Antiferro electronic structure in the nonmagnetic superconducting state of the iron-based superconductors

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A major problem in the field of high-transition temperature ($T_c$) superconductivity is the identification of the electronic instabilities near superconductivity. It is known that the iron-based superconductors exhibit antiferromagnetic order, which competes with the superconductivity. However, in the nonmagnetic state, there are many aspects of the electronic instabilities that remain unclarified, as represented by the orbital instability and several in-plane anisotropic physical properties. We report a new aspect of the electronic state of the optimally doped iron-based superconductors by using high-energy resolution angle-resolved photoemission spectroscopy. We find spectral evidence for the folded electronic structure suggestive of an antiferro electronic instability, coexisting with the superconductivity in the nonmagnetic state of Ba$_{1-x}$K$_x$Fe$_2$As$_2$. We further establish a phase diagram showing that the antiferro electronic structure persists in a large portion of the nonmagnetic phase covering the superconducting dome. These results motivate consideration of a key unknown electronic instability, which is necessary for the achievement of high-$T_c$ superconductivity in the iron-based superconductors.

INTRODUCTION

One of the most intriguing properties of the iron-based superconductors (IBSCs) (1) is the high-transition temperature ($T_c$) superconductivity in balance with the multiple instabilities in lattice, spin, and orbital degrees of freedom. To understand the origin of high $T_c$, it is important to elucidate the nature of these electronic instabilities and their relations to the superconductivity. As represented by the phase diagram of Ba$_{1-x}$K$_x$Fe$_2$As$_2$ (BaK122) in Fig. 1A (2), most of the parent IBSCs exhibit the stripe-type antiferromagnetic (AFM) order at $T_N$ (3, 4). A tetragonal-to-orthorhombic structural transition occurs just at or slightly above $T_N$ ($T_c$). It accompanies a spontaneous difference in the occupation of Fe 3d$_{xy}$ and 3d$_{xz}$ orbitals being much larger than that expected from the orthorhombicity ($5$–$7$), namely, the ferro-orbital order ($8$–$10$). The structural transition has been suggested to be a nematic order ($11$–$12$) driven by fluctuating antiferromagnetism ($13$–$15$) and/or orbital ordering ($16$–$18$).

Whereas these orders are suppressed by carrier doping, many indications of electronic instabilities have been found in the normal state of IBSCs. Twofold symmetric physical properties were observed above $T_N/T_c$ in the underdoped regime, as represented by in-plane electronic resistivity ($19$) for Ba(Fe$_{1-x}$Co$_x$)$_2$As$_2$ (FeCo122) and magnetic torque measurements ($20$) for BaFe$_2$(As$_{1-x}$P$_x$)$_2$. AFM fluctuations and nematic fluctuations were reported to extend over the whole superconducting (SC) dome of BaK122 by the neutron magnetic resonance (NMR) ($21$) and shear modulus measurements ($22$), respectively. In addition, the ferro-orbital order/fluctuation detected by x-ray linear dichroism measurement was found to persist in the optimally doped (OP) regime of FeCo122 ($23$). These observations indicate that superconductivity in IBSCs, especially the 122 system, is subject to the influence of multiple electronic instabilities. However, the normal-state electronic structure in the OP regime has been reported to exhibit the tetragonal symmetry without any sign of electronic instability. Here, we report the spectral evidence for the antiferro electronic structure in the normal state of the OP BaK122. Previous angle-resolved photoemission spectroscopy (ARPES) measurements on BaK122 mainly focused on the SC gap distribution in the multiorbital Fermi surfaces (FSs) ($24$–$32$). Here, we systematically investigate fine electronic structures at both the Brillouin zone (BZ) center (I) and corner (X) of the BaK122 system by using high-energy resolution ARPES. Across the SC transition of the OP sample, we observe a drastic change in the electron band at X point, together with the evolution of an unusual intensity distribution around Γ point. Striking resemblances in $E$, $k$, and $T$ dependences between these two electronic features suggest that the latter is a replica of the former. We establish the $x$-$T$ phase diagram ($0.18 \leq x \leq 0.69$ and $5 K \leq T \leq 200$ K) showing that this antiferro electronic instability persists in a large portion of the nonmagnetic phase covering the SC dome.

