis, we use the complete list of double-valued irreps of \( k \)-groups by Bradley and Cracknell (38).

Varieties of 138 space groups, \( k \) points for each space group, and irreps at each \( k \) point lead to numerous possibilities. Our theory in this paper exhausts all the cases; we can substantially reduce the number of possible cases by the following considerations. First, we can exclude cases with dim \( R_c \geq 2 \) or dim \( R_v \geq 2 \), that is, the cases where the valence

---

*Corresponding author. Email: murakami@stat.phys.titech.ac.jp

1Department of Physics, Tokyo Institute of Technology, 2-12-1 Ookayama, Meguro-ku, Tokyo 152-8551, Japan. 2Tokodai Institute for Element Strategy, Tokyo Institute of Technology, 2-12-1 Ookayama, Meguro-ku, Tokyo 152-8551, Japan. 3Research Center for Advanced Industrial Science and Technology, Tsukuba 305-8568, Japan.

© The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. Distributed under a Creative Commons Attribution NonCommercial License 4.0 (CC BY-NC).
or the conduction band at $k_0$ has degeneracy; it is because the gap does not close at $k_0$. The reason is the following: If $\dim R_c \geq 2$ at $k_0$, the energy of the conduction band does not have a minimum at this $k_0$ point, because the degenerate states at $k_0$ will split linearly away from $k_0$ along a generic direction (see Fig. 3A). Therefore, the gap does not close at $k_0$. A similar argument applies to the valence band. Thus, we can safely restrict ourselves to the cases with $\dim R_c = \dim R_v = 1$; hence, the effective model is described by a $2 \times 2$ Hamiltonian matrix, which drastically simplifies our analysis. In particular, among possible wave vectors $k_0$, we exclude the time-reversal invariant momenta (TRIM), because the bands at the TRIM always have Kramers degeneracies.

Various cases of gap-closing events classified by symmetry

Next, we determine the Hamiltonian matrix $H(k, m)$ for each case from $R_c$ and $R_v$. Resulting behaviors of the closing of the gap are then classified in terms of $R_c$ and $R_v$. All the cases for the 138 space groups are summarized in the tables in the Supplementary Materials. Here, we briefly explain some representative cases of $k$-groups, and their details are given in Materials and Methods. In this analysis, in addition to point-group elements of a $k$-group, we have to consider symmetry operations of a form $\Theta O$, where $\Theta$ is a time-reversal operator and $O$ is a point-group element.

(i) No symmetry

We consider a generic $k$ point having no special symmetry; namely, a $k$-group consists only of an identity operation. The band gap can close there, and the closing of the gap always accompanies a pair creation of Weyl nodes, as shown by Murakami and Kuga (1, 39). This occurs because Weyl nodes are topological, having quantized monopole charges $q = \pm 1$ for the $k$-space Berry curvature; this topological property allows for pair creation of Weyl nodes with $q = +1$ and $-1$. We call this case of Weyl-node creation “1”, where 1 represents a number of monopole-antimonopole pairs (Fig. 3B).

(ii) $C_2$ symmetry

Suppose the $k$-group consists only of the twofold ($C_2$) symmetry. Then, there are two 1D irreps with opposite signs of the $C_2$ eigenvalues. Consequently, we have two cases: (ii-1) $R_c = R_v$ and (ii-2) $R_c \neq R_v$. For (ii-1) $R_c = R_v$, the gap cannot close by changing $m$ because of level repulsion. On the other hand, for (ii-2) $R_c \neq R_v$, the gap can close because there is no level repulsion at $k_0$, and we find that the closing of the gap accompanies the creation of a pair of Weyl nodes. When $m$ is increased, the two Weyl nodes (a monopole and an antimonopole) move along the $C_2$ axis, as shown in Fig. 3C. We call this case “1a”, where 1 represents the
number of monopole-antimonopole pairs and \( a \) means “axial,” that is, the relative direction between the two Weyl nodes is along a high-symmetry axis.

