Superconductivity across Lifshitz transition and anomalous insulating state in surface K-dosed (Li0.8Fe0.2OH)FeSe

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In iron-based superconductors, understanding the relation between superconductivity and electronic structure upon doping is crucial for exploring the pairing mechanism. Recently, it was found that, in iron selenide (FeSe), enhanced superconductivity (Tc of more than 40 K) can be achieved via electron doping, with the Fermi surface only comprising M-centered electron pockets. By using surface K dosing, scanning tunneling microscopy/spectroscopy, and angle-resolved photoemission spectroscopy, we studied the electronic structure and superconductivity of (Li0.8Fe0.2OH)FeSe in the deep electron-doped regime. We find that a Γ-centered electron band, which originally lies above the Fermi level (EF), can be continuously tuned to cross EF and contribute a new electron pocket at Γ. When this Lifshitz transition occurs, the superconductivity in the M-centered electron pocket is slightly suppressed, and a possible superconducting gap with a small size (up to ~5 meV) and a dome-like doping dependence is observed on the new Γ electron pocket. Upon further K dosing, the system eventually evolves into an insulating state. Our findings provide new clues to understand superconductivity versus Fermi surface topology and the correlation effect in FeSe-based superconductors.

INTRODUCTION

In high-Tc iron-based superconductors, carrier doping is one of the principal routes to induce superconductivity. Many factors, such as the density of states (DOSs), Fermi surface topology and nesting condition, and correlation strength, may significantly with carrier concentration. Detailed knowledge of the electronic structure versus doping is critical for understanding the pairing mechanism. Recently, it was found that through heavy electron doping, the Tc of FeSe can be enhanced from the bulk value of 8 K to more than 40 K. The doping can be achieved via interlayer intercalation [AFe2-xSex] (A = K, Rb, ...) (1, 2), [(Li,NH3)0.33FeSe3] (3), [(Li1-xFe0.25OH)FeSe4] (4), interface charge transfer (FeSe/SrTiO3) (5), surface K dosing (6), and ionic-liquid gating (7–9). Angle-resolved photoemission spectroscopy (ARPES) studies show that Tc enhancement in these systems is universally accompanied by a vanishing of the Γ hole pockets and that the superconducting gap on the M electron pockets is nodeless (10–14). Meanwhile, scanning tunneling microscopy (STM) studies suggest that the pairing symmetries of single-layer FeSe/SrTiO3 and (Li0.3Fe0.7OH)FeSe are plain s-wave (15, 16), which differs from the s±-wave of bulk FeSe and FeTe1−xSex (17, 18), and that double-dome–like superconductivity is observed in FeSe films upon K dosing (19). These results indicate that the high-Tc phase in heavily electron-doped FeSe may be quite different from that in undoped FeSe, with changes in Fermi surface topology likely playing a crucial role.

Despite the Tc enhancement, the detailed phase diagram of electron-doped FeSe, particularly in the region beyond “optimal” doping, is still not fully understood. Recent ARPES results show that after FeSe films enter the high-Tc phase via surface K dosing, the electron correlation anomalously increases upon further doping, and eventually, an insulating phase emerges (20). This indicates remarkable complexity and new physics in the “overdoped” region. Here, by using low-temperature STM and ARPES, we studied the detailed evolution of the superconductivity and electronic structure of (Li0.8Fe0.2OH)FeSe via surface K dosing. (Li0.8Fe0.2OH)FeSe is already heavily electron-doped with a Tc of ~40 K (4, 16). Surface K dosing can further increase the doping level of the surface FeSe layer. We observe that an unoccupied, Γ-centered electron band shifts significantly to the Fermi level (EF) with increasing K coverage (Ks), whereas the double superconducting gap on M-centered electron pockets gets suppressed slightly. At certain Ks, the Γ-centered band crosses EF, resulting in a Lifshitz transition of the Fermi surface. Shortly after the transition, a superconducting-like gap (up to 5 meV) opens at EF, showing a dome-like dependence on Ks. This represents a new Fermi surface topology for iron-based superconductors, which has sizable electron Fermi pockets at both the Brillouin zone center and the zone corner. At even higher Ks, the system eventually evolves into an insulating phase, characterized by a large, asymmetric gap in excess of 50 meV. The presence of a novel Fermi surface topology, anomalous insulating phase, and the continuous tunability make (Li0.8Fe0.2OH)FeSe a unique platform for gaining insight into the mechanism of iron-based superconductors.

