

## ATMOSPHERIC SCIENCE

## Multicomponent new particle formation from sulfuric acid, ammonia, and biogenic vapors

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A major fraction of atmospheric aerosol particles, which affect both air quality and climate, form from gaseous precursors in the atmosphere. Highly oxygenated organic molecules (HOMs), formed by oxidation of biogenic volatile organic compounds, are known to participate in particle formation and growth. However, it is not well understood how they interact with atmospheric pollutants, such as nitrogen oxides (NO<sub>x</sub>) and sulfur oxides (SO<sub>x</sub>) from fossil fuel combustion, as well as ammonia (NH<sub>3</sub>) from livestock and fertilizers. Here, we show how NO<sub>x</sub> suppresses particle formation, while HOMs, sulfuric acid, and NH<sub>3</sub> have a synergistic enhancing effect on particle formation. We postulate a novel mechanism, involving HOMs, sulfuric acid, and ammonia, which is able to closely reproduce observations of particle formation and growth in daytime boreal forest and similar environments. The findings elucidate the complex interactions between biogenic and anthropogenic vapors in the atmospheric aerosol system.

## INTRODUCTION

Atmospheric new particle formation (NPF) can dominate regional concentrations of aerosol particles and cloud condensation nuclei (CCN) and significantly contribute to their global budgets (1–3). Because variations in CCN concentrations affect aerosol-cloud interactions and associated climate forcing, it is vital to understand both past changes to CCN since the industrial revolution and also expected future changes, as emissions from fossil fuel combustion decline in response to efforts to improve air quality and mitigate climate change (4).

NPF begins with the formation of molecular clusters from low-volatility vapors and continues with their subsequent growth to aerosol particles under favorable conditions (5, 6). Sulfuric acid is believed to govern NPF in most environments, although it cannot alone explain the observed formation and growth rates (GRs) (7, 8). Particle growth, on the other hand, has been closely linked to organic vapors (9), which are abundant in the continental boundary layers. Highly oxygenated organic molecules (HOMs) with exceedingly low vapor pressures can be involved at the very early stages of particle formation (10–12), but very few field studies have unambiguously observed NPF without sulfuric acid (13, 14). Despite numerous laboratory and field studies, interactions between organic and inorganic constituents, as well as their rela-

tive roles in atmospheric NPF, remain highly uncertain. It is also crucial to resolve whether the strong enhancement of nucleation rates by ions, which was observed in the pure systems (15, 16), occurs also when organic vapors interact with other compounds.

Recent laboratory experiments with comprehensive instrumentation and low contaminant levels have shown how NPF can proceed via a binary mechanism (water and sulfuric acid) (16–18), a ternary inorganic mechanism (water, sulfuric acid, and base) (16, 19–21), or a ternary organic mechanism (water, sulfuric acid, and organics) (10, 11, 22) or by nucleation of HOMs alone, i.e., pure biogenic nucleation (15). These experiments have constrained the particle formation rates in these model systems; however, none of them have reproduced conditions of the daytime atmospheric boundary layer, especially the boreal forest where NPF is very common (5). Some of the main differences are that most of the previous laboratory experiments did not include NO<sub>x</sub> or they did not control the NH<sub>3</sub> concentrations.

NO<sub>x</sub> influences organic oxidation indirectly by changing the oxidant balance (OH versus ozone and NO<sub>3</sub>) and directly by perturbing oxidation mechanisms, especially the branching of peroxy radical (RO<sub>2</sub>) reactions, which is crucial in the production of HOMs. NO<sub>x</sub> can decrease yields of secondary organic aerosol (SOA) (23, 24) and

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suppress NPF from terpenes (25), possibly by shutting off RO<sub>2</sub> autoxidation leading to HOMs (12) and, instead, forming (relatively) more volatile organonitrates (ONs) (23). The oxidation of SO<sub>2</sub>, on the other hand, leads to the formation of sulfuric acid, which has a very low vapor pressure. Sulfuric acid also clusters very efficiently with bases (19), but whether this happens in the presence of organics is not known until now. Thus, both enhancement and suppression of NPF by human activity is possible, depending on conditions.