**(iii) \( C_2 \) and \( \Theta C_2 \) symmetries**

The problem becomes more complicated when the time-reversal operator \( \Theta \) is involved. Here, we consider a system with two \( C_2 \) symmetries \( C_{2y} \) and \( C_{2z} \), whose rotational axes \( (y \) and \( z \) cross perpendicularly. We focus on a \( k_0 \) point lying on the \( C_{2z} \) axis, but not on the \( C_{2y} \) axis; the \( k \)-group thus consists of \( C_{2z} \) and \( \Theta C_{2y} \). There are two eigenvalues of \( C_{2z} \) with opposite signs, yielding two irreps, and \( R_c \) and \( R_v \) can take either of these two irreps. We consider two cases, (iii-1) \( R_c = R_v \) and (iii-2) \( R_c \neq R_v \), separately. For (iii-1) \( R_c = R_v \), the closing of the gap leads to creations of two monopoles and two antimonopoles, and their trajectories are shown in Fig. 3D. As compared to (ii-1), the additional \( \Theta C_{2y} \) symmetry suppresses level repulsion at \( k_0 \), and therefore the gap can close. In our discussion, this behavior is called \( 2a \), where 2 means the numbers of monopole-antimonopole pairs and \( a \) means that the relative orientation between the two monopoles (and likewise, the two antimonopoles) is fixed to be along high-symmetry axes. For (iii-2) \( R_c \neq R_v \), a pair of Weyl nodes is created, and they move along the \( C_{2z} \) axis as in (ii-2) (Fig. 3C). It is written as \( 1a \).

**(iv) Mirror symmetry \( M \)**

We consider the \( k \)-group consisting only of a mirror symmetry or a glide symmetry. There are two representations with opposite signs of mirror (or glide) eigenvalues. In the case (iv-1) \( R_c = R_v \), the gap can close, leading to a creation of a pair of Weyl nodes. The trajectory of the monopole and that of the antimonopole are mirror images of each other. We call this pattern \( 1sa \), representing that the direction between the two Weyl nodes are always perpendicular to the mirror plane (Fig. 3E). The symbol \( s \) means that all the Weyl nodes are related by symmetry operations, and therefore, they are at the same energy. On the other hand, for (iv-2) \( R_c \neq R_v \), the gap closes along a loop (that is, nodal line) within the mirror plane in \( k \) space. We call this case \( 1\ell \), where \( \ell \) stands for “loop” and 1 represents the number of loops. This degeneracy along the loop occurs because on the mirror plane, there is no level repulsion between the valence and the conduction bands belonging to the different irreps.

**One hundred thirty-eight inversion-asymmetric space groups**

We can similarly calculate band structure evolution after the closing of the gap for all the high-symmetry points and lines for the 138 space groups without inversion symmetry. A complete list of all the cases is lengthy and is summarized in the tables in the Supplementary Materials. In Fig. 4, we summarize possible patterns for positions where the gap closes in \( k \) space for \( m > m_0 \), that is, after closing of the gap at \( k_0 \). In the figure, the individual patterns are represented as \( 1sp, 1\ell \), and so on, and their notations are explained as follows. The numbers in Fig. 4 (B to Q) represent the number of pairs of Weyl nodes, except for \( 1\ell, 2\ell, 3\ell, 4\ell, 6\ell \), where the number represents the number of loops (nodal lines). The symbol \( \ell \) means that there are nodal lines where the gap is closed. These nodal lines always appear on mirror planes and only when the valence and the conduction bands have different mirror eigenvalues. The symbol \( a \) (axial) denotes that the relative directions between the Weyl nodes are fixed to be along certain high-symmetry lines; meanwhile, the symbol \( p \) (planar) denotes that these directions are not confined along high-symmetry axes, but confined within high-symmetry planes. The symbol \( c \) (coplanar) denotes that all the monopoles and antimonopoles lie on the same high-symmetry plane. This symbol \( c \) is used only when there are more than one monopole-antimonopole pairs. The symbol \( t \) (tetrahedral) appears only for a few cases with tetrahedral or cubic symmetries. It means that four monopoles and four antimonopoles form eight vertices of a cube, whose center is a high-symmetry point, and four monopoles form a tetrahedron. The symbol \( s \) (symmetric) denotes that all the monopoles and the antimonopoles are related to each other by symmetry operations. In these cases, they are energetically degenerate, and it is possible to locate all the Weyl nodes on the Fermi energy. Otherwise, these monopoles and antimonopoles may not necessarily be at the same energy.