RESULTS

Characterization of the as-cleaved FeSe surface

(Li0.8Fe0.2OH)FeSe single crystals with a Tc of ~42 K (see fig. S1) were grown by hydrothermal reaction method (4, 21). Details of the sample preparation and STM measurement are described in Materials and Methods. There are two possible surface terminations in a cleaved sample, namely, Li0.8Fe0.2OH-terminated and FeSe-terminated surfaces, as reported previously (16). Here, we focus on the FeSe surface with K dosing (see Materials and Methods for details). Figure 1A shows a topographic image of an as-cleaved FeSe surface. The square Se lattice (inset) and some dimer-shaped defects can be resolved. The dV/dI spectrum of this surface taken near EF shows a double superconducting gap (Fig. 1B). For comparison, the topographic image

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and scanning tunneling spectroscopy (STS) of the Li_{0.8}Fe_{0.2}OH surface are shown in fig. S2, which are distinct from the FeSe surface. The gap sizes of the FeSe surface determined from the two sets of coherence peaks are $\Delta_1 = 14.2$ meV and $\Delta_2 = 8.9$ meV, similar to previous reports (16, 22). As shown by ARPS studies (13, 14), these superconducting gaps are from M-centered electron pockets, whereas the double-peaked structure could be due to gap anisotropy (23) or band hybridization (22). The gap is found to be spatially homogeneous on the FeSe surface (see fig. S3), confirming the high quality of the sample.

Figure 1C shows the typical $dI/dV$ spectrum of the FeSe surface on a larger energy scale ($\pm 200$ meV). The tunneling conductance is relatively low near $E_F$ but increases rapidly above 70 mV and below $\pm 55$ mV. The double superconducting gap is not observable on this scale. We note that Huang et al. (24) observed similar $dI/dV$ spectra in single-layer FeSe/SrTiO$_3$. They revealed that an unoccupied, $\Gamma$-centered electron band gives the steep $dI/dV$ upturn at positive bias. This band is well reproduced in density functional theory (DFT) calculations (24, 25). The $dI/dV$ upturn at negative bias is from the onset of a $\Gamma$ hole band below $E_F$. As explained by Huang et al. (24), the relatively low $dI/dV$ near $E_F$ is due to the M-centered electron bands (which dominate the DOS at $E_F$ here) having a shorter decay length into the vacuum compared to $\Gamma$-centered bands, resulting in much lower tunneling probability. The ARPS data of as-cleaved (Li_{0.8}Fe_{0.2}OH)FeSe, as presented in Fig. 1D, display a similar band structure as single-layer FeSe/SrTiO$_3$. Hence, we would expect the resemblance in their tunneling spectra (on both FeSe surfaces). Below, we refer to the $\Gamma$-centered electron-like band as the $\alpha$ band, $\Gamma$-centered hole-like bands as $\beta$ bands, and the M-centered electron-like band as the $\delta$ band.

**Evolution of the electronic states after K dosing**

Next, K atoms were deposited on the sample surface (see Materials and Methods for details). Figure 2 shows typical topographic images of the FeSe surface with $K_c$ from 0.008 to 0.306 ML. Here, we define one monolayer (ML) as the areal density of Fe atoms in single-layer FeSe ($1.41 \times 10^{15}$/cm$^2$). At small $K_c$, K atoms are randomly distributed on the surface (Fig. 2, A and B). At certain coverages like 0.098 and 0.124 ML, K atoms can form locally ordered structures, such as $\sqrt{5} \times \sqrt{5}$ (with respect to the FeSe unit cell (UC); Fig. 2C), or a sixfold close-packed lattice with an inter-atom spacing of 0.78 nm (Fig. 2D; see also fig. S4A). There are different rotational domains observed in Fig. 2D (as marked by the arrows) because of the different symmetry of the K lattice and underlying FeSe lattice. When $K_c > 0.15$ ML, K atoms begin to form clusters, and no ordered surface structures can be observed (see fig. S4, C and D, for larger-scale images).