## RESULTS

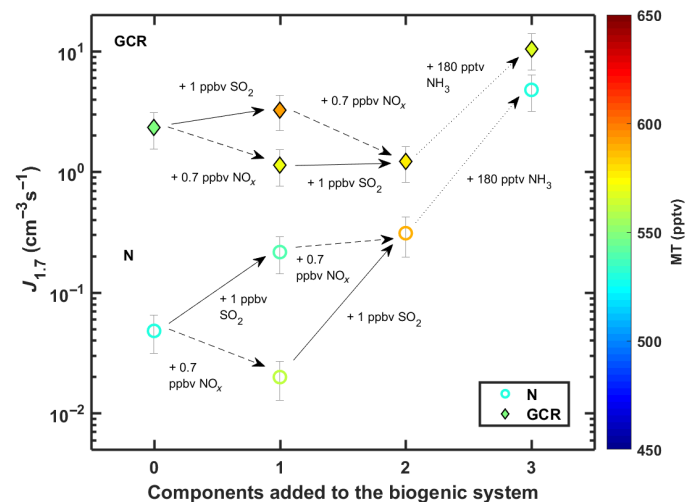
To simulate NPF and growth under realistic daytime conditions resembling those in the boreal forest (our reference being the Hyytiälä SMEAR II station in southern Finland), we performed experiments in the CLOUD (Cosmics Leaving Outdoors Droplets) chamber at CERN (European Organization for Nuclear Research). All experiments were performed at 278 K and 38% relative humidity (RH) and included monoterpenes (MTs; C<sub>10</sub>H<sub>16</sub>). We used a 2:1 volume mixture of alpha-pinene and delta-3-carene, which are the two most abundant MTs in Hyytiälä (26). The ozone mixing ratio in the chamber was ca. 40 parts per billion by volume (ppbv), and the hydroxyl radical (OH) concentration was controlled with an ultraviolet (UV) light system (see Materials and Methods). We first performed experiments without SO<sub>2</sub> (H<sub>2</sub>SO<sub>4</sub> concentration of  $<2 \times 10^5 \text{ cm}^{-3}$ ) and then added 0.5 to 5 ppbv of SO<sub>2</sub>, leading to  $1 \times 10^6$  to  $7 \times 10^7 \text{ cm}^{-3}$  of H<sub>2</sub>SO<sub>4</sub> in the chamber. The experiments were conducted with various mixing ratios

of NO<sub>x</sub> (=NO + NO<sub>2</sub>, 0 to 5 ppbv) and ammonia [2 to 3000 parts per trillion by volume (pptv)], covering the range from very clean to polluted environments. Most experiments were first performed without ions in the chamber (neutral conditions, N) and then repeated with ionization from galactic cosmic rays (GCR conditions).

Figure 1 shows the step-by-step change in nucleation rates (*J*) when going from a single-component system toward a more realistic multi-component mixture. Compared to the pure biogenic system with only MTs in the chamber, fewer new particles are formed when NO<sub>x</sub> is added and more particles are formed when SO<sub>2</sub> is added (Fig. 1 and figs. S1 and S2). A further increase is observed when ammonia is added to the chamber as well. To understand the mechanism and magnitude of these effects, we will first discuss the reduction of particle formation by NO<sub>x</sub> and then the increase by addition of SO<sub>2</sub> and NH<sub>3</sub> and finally show how each of these compounds are needed to explain NPF and growth in the multicomponent system.

### Effect of NO<sub>x</sub> on particle formation rates

We find that the particle formation rates largely follow the ratio of MT to NO<sub>x</sub> in the chamber (fig. S3), as reported in an earlier study, albeit for larger particles (25). However, to discover the underlying cause of this pattern, we need to understand what happens to HOMs when NO<sub>x</sub> is added to the chamber. Increasing the NO<sub>x</sub> concentration leads to a larger fraction of ONs among all HOMs and a significant decrease in dimers, although the total HOM concentration slightly increases. Therefore, the volatility distribution is shifted toward more volatile products. This is consistent with lower SOA mass yields from terpenes at high NO<sub>x</sub> concentrations (23, 24).



**Fig. 1. The effect of adding different vapors on biogenic nucleation rates ( $J_{1,7}$ ).** All points have similar MT (530 to 590 pptv) and ozone (40 ppbv) mixing ratios. The leftmost points were measured with only MTs added to the chamber, and each step to the right represents addition of one more component to the system. Solid arrows describe the addition of ca. 1 ppbv of SO<sub>2</sub> (resulting in an H<sub>2</sub>SO<sub>4</sub> concentration of  $1 \times 10^7$  to  $2 \times 10^7 \text{ cm}^{-3}$ ), dashed arrows describe the addition of ca. 0.7 ppbv of NO<sub>x</sub>, and dotted arrows describe the addition of ca. 180 pptv of NH<sub>3</sub>. Circles are experiments at neutral conditions (N), and diamonds are experiments at GCR conditions. Colors of the symbols indicate the measured MT mixing ratio. The error bars describe the uncertainty in the nucleation rates, which was calculated similar to earlier CLOUD publications, taking into account both the systematic and statistical errors and run-to-run repeatability (see Supplementary Materials and Methods). See fig. S1 for the formation rate of 2.5-nm particles.