RESULTS

Electronic structure around X point

Figure $1B$ shows the FSs of OP BaK122 obtained in the normal state ($T = 40$ K). The schematic in Fig. $1C$ shows two hole pockets centered at Γ point and a small electron pocket with flower-like hole pockets around X point (section S1), as similarly reported in a previous ARPES study ($26$). Here, we start with a description of the electronic structure around X point for the OP $x = 0.41$. As indicated in the $E$-$k$ images along the momentum cut 1 (Fig. $1C$) divided by the Fermi-Dirac function (Fig. 1, D and F), the electron band at X point shows a drastic change in its shape across $T_c$ (36 K). Above $T_c$, the bottom of the parabolic electron band locates at a binding energy of $\sim 8$ meV. The shallow electron band is consistent with the rigid band picture, which predicts the band shift of $\sim 40$ meV toward lower binding energy with respect to...
Elevated only in the hole-doped system because of OP BaK122 obtained at 40 K with the photons of $h\nu = 21.2$ eV. In addition to the SC peaks, the EDCs in Fig. 1I (schematic of (H)) exhibit a sharp peak at $E_F$ of the inner hole band in Fig. 2I (along the dashed lines in Fig. 2, A to D) and those divided by the Fermi-Dirac function and further normalized by that at 40 K along cut 1 in (C). In the Ba122 family, this circumstance is realized only in the hole-doped system because $E_{\text{bot}}$ for the parent Ba122 (~50 meV) is much larger than $\Delta_0$ of any ion-substituted Ba122 (33).

In addition to the drastic change of the band shape, we observed the shift of $E_{\text{bot}}$ to the higher binding energy below $T_c$. For the quantitative analysis, we show the EDCs at X point in Fig. 1J. The peaks of the EDCs, representing the electrons at the band bottom, are remarkably enhanced below $T_c$ and simultaneously shift toward higher binding energy by ~6 meV. On the other hand, the EDCs at the $k_F$ of the electron band exhibit finite SC gap formation below $T_c$ (Fig. 1K). The characteristic shift in the band bottom seems to occur when $\Delta_e$ becomes comparable to $E_{\text{bot}}$ with decreasing $T$ (Fig. 1I), which implies that the superconductivity modifies the band structure.

**Electronic structure around Γ point**

Next, we performed detailed $T$-dependent ARPES at Γ point by using laser-ARPES (37, 38) along the momentum cut 2 in Fig. 1C. In the normal state, we observed an inner hole band around Γ point crossing the $E_F$ in the $E-k$ image (Fig. 2, A and E). To clearly see the $T$ dependence, we show the EDCs at the $k_F$ of the inner hole band in Fig. 2I (along the dashed lines in Fig. 2, A to D) and those divided by the Fermi-Dirac function and further normalized by that at 60 K in Fig. 2J. As $T$ decreases, we observed the evolution of a peak and a gap structure near $E_F$ below $T_c$. The counterparts of the peak in the unoccupied state (Fig. 2, I and J) and its $T$ dependence characterized by the BCS curve (Fig. 2K) suggest that these spectral features represent the SC gap formation in the inner hole band ($\Delta_e \leq 5$ meV). We further observed a flat intensity distribution appearing at $E_{\text{flat}} = \sim 10$ meV around Γ point (Fig. 2, A to D), as similarly observed in previous ARPES studies (24–30, 32). We note that the flat feature cannot be explained by the first-principles band calculations assuming the paramagnetic state and tetragonal lattice (39). Peak plots obtained from EDCs and MDCs in Fig. 2 (E to H) exhibit the evolution of the SC gap and flat feature across $T_c$ (section S3). In addition to the SC peaks, the EDCs in Fig. 2I exhibit a sharp peak at $E_{\text{flat}}$. Note that the flat feature becomes apparent below $T_c$ and exhibits an energy shift toward higher binding.
energy with decreasing $T$, as shown by the red markers in Fig. 2J (section S4). Because the flat feature responds to the onset of the superconductivity, it should reflect the bulk electronic properties of OP BaK122.