Figure 3 (A and B) shows the detailed evolution of the $dI/dV$ spectra as a function of $K_c$. At low coverage ($K_c < 0.080$ ML), it is seen from Fig. 3A that the onset of the $\alpha$ band gradually moves to lower energy. However, the $\beta$ band does not shift together with $\alpha$, instead moving slightly to higher energy. This anomalous behavior is possibly due to correlation effects in FeSe (20). In Fig. 3B, one sees that double superconducting gaps barely change at $K_c < 0.048$ ML. When $K_c$ reaches 0.062 to 0.075 ML, the bottom of the $\alpha$ band approaches $E_F$, thus, the corresponding spectra in Fig. 3B tilt up at positive bias. However, the double coherence peaks at negative bias are still observable, which indicates that the gap on the $\delta$ band still exists. The corresponding gap size is only slightly suppressed ($\Delta_1 = 13.9$ meV and $\Delta_2 = 8.6$ meV at $K_c = 0.075$ ML). This indicates that the superconductivity in the $\delta$ band is only weakly sensitive to additional electron doping.

When $K_c$ reaches 0.080 ML, the $\alpha$ band begins to cross $E_F$, as seen in Fig. 3 (A and B). The tunneling conductance near $E_F$ is now greatly enhanced and dominated by the $\alpha$ band. The spectral weight from the $\delta$ band is overwhelmed, and the double coherence peaks are no longer observable (note that the normalization scheme of Fig. 3B changes at this point to make all spectra appear with a similar scale; see fig. S5 for unnormalized $dI/dV$ spectra near this Lifshitz transition). There is no gap-like feature near $E_F$ at $K_c = 0.080$ or 0.098 ML, or the gap is much smaller than our experimental resolution ($\sim 1$ meV). This indicates that the pairing is weak on the $\alpha$ band as it crosses $E_F$. In Fig. 4A, we summarize the energy shifts of the $\alpha$ and $\beta$ bands as a function of $K_c$, by tracing the band bottom or top. We note that the sensitivity of the band position of $\alpha$ to surface K dosing is consistent with recent DFT calculations (25). It was shown that the $\alpha$ band has both Se 4$p$ and Fe 3$d$ orbital characters, which makes it sensitive to Fe-Se distance or Se height ($h_{Se}$) (24). K dosing could significantly affect the $h_{Se}$ of the surface Se layer.

The Fermi surface of $\alpha$ will be a new electron pocket at $\Gamma$. To look for this pocket, we performed quasi-particle interference (QPI) mapping at $K_c = 0.124$ ML. As shown in Fig. 2D, for this coverage, the K atoms form a close-packed structure with a relatively smooth, ordered surface, which is suitable for QPI measurements. The mapping was carried out in an area of $100 \times 100$ nm$^2$ (Fig. 5A). Figure 5 (B and C) shows a typical $dI/dV$ map taken at $V_b = 10$ mV and its fast Fourier transform (FFT). A complete set of $dI/dV$ maps and FFTs taken within $\pm 50$ mV of $E_F$ can be found in fig. S6. All FFTs display an isotropic scattering ring centered at $q = (0, 0)$, with the radius increasing with energy. In Fig. 5D, we summarize the FFT linecuts through the center of the scattering ring, taken at various...
Fig. 2. Topographic images of the FeSe surface with a different $K_c$. (A) $K_c = 0.008$ ML. (B) $K_c = 0.048$ ML. (C) $K_c = 0.098$ ML. (D) $K_c = 0.124$ ML. (E) $K_c = 0.226$ ML. (F) $K_c = 0.306$ ML. Typical imaging parameters are $V_b = 0.5$ V and $I = 50$ pA. The red and blue arrows in (D) indicate the orientation of two different rotational domains. The white dashed arrow marks the position where the STS in Fig. SE is taken.