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In contrast to pure biogenic experiments (15), the nucleation rates in the presence of  $\text{NO}_x$  do not correlate with the total HOM concentration (Fig. 2A). Therefore, we further divided the HOMs into four groups: non-nitrate HOM monomers ( $\text{C}_{4-10}\text{H}_x\text{O}_y$ ), non-nitrate HOM dimers ( $\text{C}_{11-20}\text{H}_x\text{O}_y$ ), ON monomers ( $\text{C}_{4-10}\text{H}_x\text{O}_y\text{N}_{1-2}$ ), and ON dimers ( $\text{C}_{11-20}\text{H}_x\text{O}_y\text{N}_{1-2}$ ). We find a clear difference in how non-nitrate HOMs and ONs relate to the nucleation rates (Fig. 2 and table S1). The nucleation rates correlate with non-nitrate HOMs (Pearson's correlation coefficient  $R = 0.72$  for GCR experiments), especially with dimers ( $R = 0.97$ ), but not with ONs ( $R = -0.42$ ).

It should be noted that the effect of  $\text{NO}_x$  chemistry on HOM formation, and the subsequent NPF, might depend on the organic molecule in question; alpha-pinene has been reported to behave differently with respect to SOA formation than some other MTs and sesquiterpenes (24, 27). For any given volatile organic compound (VOC) concentration, the HOM yield and volatility distribution, both of which are altered by  $\text{NO}_x$ , matter for the NPF efficiency. Our results are specific to photo-oxidation, i.e., daytime conditions.

### Effect of $\text{SO}_2$ and $\text{NH}_3$ on particle formation rates

Let us next consider the addition of  $\text{SO}_2$ , which quickly forms  $\text{H}_2\text{SO}_4$  in the chamber by OH oxidation under the presence of UV light. Without added ammonia (background  $\text{NH}_3$  estimated to be ca. 2 pptv),  $J$  shows no correlation with sulfuric acid ( $R = -0.06$ ; table S1), consistent with an earlier CLOUD observation (15) that  $\text{H}_2\text{SO}_4$  does not affect nucleation from alpha-pinene ozonolysis at  $\text{H}_2\text{SO}_4 < 6 \times 10^6 \text{ cm}^{-3}$ . Our experiments with somewhat higher sulfuric acid concentration ( $\text{H}_2\text{SO}_4 \geq 1 \times 10^7 \text{ cm}^{-3}$ ) show consistently slightly higher  $J$  at the same HOM concentration than the experiments without  $\text{SO}_2$  (Figs. 1 and 2D). At low HOM dimer concentrations, the pure biogenic  $J$  drops below the detection threshold, although particle formation could

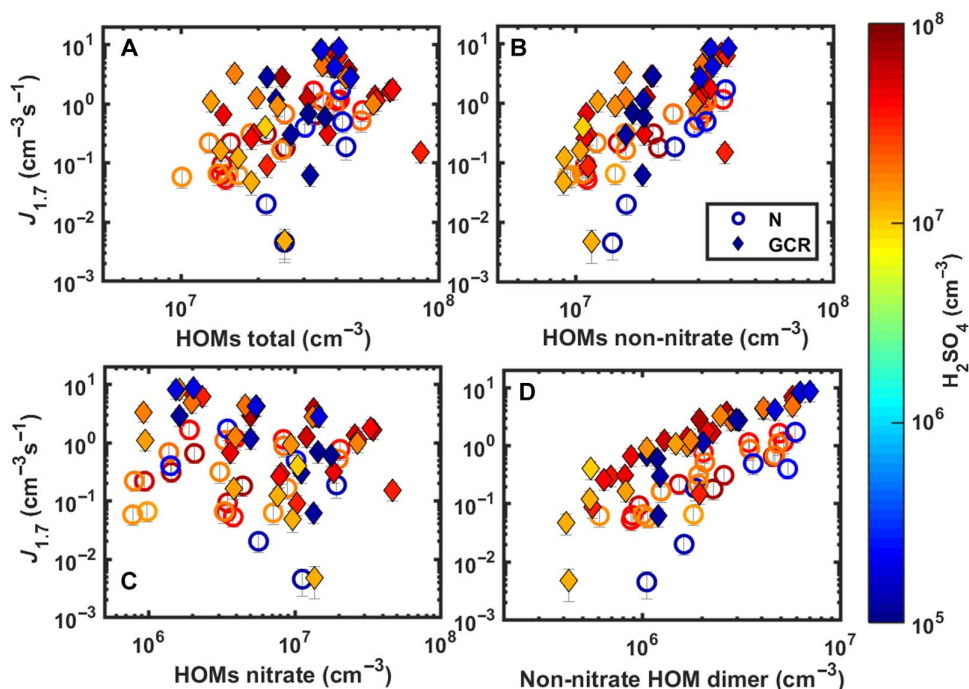
still be observed together with  $\text{H}_2\text{SO}_4$  (Fig. 2D). This indicates that  $\text{H}_2\text{SO}_4$  is able to interact with HOMs to form particles, as speculated earlier (11), but the mechanism is inefficient without  $\text{NH}_3$  (or another base).