From this analysis, we conclude that there are only two possibilities after the closing of the gap in inversion-asymmetric insulators with time-reversal symmetry: nodal-line semimetals and WSMs. The nodal-line semimetals are denoted by \( n\ell \) \((n = 1, 2, 3, 4, \) and 6) (see Fig. 4A). Here, \( n \) is the number of nodal lines on mirror planes on which the highest valence and the lowest conduction bands have different mirror eigenvalues. The other possibility is the WSM, shown in Fig. 4 (B to Q). In some \( k \) with high symmetry, Weyl nodes and nodal lines are simultaneously generated. Remarkably, an ITI transition never occurs in the closing of the gap of the inversion-asymmetric insulators.

**Materials realization**

This universal result applies to any crystalline materials without inversion symmetry: Our first example is tellurium (Te), which has been theoretically shown to become a WSM at high pressure (7). Tellurium is a narrow-gap semiconductor without inversion symmetry, with its space group no.152 (P\( 3_1 \)21) or no.154 (P\( 3_2 \)21), which are mirror images of each other. At higher pressure, the gap closes and eventually a pair of

![Fig. 3. Behavior of Weyl points at closing of the band gap in inversion-asymmetric insulators.](http://advances.sciencemag.org/)
Weyl nodes are produced at each of the four $P$ points on the $K$-H lines. The Weyl nodes then move along the threefold screw axes ($K$-$H$ lines). According to our table, the only possibility of the gap closing is 1a, in agreement with the above result. Moreover, this 1a at the $P$ points is allowed only when the $C_3$ eigenvalues of the valence and conduction bands are different; it was confirmed by the ab initio calculation (7).

The second example is HgTe,S$_{1-x}$ under strain, which has been shown to become a WSM (10). It has a zinc blende structure (space group no. 216, F$43m$), but a strain along the [001] direction reduces the space group to no.119 ($I4m2$). In this case, when $x$ is increased from $x = 0$, the gap closes at four points on the $\Gamma$-$K$ lines ($\Sigma$ points) on the (110) and the (110) mirror planes, and then four pairs of Weyl nodes are created. The eight Weyl points then move within the $k_z = 0$ plane by a further increase of $x$ until they mutually meet at $\Sigma$ points and disappear after $\pm \pi/2$ rotation around the [001] axis (10). According to our table, the gap closing at each $\Sigma$ point corresponds to 1sa, meaning that the Weyl nodes move perpendicularly to the (110) or the (110) mirror planes, which agrees with the previous work (10).

Because degeneracies in topological semimetals are accidental, an efficient and systematic search of topological semimetals is difficult. Moreover, these degeneracies occur at generic $k$ points; therefore, they may easily be overlooked in ab initio calculations, where band structure is usually calculated only along high-symmetry lines. Thus, a search of topological semimetals is an elusive issue.

Our results can be used for the search of topological semimetal materials. For example, we find that HfS has nodal lines near the Fermi level. HfS has a valley of the density of states near the Fermi level because of the following two reasons: One is that due to covalent bonds from S 3$p$ orbitals, valence electrons in $p$ orbitals tend to make a gap at the Fermi level to lower the total energy; it is prominent for atoms with large electronegativity. The other is an open shell of Hf 5$d$ orbital (5$d^f$) having strong SOC. The structure of HfS is the same as that of tungsten carbide (WC) (Fig. 5A), with the space group no.187 ($P6m2$) (40). Figure 5B shows the electronic band structure of HfS. If the SOC is neglected, Dirac nodal lines exist around the K points on the $k_z = 0$ plane. The SOC lifts the degeneracy of the Dirac nodal lines, and Weyl nodal lines appear instead, near the K points on the mirror plane $k_z = 0$. By applying pressure (Fig. 5C) or by atomic substitution from S to Se, the nodal lines become smaller. At 9 GPa, the nodal lines shrink to points ($T$ points) on the $K$-$\Gamma$ lines, and then the gap opens above 9 GPa. This evolution of the band structure corresponds to 1f in our table.

Next, we show LuSI as a WSM under pressure. The conduction and the valence bands originate from Lu 6$s$ + 5$d$ orbitals and S 3$p$ (and 1$p$) orbitals, respectively. The structure type of LuSI is GdSI (Fig. 5E), with the space group no.174 ($P6$) (41). Each type of atom constitutes a distorted trigonal lattice, which displaces the gap away from the $\Gamma$ point. Figure 5F shows the electronic structure of LuSI having a very narrow gap (<0.04 eV) near the $M$ point. It becomes a WSM under pressure, as shown in Fig. 5G, with details shown in Materials and Methods. Six monopoles and six antimonopoles exist at the same energy because they are related by symmetry. By applying pressure, the band gap first closes at six generic points on the $k_z = 0$ plane, related to each other by sixfold