Fig. 3. Evolution of $dI/dV$ spectra taken on the FeSe surface with various $K_c$ as labeled. (A) Typical $dI/dV$ spectra taken within large energy range (±200 meV). Red and blue dashed lines track the onsets of the $\alpha$ and $\beta$ bands. The zero positions of the spectra at $K_c = 0.306, 0.264,$ and $0.226$ ML are marked by short horizontal bars. (B) Typical $dI/dV$ spectra taken near $E_F$ (±27 meV). Two blue dashed lines track the superconducting coherence peaks at negative bias. The curves at $K_c \leq 0.075$ ML are normalized by the $dI/dV$ value at $V_b = -27$ mV, and the curves at $K_c > 0.075$ ML are normalized by the value at $V_b = 27$ mV. $E_F (V_b = 0)$ is indicated by gray dashed lines. At $K_c = 0.111, 0.124,$ and $0.129$ ML, the gap edge positions (defined as $\Delta_0$) are marked by short dashed lines.
energies. An electron-like dispersion can be clearly seen, which is fully consistent with the presence of the α band. By assuming $q = 2k$ for the intraband backscattering condition, a parabolic fit yields the Fermi crossing at $k_F = 0.075 \text{ Å}^{-1}$ and the band bottom at $-37 \text{ meV}$ (this value is also marked in Fig. 4A). Such a sizable electron pocket has not been observed before in iron-based superconductors at the Γ point [for comparison, the $k_F$ of δ band for (Li$_{0.3}$Fe$_{0.7}$OH)FeSe is $0.21 \text{ Å}^{-1}$ at $K_c = 0$; see the study of Yan et al. (16)].

Shortly after the α band begins being occupied, starting from $K_c = 0.111 \text{ ML}$, one sees a small gap open at $E_F$. We define the gap size by the peak or kinks on the gap edge and refer it to $\Delta_{\text{a}}$ below. $\Delta_{\text{a}}$ reaches 3.5 to 4 meV at $K_c = 0.124 \text{ ML}$ and closes at about $K_c = 0.136 \text{ ML}$. In Fig. 5E, we show an STS linecut taken on the surface in Fig. 2D ($K_c = 0.124 \text{ ML}$)—the small gap is spatially uniform, with coherence peaks in most locations. We have checked this gap in several different samples and found that it can reach ~5 meV at the optimal $K_c$ near 0.12 ML. Figure 5F shows the temperature dependence of the gap at the optimal $K_c$, with clearly defined coherence peaks. It becomes less prominent as the temperature increases, vanishing at $T = 35 \text{ K}$, close to the bulk $T_c$ of the sample (~42 K). Therefore, it is likely that a possible superconducting gap opens on the α band, having a dome-like doping dependence. There could be other possibilities such as a charge density wave–induced gap; however, we did not observe any additional spatial modulation in the topographic image (Fig. 2D and fig. S4A), QPI maps (Fig. 5 and fig. S6), and their FFTs (fig. S4B). The gap has significant nonzero $\text{d}I/\text{d}V$ at $V_b = 0$, which could be due to gap anisotropy and/or thermal broadening effects. Measurements at lower temperature and high magnetic field would further clarify the nature of this gap.