Ammonia strongly enhances nucleation rates (Fig. 1 and figs. S1, S2, and S4) when both  $\text{H}_2\text{SO}_4$  and HOMs are present simultaneously. In general, experiments at higher  $\text{NH}_3$  ( $\geq 200$  pptv) show up to two orders of magnitude higher  $J$  than otherwise similar experiments without added  $\text{NH}_3$  (Fig. 1 and fig. S4). The multicomponent experiments with all three precursors—MT,  $\text{H}_2\text{SO}_4$ , and  $\text{NH}_3$ —in the presence of  $\text{NO}_x$  are able to qualitatively and quantitatively reproduce boreal forest nucleation and GRs (Fig. 3). The ternary inorganic mechanism ( $\text{H}_2\text{SO}_4$ ,  $\text{NH}_3$ , and water) cannot explain them, as it produces very few particles at  $\text{H}_2\text{SO}_4$  concentrations below  $1 \times 10^7 \text{ cm}^{-3}$  and temperatures of  $\geq 278 \text{ K}$  (16, 21), although most NPF events in Hyytiälä occur at these conditions (Fig. 3A). The pure biogenic mechanism, on the other hand, does not show a similar  $\text{H}_2\text{SO}_4$  dependency as observed in the atmosphere, and it produces significant nucleation rates ( $J \geq 1 \text{ cm}^{-3} \text{ s}^{-1}$ ) only without  $\text{NO}_x$  or when  $\text{NO}_x$  is low compared to MT concentrations ( $\text{MT}/\text{NO}_x \geq 1$ ) (fig. S3). Thus, the nucleation rates detected during multicomponent experiments cannot be explained solely by the sum of ternary inorganic and pure biogenic nucleation (Fig. 3A).

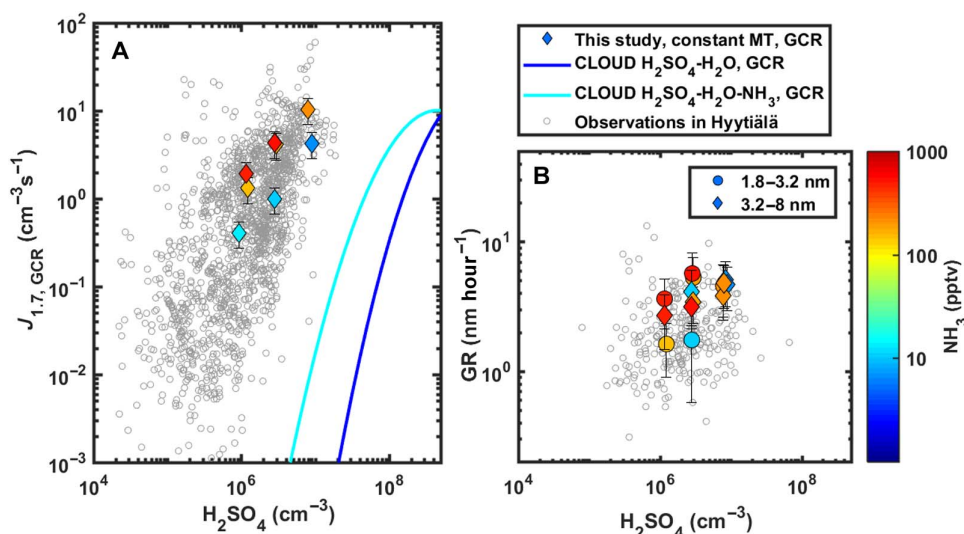
### Particle formation and growth in multicomponent experiments

Combining the observations listed above, we postulate that the formation rates in the multicomponent system can be parametrized with the empirical formula

$$J = k_1 [\text{H}_2\text{SO}_4]^a [\text{NH}_3]^b [\text{HOM}_{\text{di}}]^c \quad (1)$$