---

**Fig. 4. All the patterns of nodes of gap-closing points after parametric closing of the gap.** (A) A line node for a nodal-line semimetal. Although the figure shows the case with a single line node, the number of line nodes can be 1, 2, 3, 4, or 6. (B to P) All the patterns of Weyl nodes after parametric gap closing at $k_0$. Solid lines denote high-symmetry directions. In (G) to (O) and (Q), the z axis, taken to be perpendicular to the plane, is parallel to a high-symmetry axis. $q = k - k_0$ is the momentum measured from $k_0$. In (I), (L), (M), and (N), all the Weyl nodes are coplanar with $k_0$, whereas in (G), (H), (J), (K), (O), and (Q), the Weyl nodes are mutually displaced along the z direction.
symmetry. It corresponds to $I_{\text{sa}}$ because of the mirror symmetry $M_z$, belonging to the case (iv-1).

We show another example, MgPt, as a material having Weyl nodes. MgPt has the FeSi-type structure (Fig. 5I), having the space group no.198 ($P\overline{2}13$) (42). Figure 5 (J and K) shows the electronic structure of MgPt. The band near the Fermi level originates from Pt $5d$ orbitals having strong SOC. Weyl nodes $w_1$ and $w_2$ exist at general $k$ points with no symmetry.

**Topological phase transitions and $\mathbb{Z}_2$ topological number**

Let us turn to $\mathbb{Z}_2$ phase transitions in three dimensions, that is, STI-NI (or STI-WTI) phase transitions. In the universal phase diagram (Fig. 2A) between an STI and an NI (or an WTI), there should be a finite region of a WSM phase when inversion symmetry is broken, as shown in the previous works (1, 39); nevertheless, in its derivation (1), crystallographic symmetries, except for inversion symmetry, are not considered. The result in the present paper shows that this conclusion of existence of the WSM phase in the $\mathbb{Z}_2$ phase transition holds true in general, whenever inversion symmetry is broken. One of the remarkable conclusions here is that an ITI transition never occurs in inversion-asymmetric crystals. This is in strong contrast to inversion-symmetric systems, where a transition between different $\mathbb{Z}_2$ topological phases always occurs as an ITI transition (that is, at a single value of $m$), as seen in TlBi(S$_{1-x}$Se$_x$)$_2$ at around $x \sim 0.5$ (43, 44).

We apply this theory to BiTeI, which lacks inversion symmetry (45), having the space group no.156 ($P\overline{3}m1$). BiTeI is an NI at ambient pressure and has been proposed to become an STI at high pressure (46, 47). Subsequently, ab initio calculations in previous work (6) showed existence of a WSM phase between the NI and the STI phases in a narrow window of pressure, which had been overlooked in some previous works (46, 47). When the pressure is increased, the gap first closes at six $S$ points on the $A$-$H$ lines, and then six pairs of Weyl nodes are created. The six monopoles and six antimonopoles move in opposite directions; subsequently, they annihilate each other at generic points on three mirror planes, leading to the STI phase (6). Combination of all the trajectories of the Weyl nodes yields a loop around the TRIM point ($A$ point); it means that a band inversion occurs at the $A$ point between the low-pressure NI phase and the high-pressure STI phase and results in a subsequent change of the $\mathbb{Z}_2$ topological number (1, 39). From our table, the space group no.156 at six $S$ points gives $1\text{sp}$, meaning that immediately after the pair creations, they move perpendicularly to the $A$-$H$ line, which is in agreement with the previous work (6).

Other examples are LaBi$_{1-x}$Sb$_x$Te$_3$ and LuBi$_{1-x}$Sb$_x$Te$_3$, which have been proposed to undergo an NI-WSM-TI phase transition by changing $x$ (6). The space group is no.160 ($R\overline{3}m$), lacking inversion symmetry. By increasing $x$, the band gap closes at six generic points, corresponding to creation of Weyl nodes (6). The resulting 12 Weyl nodes move in $k$ space.