The small gap disappears at $K_c = 0.136$ and 0.155 ML, but starting from $K_c = 0.172 \text{ ML}$, another gap-like feature develops at $E_F$. This time, the gap size keeps increasing upon further K dosing, and eventually at $K_c = 0.206 \text{ ML}$, it exceeds 50 meV in width with a nearly flat bottom (Fig. 3B). We note that at $K_c = 0.201$ or 0.226 ML, the gap has a comparable size with the possible superconducting gap ($\Delta_{\text{a}}$) at $K_c = 0.124 \text{ ML}$, but the feature is broader (bigger than $\Delta_{\text{a}}$ with weak or no coherence peak). Furthermore, at $K_c = 0.306 \text{ ML}$, the gap is asymmetric with respect to $E_F$, and STM imaging is not possible for bias voltages inside the gap. Therefore, the gap opening starting from $K_c = 0.172 \text{ ML}$ likely evidences that the system enters an insulating state, with gradually depleted DOS at $E_F$. To illustrate this more quantitatively, in Fig. 4B, we integrated the $\text{d}I/\text{d}V$ values extracted from Fig. 3A over the bias range of ±8 meV, as a function of $K_c$ (>0.1 ML). This will give an estimation of the DOS of the α band near $E_F$ (note that the integration window is larger than $\Delta_{\text{a}}$). It is clear that when $K_c < 0.172 \text{ ML}$, the DOS increases with $K_c$, although it quickly drops thereafter, indicating a metal-insulator transition.

**Fig. 4.** Doping dependence of the energy band position and the DOS near $E_F$. (A) The doping dependence of the band bottom (top) energy of the α (β) band. At $K_c = 0.080 \text{ ML}$, the α band begins to cross $E_F$. (B) Integrated $\text{d}I/\text{d}V$ values within the bias range of ±8 meV as a function of $K_c$, which reflects the DOS near $E_F$.

**Fig. 5.** QPI measurement of the α band and the spatial and temperature dependence of its gap. (A) Topographic image of the mapping area of size 100 × 100 nm$^2$ ($K_c = 0.124 \text{ ML}$). (B) Typical $\text{d}I/\text{d}V$ map taken at $V_b = 10 \text{ mV}$. The set point for $\text{d}I/\text{d}V$ map is as follows: $V_b = 50 \text{ mV}$, $I = 150 \text{ pA}$, and $\Delta V = 3 \text{ mV}$. (C) FFT image of (B). (D) Intensity plot of the FFT linecuts through $q = (0, 0)$; dashed curve is the parabolic fit. Note that the small gap is not observable here because of the large modulation ($\Delta V$). (E) A $\text{d}I/\text{d}V$ linecut taken along the dashed arrow in Fig. 2D, showing a spatially uniform gap. Bars indicate the coherence peaks. (F) Temperature dependence of the gap taken on a different sample with $K_c ~ 0.12 \text{ ML}$. 
This finding is consistent with the insulating state observed in K-dosed FeSe films by ARPES (20) and in ionic liquid-gated (Li$_{0.8}$Fe$_{0.2}$OH)FeSe (26). Note that the topographic image of $K_c = 0.306$ ML in Fig. 2F and Fig. S4D only shows a disordered structure. This suggests that the insulating phase is not due to the formation of some impurity phase (such as K$_2$FeSeO$_3$) but is intrinsic to deeply electron-doped FeSe. Moreover, the emergence of the insulating phase also indicates that K atoms do not form a surface metallic layer by themselves up to $K_c = 0.306$ ML. The STS in Fig. 3 will reflect the electron states of doped FeSe layer.

To facilitate the understanding of the STM data, we performed ARPES measurements on K-dosed (Li$_{0.8}$Fe$_{0.2}$OH)FeSe (experiment details are described in Materials and Methods). Figure 6 (A and B) shows ARPES intensity along the cuts crossing $\Gamma$ and M (Fig. 6C) as the function of $K_c$. Note that the $K_c$ here is estimated from K flux and deposition time (t) (see Materials and Methods). As seen in Fig. 6B, the size of the $\delta$ Fermi pocket increases with K dosing (at $K_c \approx 0.27$ ML), indicating the electron doping. Meanwhile, near the $\Gamma$ point (Fig. 6A), there is a noticeable spectral intensity that shows up and increases near $E_F$ upon K dosing (at $K_c \approx 0.27$ ML).