**Fig. 2. Relation of nucleation rates to different HOM categories.** Nucleation rates ( $J_{1,7}$ ) as a function of the (A) total concentration of HOMs [regardless whether the molecule has nitrate group(s) or not], (B) non-nitrate HOMs, (C) nitrate HOMs (ONs), and (D) non-nitrate HOM dimers. Open circles refer to neutral experiments, closed diamonds refer to GCR experiments, and the color refers to the  $\text{H}_2\text{SO}_4$  concentration (blue points were measured without added  $\text{SO}_2$ ). All points were measured at 278 K and 38% RH, with varying MT concentrations (100 to 1500 pptv) and  $\text{NO}_x$  levels (0 to 5 ppbv;  $\text{NO}/\text{NO}_2$ , about 0.6%) without added  $\text{NH}_3$ .



**Fig. 3. Nucleation and GRs at CLOUD compared to atmospheric observations in Hyttiälä.** Here, we chose a series of experiments with constant MT/ $\text{NO}_x$  ratio (ca. 0.6,  $\text{NO}/\text{NO}_2 = 7\%$ ), while  $\text{H}_2\text{SO}_4$  and  $\text{NH}_3$  concentrations were varied across the range relevant for boreal forest. **(A)** Nucleation rates ( $J_{1.7}$ ) at CLOUD (colored points) and ambient observations in Hyttiälä (5, 8) (gray circles). The blue and cyan lines represent binary ( $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$ ) and ternary ( $\text{H}_2\text{SO}_4\text{-H}_2\text{O-NH}_3$ ,  $7 < [\text{NH}_3] < 40$  pptv) nucleation, respectively, based on earlier CLOUD data (27), while the pure biogenic nucleation rate at similar MT/ $\text{NO}_x$  ratio would be  $< 1 \text{ cm}^{-3} \text{ s}^{-1}$  (fig. S3). **(B)** GRs of 1.8- to 3.2-nm-sized and 3.2- to 8-nm-sized particles in the same experiments compared to observations of initial GR in Hyttiälä (40).

where  $[\text{HOM}_{\text{di}}]$  is the concentration of non-nitrate HOM dimers and  $k_1$ ,  $a$ ,  $b$ , and  $c$  are free parameters. This approach builds on the many observations showing that measured nucleation rates in the continental boundary layer seem to follow a power-law functional dependency on sulfuric acid concentration

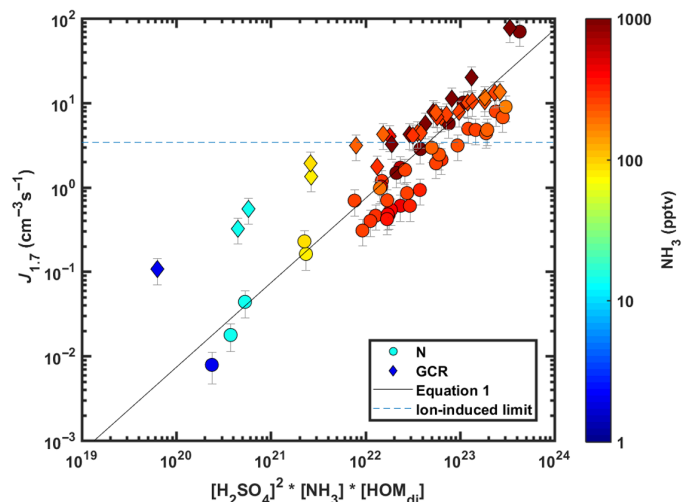
$$J = k[\text{H}_2\text{SO}_4]^p \quad (2)$$

with the exponent  $p$  varying between 1 and 2 (6–8). The prefactor  $k$  varies considerably between different locations, as it includes the variation of nucleation rates due to external conditions ( $T$ , RH, etc.) and any conucleating vapors. On the basis of earlier CLOUD data showing the participation of oxidized organics in the first steps of particle formation (11), the parametrization was rewritten as

$$J = k_2[\text{H}_2\text{SO}_4]^p [\text{BioOxOrg}]^q \quad (3)$$

Compared to Eq. 3, we have now included a dependency on ammonia and further defined the oxidized organics participating in particle formation to be mainly non-nitrate HOM dimers. In the next section, we will show that all of these species can participate in clustering simultaneously.