**Temperature dependences of the electronic features in $\Gamma$ and $X$ points**

Here, we compare the energy levels of $\Delta_h$, $\Delta_e$, $E_{bot}$, and $E_{flat}$ in Fig. 2K. In the SC state, both $\Delta_h$ and $\Delta_e$ follow the BCS-like $T$ dependence, as indicated by the black solid curves. $E_{bot}$ exhibits the energy shift comparable to that of $\Delta_e$ resulting in the flat electron band at $X$ point below $T_c$. We further found that the energy shift in $E_{flat}$ is also in good agreement with those of $\Delta_e$ and $E_{bot}$, suggesting that the unidentifiable flat feature around $\Gamma$ point has a close relation to the electronic structure around $X$ point. Because the flat feature around $\Gamma$ point shows a striking resemblance to the flat electron band at $X$ point in its $k$, $E$, and $T$ dependences, we here assign the former as a replica of the latter (section S5). This replica indicates the antiferroic band folding between $\Gamma$ and $X$ points, characterized by the same wave vector as the AFM order in the parent Ba122 [denoted as $(\pi, \pi)$ folding in Fig. 3A] (3).

We summarize our observations in the simplified schematic images. According to the $E$-$k$ images in Figs. 1D and 2A, the electronic structure in the normal state can be depicted by a hole band and an electron band in Fig. 3B. Far below $T_c$, the SC gaps were observed in both hole and electron bands with $\Delta_h = 5$ meV and $\Delta_e = 14$ meV, respectively (Fig. 3C). In the presence of the $(\pi, \pi)$ folding, the electron band at $X$ point will be overlapped with the hole band at $\Gamma$ point (Fig. 3D), which should correspond to the appearance of the flat feature around $\Gamma$ point in the present ARPES data. This picture is consistent with two main observations in the present study for OP BaK122 as...
follows. First, the flat feature around Γ point becomes clearly visible below $T_c$. Assuming the $(\pi,\pi)$ folding, this can be attributed to the coherence of the electrons around X point being significantly enhanced by the onset of the superconductivity, as observed in the development of the EDC peaks in Fig. 1 (J and K). Second, the shift of $E_{\text{flat}}$ (~6 meV) was observed just below $T_c$. This might be caused by the energy shift in the flat electron band under the condition of $D_{\text{e}}/E_{\text{bot}} \approx 1$.

**x-T phase diagram**

Finally, we show that the indication of the antiferroic electronic instability is commonly observed from $x = 0.18$ to 0.6 in the BaK122 system. The $x$ dependence of the $E-k$ images around Γ point in the SC state is shown in Fig. 4A. The magnitudes of $\Delta_h$ and $E_{\text{flat}}$ gradually decrease with increasing $x$. The characteristic $x$ dependence was highlighted in the EDCs at the $k_F$ of the inner hole band where the flat

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**Fig. 3. Schematic of the $(\pi,\pi)$ folding.** (A) Schematic of the $(\pi,\pi)$ folding in the BZ for $x = 0.41$. Folded FSs are indicated in light colors. $k_x$ and $k_y$ represent the momentum axes with the tetragonal settings. (B) Simplified schematic of the hole and electron bands above $T_c$ for $x = 0.41$. (C) The same as (B) but far below $T_c$. $\Delta_h$ and $\Delta_e$ represent the SC gaps of the electron and hole bands, respectively. (D) The same as (C) but in the presence of the $(\pi,\pi)$ folding.

**Fig. 4. x and T dependences of the flat intensity at Γ point.** (A) $E-k$ images around Γ point in the SC state for $x = 0.30$, 0.51, and 0.57 obtained by laser-ARPES ($h\nu = 6.994$ eV). White circles represent the peak positions of the EDCs corresponding to the flat feature around Γ point. White horizontal lines show the location of the SC peak. (B) $x$ dependence of the EDCs at the $k_F$ of the inner hole band. Red and black markers represent the energy levels of the flat feature and SC gap, respectively. (C) Contour plot of the spectral weight derived from the flat feature around Γ point in the x-T phase diagram of the BaK122 system. The spectral weight was integrated between $-5$ and +5 meV around $E_{\text{flat}}$ in the EDC at $k_F$ of the inner hole band (section S5). The measurement points are indicated by black dots.
feature is clearly observed. As represented by the red circles in Fig. 4B, the flat feature shows continuous decrease in both energy and intensity with increasing $x$ and almost disappears at $x \geq 0.6$. These behaviors support the scenario that the condition of $\Delta_x \approx E_{\text{flat}}$ is necessary for clearly detecting the replica of the electronic band. With increasing $x$, $\Delta_x$ will decrease toward overdoped (OD) regime. At the same time, the electron band bottom at $X$ point moves to the unoccupied state above $x \approx 0.5$ according to the rigid band picture reported by Neupane et al. (33). Therefore, the signature of the antiferro electronic structure will be invisible above this threshold $x$, even if the electronic state still has a potential to exhibit the antiferro instability.