To illustrate it more quantitatively, we plot the corresponding momentum distribution curve (MDC) and energy distribution curve (EDC) (taken near $E_F$ and $k = 0$) for various $K_c$ in Fig. 6 (D and E) (see figure captions). The spectral intensity at $\Gamma$ evidences the emergence of an electron pocket, although the band dispersion is not clear, which could be due to small pocket size and/or limited resolution here. To have a comparison with the STM result, in the $K_c \sim 0.12$ ML panel of Fig. 6A, we superposed the band dispersion of $\alpha$, which is derived from the QPI of $K_c = 0.124$ ML (Fig. 5D). There is a qualitative match between QPI band dispersion and ARPES intensity at $\Gamma$. Furthermore, it is notable that at high dosing ($K_c \sim 0.45$ ML and $t = 302$ s), the bands at both $\Gamma$ and M near $E_F$ become unresolvable, which is also consistent with a metal-insulator transition suggested by the STM data. In Fig. 6F, we show symmetrized EDC taken near the $k_F$ of the $\delta$ band (marked in Fig. 6B), which displays the evolution of the superconducting gap on the $\delta$ band. The gap size was $\sim 13$ meV at $K_c = 0$ and $\sim 0.06$ ML, which decreased to $\sim 9$ meV at $K_c \sim 0.12$ ML and disappeared at $K_c \sim 0.27$ ML. The disappearance of superconductivity on the $\delta$ band before entering the insulating phase is also observed in K-dosed FeSe films (20).

We noted that the ARPES signal should come from both FeSe and Li$_{0.8}$Fe$_{0.2}$OH surfaces (the light spot is of millimeter size here).
previous STM study found a small electron pocket at \( \Gamma \) for the \( \text{Li}_{0.8}\text{Fe}_{0.2}\text{OH} \) surface (16), and it may account for the weak spectral weight at \( \Gamma \) near \( E_F \) for the \( K_c = 0 \) case in Fig. 6A (also indicated in Fig. 6, D and E). We note that a recent \( \mu \text{SR} \) (muon spin spectroscopy) study reported proximity-induced superconducting gap in the \( \text{Li}_{1-x}\text{Fe}_{x}\text{OH} \) layers, which also suggest that the \( \text{Li}_1\text{Fe}_{0.2}\text{OH} \) layer is conductive (27).

Figure 7 summarizes the observed electronic states from the STS in Fig. 3, as a function of \( K_c \). This phenomenological phase diagram contains four distinct regimes. In regime I (\( 0 \leq K_c \leq 0.075 \) ML), the Fermi surface only comprises the \( M \)-centered \( \delta \) band, and its superconducting gap (\( \Delta_1 \) and \( \Delta_2 \)) is only gradually suppressed. In regime II (\( 0.080 \) ML \( \leq K_c \leq 0.172 \) ML), the \( \alpha \) band crosses \( E_F \), introducing a new electron pocket at \( \Gamma \) (illustrated in the inset). A possible new superconducting dome on the \( \alpha \) band exists in the middle of this regime (green squares represent the gap size of \( \Delta_3 \)). As a complement, the ARPES measured gap sizes on the \( \delta \) band (from Fig. 6F) are also marked here by gray circles. It appears that the gap persists in the left part of regime II; thus, STS measured \( \Delta_1 \) and \( \Delta_2 \) should also extend to regime II (indicated by two short dashed lines). In regime III (\( 0.172 \) ML \( < K_c \leq 0.26 \) ML), the DOS near \( E_F \) begins to decrease as the system approaches a metal-insulator transition. Finally, in regime IV (\( K_c > 0.26 \) ML), the DOS near \( E_F \) is depleted, and the system enters an insulating state.