Using Eq. 1 with  $a = 2$ ,  $b = c = 1$ , we can find an extremely good correlation ( $R = 0.96$ ) between the modeled and measured formation rates for the set of neutral experiments at  $10 < \text{NH}_3 < 3000$  pptv,  $5 \times 10^6 < \text{H}_2\text{SO}_4 < 6 \times 10^7 \text{ cm}^{-3}$ ,  $100 < \text{MT} < 1200$  pptv,  $0.7 < \text{NO}_x < 2.1$  ppbv, and  $\text{O}_3 = 40$  ppbv (Fig. 4 and fig. S5). Replacing  $[\text{HOM}_{\text{di}}]$  with  $[\text{MT}/\text{NO}_x]$  still gives a high correlation ( $R = 0.92$ ). However, using Eq. 3 with  $p = 2$ ,  $q = 1$  as in (11) and  $[\text{BioOxOrg}] = [\text{HOMs}]$ , the correlation is worse,  $R = 0.53$ , mainly due to varying  $\text{NO}_x$  and  $\text{NH}_3$  concentrations not included in the earlier parametrization (fig. S5). A more sophisticated multicomponent parametrization, which can be extended to a larger set of conditions ( $T$ , RH, ion concentration, etc.) and a wider range of vapor concentrations, is subject to future studies.



**Fig. 4. Nucleation rates ( $J_{1.7}$ ) as a function of the product of the concentrations of  $\text{H}_2\text{SO}_4$ ,  $\text{NH}_3$ , and non-nitrate HOM dimers.** Circles refer to neutral experiments, diamonds refer to GCR experiments, and the color refers to the  $\text{NH}_3$  concentration. All points here were measured at 278 K and 38% RH. The MT mixing ratio was varied between 100 and 1200 pptv,  $\text{H}_2\text{SO}_4$  concentration between  $5 \times 10^6$  and  $6 \times 10^7 \text{ cm}^{-3}$ ,  $\text{NH}_3$  between 2 and 3000 pptv, and  $\text{NO}_x$  between 0.7 and 2.1 ppbv ( $\text{NO}/\text{NO}_2 = 0.6\%$ ). The dashed line gives the maximum rate from ion-induced nucleation based on the ion pair production rate in CLOUD under GCR conditions (15). The solid line is the multicomponent parametrization for neutral experiments based on Eq. 1 with  $k = 7.4 \times 10^{-23} \text{ s}^{-1} \text{ pptv}^{-1} \text{ cm}^6$ .

The enhancement of  $J$  due to ions decreases with increasing  $\text{NH}_3$  concentration and  $J$  (Fig. 4 and fig. S4) and is generally considerably weaker in the multicomponent system than in the acid-base or pure biogenic systems (15, 16) at otherwise similar vapor concentrations (Fig. 1). This means that the neutral nucleation pathway is more efficient in the multicomponent system. In general, ion enhancement becomes weaker with increasing stability of the forming neutral

clusters, indicating that chemical interactions between different kinds of molecules become more important in cluster bonding. This might, at least partly, explain why field studies have found only minor contribution of ions to NPF in various environments (5, 13, 28), as multiple vapors are always present in the atmosphere.

The formation rate is not the only important factor governing NPF. The competition between the GR of newly formed particles and their loss rate governs the fraction of particles that eventually reach CCN sizes. Because particle losses are most severe in the beginning of the growth process, initial GRs in the sub-3-nm size range are especially critical (29). Particle GRs in our experiments, over the same ranges of gas concentrations as above, seem to follow a formula

$$\text{GR} = k_1[\text{H}_2\text{SO}_4]^a + k_2[\text{H}_2\text{SO}_4]^b[\text{NH}_3]^c + k_3[\text{Org}]^d \quad (4)$$

where the first term can be interpreted as growth by condensation of sulfuric acid (30), the second term by sulfuric acid ammonia clusters (31), and the third term by oxidized organics (32). As we concentrate on the initial GRs, we chose [Org] to include only non-nitrate HOM dimers, which are the most relevant in this size range (<7 nm). Again, taking  $a = b = c = d = 1$ , we find a very good correlation especially for the size range 3.5 to 7 nm ( $R = 0.94$ ) between modeled and measured GRs (fig. S6). It should be noted that the coefficients  $k$  are size dependent and, especially, that for different size ranges a different subset of organic vapors is relevant for growth (32). As the particles grow, a wider range of vapors with different volatilities can contribute to the growth, and the third term grows progressively more important (fig. S6). This conforms to the present qualitative picture of the particle growth process in the boreal forest (5), and the measured values are in the same order of magnitude as those observed in Hyytiälä (Fig. 3B).