In Fig. 4C, we present a $x$-$T$ phase diagram showing the area where the signature of the replica electronic structure appears. The contour plot was obtained from the spectral weight at $E_{\text{flat}}$ integrated with the energy window of $\pm 5$ meV in the EDCs divided by the Fermi-Dirac function at the $K_F$ of the inner hole band (section S6). We note that the contour plot represents the inherent antiferro electronic instability appearing through the replica structure being enhanced because of the condition of $\Delta_x \approx E_{\text{flat}}$ at $X$ point. Figure 4C shows that the indication of the antiferro replica structure clearly appears in the SC state, and its remnant is further observed above $T_c$ (section S7).

DISCUSSION

The present results suggest that the influence of the antiferro electronic instability appears in a wide area of the nonmagnetic phase in the BaK122 system, which should be distinguished from the signatures of the long-range AFM order exhibiting a strong competition with superconductivity (31, 40–42). Alternatively, this instability may be related to the AFM fluctuations detected from underdoped to OD regime by the NMR measurements (21). The evolution of the AFM fluctuations (21) and transport property (43) of BaK122 show an anomaly around 100 K from OP to OD regime, which may be associated with the observed antiferro instability (Fig. 4C). On the other hand, the elastic shear modulus indicating the nematic fluctuations ($\mathbf{q} \approx (0, 0)$) was also observed in a wide range of $x$ for BaK122 (22, 44), which supports the presence of the nematic spin fluctuations (12). From the viewpoint of the orbital degrees of freedom, multiple orbital orders/ fluctuations ($\mathbf{q} \approx (\pi, \pi)$ and (0,0)) can simultaneously develop with spin fluctuations, according to the theoretical studies based on the self-consistent vertex correction method (17). The antiferro electronic instability observed in the present work suggests the presence of an as-yet-unknown electronic order, such as antiferro orbital order, or some form of the nematic spin and/or orbital fluctuations, whose origin and relation to the high-$T_c$ superconductivity should be further elucidated.

MATERIALS AND METHODS

Laser-ARPES measurements were performed on the spectrometer built using a VG Scienta R4000WAL electron analyzer and a 6.994-eV vacuum ultraviolet laser as a photon source (37, 38). Using the $\lambda/2$ (half-wave) plate, we could rotate the light polarization vector and obtain $s$- or $p$-polarized light. The energy resolution was set to 3 meV to obtain high count rate. ARPES measurements at the BZ corner were performed on the spectrometer built using a VG-Scienta R4000WAL electron analyzer, a motor-controlled six-axis manipulator, and a helium discharge lamp of $h\nu = 21.2$ eV at the University of Tokyo. The energy resolution was set to 4 meV. The spectra were reproducible over measurement cycles ($5 \leq L \leq 200$ K) for 24 hours. The $E_F$ of samples was referenced to that of a gold film evaporated onto the sample substrate. All measurements were carried out on surfaces cleaved at 200 K in ultrahigh vacuum better than $5 \times 10^{-11}$ torr. Single crystals of BaK122 ($0.18 \leq x \leq 0.69$) were grown by the self-flux method as described in detail by Ohgushi and Kiuchi (43) and Kihou et al. (45). The samples were mounted on the copper plate with a silver paste without applying pressure.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/3/8/e1700466/DC1

section S1. Band dispersions near X point

section S2. $T$ dependence of the EDCs around X point divided by the Fermi-Dirac function

section S3. Intensity distribution of the flat feature around $\Gamma$ point

section S4. Origin of the flat feature at $\Gamma$ point

section S5. Band dispersion at X point for $x = 0.51$

section S6. $x$ and $T$ dependences of the EDCs at kF of the inner hole band around $\Gamma$ point

section S7. Contributions of the antiferro instability in the ARPES data

fig. S1. Band structure across $\Gamma$-X line.

fig. S2. Dependence of the electron band at X point.

fig. S3. Intensity distribution around $\Gamma$ point.

fig. S4. $T$ dependence of the flat feature.

fig. S5. Band dispersions at $\Gamma$ and X points for $x = 0.51$.

fig. S6. $x$ and T dependences of the flat feature.

fig. S7. Normalized intensity of the flat feature.

fig. S8. Spectral intensity ratio between $\Gamma$ and X points.

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