![Fig. 5. Examples of proposed inversion-asymmetric topological semimetals found using the present theory.](http://advances.sciencemag.org/)

(A) Crystal structure of HfS. (B and C) Electronic band structure of HfS. (C) The phase transition of HfS under pressure. $T_1$ and $T_2$ are the points of the intersection of the nodal line with the $K$-$\Gamma$ line. (D) Brillouin zone of HfS. (E) Crystal structure of LuSI. (F) Electronic band structure of LuSI. (G) Band structure of LuSI under pressure, where the lattice constant $c$ is multiplied by 0.945. (H) Positions of the Weyl nodes of LuSI under pressure on the $k_z = 0$ plane. (I) Crystal structure of MgPt. (J and K) Electronic band structure of MgPt. (L) The Brillouin zone of LuSI and MgPt. The energy is measured from the Fermi level.
until they are annihilated at the six \( B (=\Sigma) \) points. In our table, the \( B \) point corresponds to \( 1sp \), that is, the pair annihilation occurs between two Weyl nodes, which are moving perpendicularly to the normal direction of the mirror planes. It agrees with the previous work \((6)\).

**DISCUSSION**

To summarize, we investigate the evolution of the band structure after parametric closing of the band gap in inversion-asymmetric crystals. We found that only two possibilities occur. One possibility is the WSM phase, and closing of the gap corresponds to monopole-antimonopole pair creations of Weyl nodes. Distribution of the Weyl nodes in \( k \) space after the closing of the gap is uniquely determined by symmetry. The other possibility is a nodal-line semimetal, with a gap closed along loops on mirror planes. From these results, we show that in any topological phase transitions with different \( Z_2 \) topological numbers (without inversion symmetry), there should be a WSM phase between the two bulk-insulating phases. These results give us a systematic way to search materials for topological semimetals.

**MATERIALS AND METHODS**

**Details of the first-principles calculation**

The electronic structure was obtained from the local density approximation (LDA) of the relativistic density functional theory (DFT). Calculation of the electronic structure was performed using OpenMX code (www.openmx-square.org/) based on localized basis functions and norm-conserving pseudopotentials. We used \( 12 \times 12 \times 12 \) k-point sampling for HfS, HfSe, and MgPt, and \( 6 \times 6 \times 12 \) k-point sampling for LuSI. We used the experimental lattice parameters of these materials at ambient pressure \((40–42)\). Lattice optimization for HfS under pressure is based on the LDA and was carried out using Quantum Materials Simulator (QMAS) code (https://qmas.jp/) based on the projected augmented-wave method. We used \( 12 \times 12 \times 12 \) k-point sampling and 40 rydberg as a plane-wave energy cutoff in the lattice optimization. We also checked dynamic stability of HfS under pressure because it does not affect the gap-closing event. Because the gap closes at \( m = m_0 \), \( \mathbf{q} = 0 \), we had \( a_i(\mathbf{q} = 0, m = m_0) = 0 \), where \( i = 1, 2, 3 \). We assumed that when \( m < m_0 \), the gap is open. In each case presented below, we examined whether the gap can close or not by counting a codimension, \( d_\Sigma \), that is, the number of parameters to be tuned to close the gap. If the codimension is equal or lower than the number of tunable variables, the gap can close there; otherwise, the gap does not close.

(i) **No symmetry**

When there is no special symmetry at the \( k \) point, the Hamiltonian is not restricted by symmetry. The gap of the \( 2 \times 2 \) Hamiltonian closes when \( a_x = a_y = a_z = 0 \); this condition determines a curve in the 4D space \((\mathbf{q}, m)\). This curve goes through the point \((\mathbf{q} = 0, m = m_0)\), but it does not exist in the \( m < m_0 \) region by assumption, and therefore, the curve has a minimum value of \( m \) at \( m = m_0 \) (Fig. 6A). Therefore, as the value of \( m \) increases, the gap-closing point appears at \( \mathbf{q} = 0 \) for \( m = m_0 \), and then it splits into two points (Weyl nodes) when \( m \) is further increased. It is a pair creation of a monopole and an antimonopole.

(ii) **\( C_2 \) symmetry**

Suppose \( \mathbf{k} \) is invariant only by a twofold \((C_2)\) rotation taken to be around the \( z \) axis. For (ii-1) \( \mathcal{R}_c = \mathcal{R}_z \), the \( 2 \times 2 \) Hamiltonian satisfies \( H(q_x, q_y, q_z, m) = \sigma_x H(-q_x, -q_y, q_z, m) \), where \( \mathbf{q} \equiv \mathbf{k} - \mathbf{k}_0 \). In particular, at \( \mathbf{k} = \mathbf{k}_0 \), the above equation becomes trivial, imposing no constraint on the Hamiltonian. Therefore, the gap cannot close by changing a single parameter, \( m \), because three conditions \([a_i(\mathbf{q}_s = 0, m = 0, (i = x, y, z)]\) cannot be simultaneously satisfied in general.