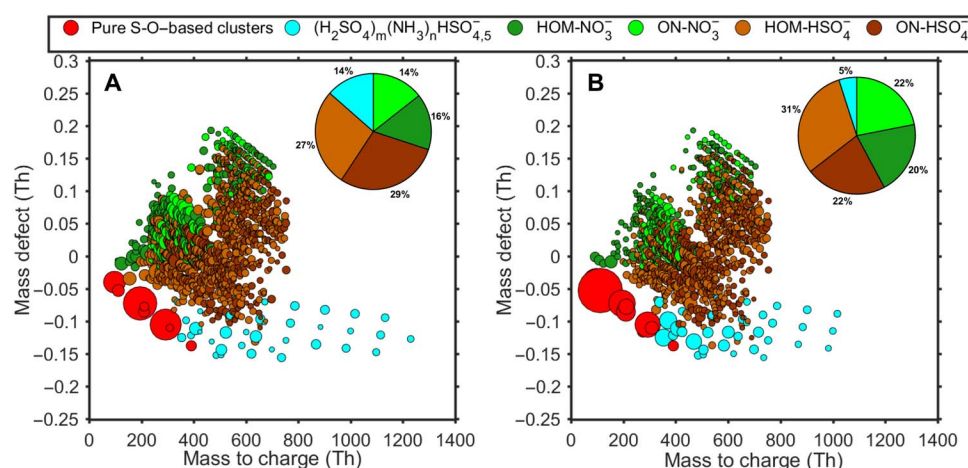
Here, we assume no interaction between organics and sulfuric acid or organics and ammonia in particle growth, which could be relevant in other conditions. However, when using measured sulfuric acid concentrations, we cannot accurately model the GRs without a term depending on  $\text{NH}_3$  concentrations. This is consistent with the recent findings that

bases can enhance initial GRs (31, 33), e.g., due to a significant fraction of sulfuric acid bonded to acid-base clusters (31, 34) and therefore not included in the sulfuric acid monomer measurement. It should be noted that reactive uptake, particle-phase reactions, and other growth mechanisms than nonreversible condensation can be important for growth at larger sizes.

### Composition of clusters during multicomponent experiments

We measured the chemical composition of freshly formed clusters with mass spectrometric methods, shown as a mass defect plot (Fig. 5A and fig. S7). The mass spectra from the multicomponent experiments are remarkably similar to those recorded in Hyytiälä during NPF (Fig. 5B) (10, 35), indicating that the underlying chemistry in the chamber was very similar to that under ambient atmospheric conditions.

We find that HOMs,  $\text{H}_2\text{SO}_4$ , and  $\text{NH}_3$  are able to cluster with each other in many different ways. Similar to pure biogenic experiments (15), we detect non-nitrate HOMs clustered with  $\text{NO}_3^-$ ; but now we detect also ONs clustered with  $\text{NO}_3^-$ . Both non-nitrate HOMs and ONs are also capable of forming clusters with  $\text{HSO}_4^-$ . While the upper part of the mass defect plot (Fig. 5) is characterized by these organic clusters, the lower part is dominated by inorganic clusters. In addition to pure sulfuric acid clusters [ $(\text{H}_2\text{SO}_4)_{0-3}\text{HSO}_{4,5}^-$ ], we see sulfuric acid clusters containing ammonia, the largest one being  $(\text{H}_2\text{SO}_4)_9(\text{NH}_3)_8\text{HSO}_4^-$ . During ternary ( $\text{H}_2\text{SO}_4\text{-H}_2\text{O-NH}_3$ ) nucleation, the entire spectrum is composed solely of those two compounds, up to 1500 Thomson (Th), with approximately one-to-one acid-base ratio (10). However, this is not the case in the multicomponent experiments or in the atmosphere. We believe that, once larger acid-base clusters are formed, they can interact with organics, creating very large clusters, whose identities cannot be resolved with current instrumentation due to their size and complex elemental composition. Some multicomponent HOM- $\text{H}_2\text{SO}_4\text{-NH}_3\text{-NH}_4^+$  clusters can be detected in the positive ion side. Positive ions are mainly composed of non-nitrate HOMs and ONs up to tetramer, with and without ammonia as core ion, and