We noted that the Fermi surface of \( \text{A}_x\text{Fe}_2\text{Se}_2 \) at the \( k_z = \pi \) plane (10) is similar to the one shown in regime II of Fig. 7. However, the center electron pocket does not exist at \( \Gamma \) (\( K_c = 0 \)) in \( \text{A}_x\text{Fe}_2\text{Se}_2 \), reflecting its significant three-dimensional (3D) character. In \( \text{(Li}_{0.8}\text{Fe}_{0.2}\text{OH})\text{FeSe} \), the interlayer spacing between two \( \text{FeSe} \) layers (~0.932 nm) (4) is significantly larger than that of \( \text{A}_x\text{Fe}_2\text{Se}_2 (~0.702 \text{ nm}) (1). This makes the Fermi surface of \( \text{(Li}_{0.8}\text{Fe}_{0.2}\text{OH})\text{FeSe} \) rather 2D (14).

**DISCUSSION**

Surface K-dosed \( \text{(Li}_{0.8}\text{Fe}_{0.2}\text{OH})\text{FeSe} \) provides several unique opportunities to understand superconductivity in Fe-based superconductors. First, the emergence of the \( \Gamma \)-centered electron pocket will introduce a new pairing channel. For most known iron-based superconductors, there are two typical types of Fermi surface topology: one with hole pockets at the zone center and electron pockets at the zone corner and the other with only electron pockets at the zone corner. The scattering between different Fermi pockets has direct consequences on the pairing symmetry (28–31). It was suggested that the interband interactions (spin fluctuations) between the \( \Gamma \)-hole and M-electron pockets with wave vector \( \mathbf{Q} = (\pi, 0) \) are the main pairing glue, which will lead to \( s_\text{v} \)-wave pairing symmetry (28, 29). However, the absence of a \( \Gamma \) pocket in electron-doped \( \text{FeSe} \)-based systems seriously challenges this scenario. Later, it was suggested that the interaction between neighboring M-electron pockets with \( \mathbf{Q} = (\pi, 0) \) would dominate pairing in these cases and lead to a \( d \)-wave pairing symmetry (29–31), but this picture lacks direct experimental support. Recently, some theoretical work shows that the “incipient” band (a band that is close to but does not cross \( E_F \)) may still play an important role in pairing, with a significant pairing potential (32–34), and a large “shadow gap” feature was observed in the incipient \( \Gamma \) band in \( \text{LiFe}_1\text{Co}_x\text{As} \) (35). Here, by surface K dosing \( \text{(Li}_{0.8}\text{Fe}_{0.2}\text{OH})\text{FeSe} \), we are able to continuously tune the \( \alpha \) band to approach and cross \( E_F \), which is expected to enable the interaction between two electron bands at \( \Gamma \) and \( M \) with \( \mathbf{Q} = (\pi, 0) \) (for \( \text{A}_x\text{Fe}_2\text{Se}_2 \), these interactions may exist but would be weakened by the strong 3D character of its central electron pocket, as aforementioned). We did not observe gap opening on the \( \alpha \) band near its Lifshitz transition (0.062 ML \( \leq K_c \leq 0.098 \) ML), although the gap on the \( \delta \) band is slightly suppressed. This would suggest that such a \( \Gamma \)-M interaction does not promote superconductivity at the onset of the transition and that the dominant pairing interaction must still lie in the \( \delta \) band. When the \( \alpha \) band does develop a gap in regime II, assuming that the observed gap is possibly a superconducting gap, the small gap size (compared to that on the \( \delta \) band) also suggests a weak pairing potential on the \( \alpha \) band. Because the gap-closing temperature is quite high, this gap could be induced by the \( \delta \) band through normal interband scattering, as the latter band remains superconducting, as indicated in Figs. 6F and 7. Nevertheless, the dome-like behavior suggests that the \( \alpha \) band gradually participates in the pairing. Because of the close competition of various pairing channels, the new type of Fermi surface topology found here may help facilitate a novel superconducting pairing state. In addition, orbital-selective pairing (36, 37), as recently evidenced in bulk \( \text{FeSe} \) (38), may also relate to our results. Band calculation of single-layer \( \text{FeSe} \) shows that the major orbital component of \( \alpha \) is \( d_{z^2-r^2} \) (24), which differs from the \( d_{xy} \) and \( d_{sd} \) orbitals that comprise the \( \delta \) band (29). Further theoretical work considering all possible inter- and intraband interactions and orbital structures will be needed to understand the electron pairing in such a case.