On the other hand, for (ii-2) \( \mathcal{R}_c \neq \mathcal{R}_z \), the opposite signs of the \( C_2 \) eigenvalues lead to an equation \( H(q_x, q_y, q_z, m) = \sigma_z H(-q_x, -q_y, q_z, m) \). In particular, for \( q_x = q_y = 0 \), we obtained \( H(0, 0, q_z, m) = a_z(q_z, m) \sigma_z \), whose gap closes when \( a_z(q_z, m) = 0 \). From our assumption, it is satisfied when \( q_z = 0, m = m_0 \) but is not for \( m < m_0 \). Hence, the value of \( m \) satisfying \( a_z(q_z, m) = 0 \) as a function of \( q_z \) should have a minimum at \( q_z = 0 \) (Fig. 6B). It leads to bifurcation into two solutions on the \( q_z \) axis as \( m \) increases across \( m_0 \) and it describes a monopole-antimonopole pair creation of Weyl nodes. Therefore, two Weyl nodes are created at \( m = m_0 \) and they move along the \( C_2 \) axis. We call this case “1a.”

(iii) **\( C_2 \) and \( O_C \) \( 2 \) \( \Sigma \) \( 2 \) \( \epsilon \)**

Suppose the wave vector \( \mathbf{k} \) is invariant under \( C_2 \( \Sigma \) \( 2 \) \( \epsilon \) ). There are two eigenvalues of \( C_2 \( \Sigma \) \( 2 \) \( \epsilon \) ), with opposite signs, yielding two irreps. For (iii-1) \( \mathcal{R}_c = \mathcal{R}_z \), the Hamiltonian satisfies \( H(q_x, q_y, q_z, m) = H(-q_x, -q_y, q_z, m) \), where \( \ast \) represents complex conjugation. These equations lead to \( a_x = f_z(q_x^2, q_y^2, q_z, m), a_y = q_x q_y f_z(q_x^2, q_y^2, q_z, m) \), and \( a_z = f_z(q_x^2, q_y^2, q_z, m) \). As \( m \) is increased, it describes creations of

![Fig. 6. Values of m for closing of the gap as a function of q.](https://advances.sciencemag.org/)

---


6 of 8
two monopoles and two antimonopoles at \( q = 0 \), \( m = m_0 \). For \( m > m_0 \), two monopoles are at \( (x^2, 0, 0) \) on the \( x_2 \) plane and two antimonopoles are at \( (0, x_2, 0) \), \( q_d, q_a, q'_d, q'_a \) depend on \( m \) (or the positions of the monopoles and antimonopoles might be exchanged). Notably, in contrast to (ii-1), where the level repulsion prohibits closing of the gap in the present case (iii-1), the additional \( O_{3h} \) symmetry gives rise to additional constraints and suppresses level repulsion. In our list, this behavior in (iii-1) is represented as 3a.

For (iii-2) \( R_c \neq R_x \), the Hamiltonian satisfies \( H(q_0, q_0, q_m) = \sigma H(q_0, q_0, -q_m) \). The similar discussion as in (iii-1) leads to the results \( a_0 = q_0 f(q_0, q_0, q_0, m), a_0' = q_0 f(q_0, q_0, q_0, m), \) and \( a_0' = q_0 f(q_0, q_0, q_0, m) \). Therefore, for \( q_0 = q_0 = 0, \) \( a_0 \) and \( a_0' \) identically vanish, and the remaining condition \( a_0(0, 0, q_0, m) = 0 \) describes a pair creation of Weyl nodes at \( q = 0 \) and \( m = m_0 \) as in (ii-2). It is written as 1a.

(iv) Mirror symmetry

Let the \( z \) axis denote the direction normal to the mirror plane. Because a square of the mirror operator \( M \) is equal to \(-1\) for mirror symmetry or an identity operator times a \( k \)-dependent factor for glide symmetry, there are two representations with opposite signs of mirror eigenvalues. For (iv-1) \( R_c \neq R_x \), the Hamiltonian satisfies \( H(q_0, q_0, q_m) = H(q_0, q_0, -q_m) \). This is automatically satisfied on the mirror plane \( q_0 = 0 \). Thus, closing of the gap on the mirror plane imposes three conditions \( a_0(q_0, q_0, 0, m) = 0, i = x, y, z \) for three variables \( q_0, q_0, q_0 \). This set of equations can have solutions on the mirror plane, and this closing of the gap accompanies a pair creation of Weyl nodes, which will then move symmetrically with respect to the mirror plane. We call this pattern 1sa.