Second, the metal-insulator transition observed here provides more clues as to the unusual doping-driven insulating phase in \( \text{FeSe} \). In particular, our result shows that the DOS near \( E_F \) is gradually depleted during the transition, over a relatively wide doping range (from \( K_c = 0.172 \) to ~0.26 ML). This differs from transport measurements in ionic liquid–gated \( \text{(Li}_{1-x}\text{Fe}_{x}\text{OH})\text{FeSe} \), where a sharp, first-order–like transition is observed (26). The smooth transition is consistent with the ARPES result on K-dosed \( \text{FeSe} \), where a gradual suppression of spectral weight accompanied by an increasing effective mass is observed (20), suggesting a correlation-driven transition (39). We note that a similar insulating phase has been observed in \( \text{Rb}_x\text{Fe}_2\text{Se}_2\cdot\text{Te}_2 \) (40), which indicates that the correlation-driven metal-insulator transition might be universal in \( \text{FeSe} \)-derived superconductors.
Third, K dosing may be able to change the band topology of the top FeSe layer, inducing a topological phase transition. Recently, Wu et al. (41) proposed that the band topology of the Fe(Fe)Se system is controlled by Se(TE) height, which affects the separation (Δe) between the electron and hole bands at Γ, and suggested that if Δe is smaller than 80 meV, then spin-orbit coupling can induce band inversion and lead to a nontrivial Z2 topology. In our case, the separation between the α and β bands is continuously reduced from 120 meV (Kc = 0) to ~20 meV (Kc ~ 0.1 ML), as summarized in Fig. 4A. Therefore, such a topological phase transition may well be achievable. We noted that at Kc > 0.1 ML, the evolution of the α and β bands is hard to identify in STS (Fig. 3A); however, topological edge states may exist near step edges if the system enters a nontrivial phase, which deserves further investigation.

In summary, by dosing K on the surface of (Li0.8Fe0.2OH)FeSe, a new electron pocket can be introduced at the Γ point. This Lifshitz transition creates a new type of Fermi surface topology and enables a new pairing channel via Γ–M interactions. However, only a small gap feature was observed on the new Γ pocket, indicating its weak pairing potential. Further doping eventually drives the system into an anomalous insulating state. In addition, nontrivial band topology might be realized by the K dosing–induced band shift. This singular combination of new opportunities makes K-dosed (Li0.8Fe0.2OH)FeSe an intriguing platform for studying the pairing interaction, correlation effects, and topological properties in iron-based superconductors.

Upon completing this work, we noticed an ARPES study on surface K–dosed 1-UC FeSe/SrTiO3 (42), which has similar band structure as (Li0.8Fe0.2OH)FeSe. An electron pocket at Γ is also observed after K dosing. This suggests the broader applicability of our findings.

**Supplementary Materials**

**Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/3/7/e1603238/DC1**

Fig. 51. Resistivity, dc magnetic susceptibility measurement, and optical microscopy image of (Li0.8Fe0.2OH)FeSe single crystal.

Fig. 52. Topographic image and STS taken on the as-cleaved Li0.8Fe0.2OHFeSe surface.

Fig. 53. Spatial distribution of the superconducting gap on the as-cleaved FeSe surface.

Fig. 54. Additional topographic images of the FeSe surface after K dosing.

Fig. 55. Unnormalized d/dV spectra at the Kc near Lifshitz transition.

Fig. 56. d/dV maps and corresponding FFTs taken in an area of 100 × 100 nm² of the FeSe-terminated surface at Kc = 0.124 ML.

**References and Notes**


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Superconductivity across Lifshitz transition and anomalous insulating state in surface K-dosed (Li\textsubscript{0.8}Fe\textsubscript{0.2}OH)FeSe

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