On the other hand, for (iv-2) \( R_c \neq R_x \), we obtained \( H(q_0, q_0, q_m) = \sigma H(q_0, q_0, -q_m) \). Hence, within the \( q_0 = 0 \) plane, the Hamiltonian is expressed as \( H(q_0, q_0, 0, m) = a_0(q_0, q_0, 0, m) \). Closing of the gap imposes only one condition, \( a_0(q_0, q_0, 0, m) = 0 \) (that is, \( d = 1 \)), for three variables \( q_0, q_0, \) and \( m \); therefore, the gap can close by changing \( m \). By assumption, the gap-closing condition \( a_0(q_0, q_0, 0, m) = 0 \) does not have solutions for \( m < m_0 \), but has a solution at \( q_0 = q_0 = 0, m = m_0 \). Therefore, the value of \( m \) as a function of \( q_0 \) and \( q_0 \) has a minimum at \( q_0 = q_0 = 0 \), as shown in Fig. 6C. When \( m \) is larger than \( m_0 \), the gap closes along a loop (nodal line) within the \( q_0 = 0 \) plane. We call this case 1f.

**Supplementary materials**

Supplementary material for this article is available at [http://advances.sciencemag.org/cgi/content/full/3/5/e1602680/DC1](http://advances.sciencemag.org/cgi/content/full/3/5/e1602680/DC1)

**Supplementary Text**

fig. S1. Trajectories of Weyl nodes after a pair creation at \( k_0 \) for representative cases. fig. S2. Phonon dispersion of HFS.

table S1. Patterns of gap-closing points after parametric gap closing for trigonal and monoclinic space groups.

table S2. Patterns of gap-closing points after parametric gap closing for orthorhombic space groups.

table S3. Patterns of gap-closing points after parametric gap closing for tetragonal space groups.

table S4. Patterns of gap-closing points after parametric gap closing for trigonal and hexagonal space groups.

table S5. Patterns of gap-closing points after parametric gap closing for cubic space groups.

**References and notes**


**Acknowledgments:** We thank S. Ishibashi for providing us with the ab initio code (QMAS) and pseudopotentials. **Funding:** This work is partially supported by Japan Society for the Promotion of Science KAKENHI grant numbers JP26287062, JP26103006, and JP16H06085, and by MEXT (Ministry of Education, Culture, Sports, Science and Technology) Elements Strategy Initiative to Form Core Research Center (Tokoda Institute for Element Strategy). **Author contributions:** All authors contributed to the main contents of this work. S.M. conceived and supervised the project and also did analytical calculations for classifications in terms of the space groups with the help of R.O. M.H. performed the ab initio calculation with contributions from T.M. R.O. also constructed the theory on real materials, such as BiTeI, with the help of S.M. M.H., R.O., and S.M. drafted the manuscript. T.M. gave critical revisions of the manuscript. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

Submitted 31 October 2016
Accepted 7 March 2017
Published 12 May 2017
10.1126/sciadv.1602680

Emergence of topological semimetals in gap closing in semiconductors without inversion symmetry
Shuichi Murakami, Motoaki Hirayama, Ryo Okugawa and Takashi Miyake

Sci Adv 3 (5), e1602680.
DOI: 10.1126/sciadv.1602680

ARTICLE TOOLS
http://advances.sciencemag.org/content/3/5/e1602680

SUPPLEMENTARY MATERIALS
http://advances.sciencemag.org/content/suppl/2017/05/08/3.5.e1602680.DC1

REFERENCES
This article cites 43 articles, 4 of which you can access for free
http://advances.sciencemag.org/content/3/5/e1602680#BIBL

PERMISSIONS
http://www.sciencemag.org/help/reprints-and-permissions

Use of this article is subject to the Terms of Service

Science Advances (ISSN 2375-2548) is published by the American Association for the Advancement of Science, 1200 New York Avenue NW, Washington, DC 20005. 2017 © The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. No claim to original U.S. Government Works. The title Science Advances is a registered trademark of AAAS.