**Fig. 5. Negative ions and ion clusters detected during multicomponent NPF in the CLOUD chamber and in Hyytiälä.** The mass defect shows the difference between nominal and exact mass of the ions detected with the negative atmospheric pressure interface-time-of-flight mass spectrometer. (A) Data from the CLOUD chamber, averaged over several experiments (the orange and red points in Fig. 3) with  $\text{H}_2\text{SO}_4$  ( $1 \times 10^6$  to  $1 \times 10^7 \text{ cm}^{-3}$ ),  $\text{NO}_x$  (1 ppb), and  $\text{NH}_3$  (200 to 500 pptv). (B) Data from Hyytiälä during an NPF event on 5 April 2012. The colored symbols indicate the identified ions: pure sulfuric acid and S-O-based clusters (red), sulfuric acid-ammonia clusters (cyan), HOMs clustered with  $\text{NO}_3^-$  (dark green), ONs clustered with  $\text{NO}_3^-$  (light green), HOMs clustered with  $\text{HSO}_4^-$  (light brown), and ON clustered with  $\text{HSO}_4^-$  (dark brown). The symbol size corresponds to the relative signal intensity on a logarithmic scale. The pie charts give the fraction of all identified peaks, excluding the pure S-O-based peaks.

$\text{H}_2\text{SO}_4\text{-NH}_3\text{-NH}_4^+$  clusters (fig. S7). The clusters might also contain water molecules that evaporate during sampling.

## DISCUSSION

In summary, we have shown that sulfuric acid, ammonia, and organic vapors have a synergetic effect on NPF. Sulfuric acid, together with ammonia, can enhance particle formation in situations when the HOM concentration alone is not high enough to form substantial amounts of particles and enables the formed particles to grow past 3 nm before the biogenic vapors take over in the growth process. The efficiency of biogenic vapors to form aerosol particles strongly depends on the amount of non-nitrate HOMs formed; thus, higher  $\text{NO}_x$  concentrations tend to suppress NPF and initial growth in environments similar to daytime boreal forest, while the growth of larger particles is less severely affected. Nucleation and GRs are sensitive to changes in any of the precursor vapor concentrations (HOMs,  $\text{H}_2\text{SO}_4$ , and  $\text{NH}_3$ ) and the  $\text{NO}_x$  concentration. This sensitivity can partly explain the wide range of observed atmospheric nucleation rates for a given sulfuric acid concentration.

We have measured three critical parameters associated with NPF: the nucleation rate, the GR, and the composition of the growing clusters. All three are consistent with observations in the atmosphere. Thus, we are able to reproduce the observations at daytime boreal forest conditions in the laboratory. The results from a chemical transport model (fig. S8) show that there is almost always sufficient  $\text{NH}_3$  in the continental boundary layer to combine efficiently with  $\text{H}_2\text{SO}_4$  and HOMs due to effective long-range transport of anthropogenic pollutants. This pattern favors the multicomponent mechanism over pure biogenic nucleation in the present-day atmosphere. The results presented here can almost certainly be extended to other chemical systems; specifically, HOMs can be produced from other organic vapors than MTs, and the stabilizing agent for sulfuric acid could be amines in addition to ammonia. Therefore, we believe that the multicomponent acid-base organic mechanism is dominant in the continental boundary layer in all relatively clean to moderately polluted present-day environments.

Possible future reductions in anthropogenic emissions of  $\text{SO}_2$  and  $\text{NH}_3$  may reduce particle formation involving  $\text{H}_2\text{SO}_4$ , while a reduction of  $\text{NO}_x$  could possibly promote NPF from organic vapors. Thus, the climate effects of these measures depend strongly on which compounds are regulated. Understanding the complex interplay between different anthropogenic and biogenic vapors, their oxidants, and primary particles remains a key question in assessing the role of NPF in the global climate system.

## MATERIALS AND METHODS

### Experimental design

The objective of this study was to explore the conditions required to replicate daytime NPF and growth as it is observed at the Hyytiälä SMEAR II station, which is one of the most studied field sites in this respect, located in the boreal forest region in southern Finland (36). Most of the experiments were performed during September to December 2015 (CLOUD10 campaign) at the CLOUD facility (see below) at CERN, Geneva. To find the correct combination of condensable vapors, we first measured nucleation and GRs in the presence of pure biogenic precursors only (mixture of alpha-pinene and delta-3-carene). The total MT mixing ratio was varied between 100 and 1500 pptv. The background sulfuric acid concentration for those experiments was  $<2 \times 10^5 \text{ cm}^{-3}$ . Then,

1 to 5 ppbv of  $\text{SO}_2$  were added to study the influence of sulfuric acid on pure biogenic nucleation, resulting in sulfuric acid concentrations of  $5 \times 10^6$  to  $6 \times 10^7 \text{ cm}^{-3}$ . The measurements at different  $\text{SO}_2$ -MT concentration pairs were repeated at four different mixing ratios of nitrogen oxides in the chamber 0, 0.7, 2, and 5 ppbv, with a  $\text{NO}/\text{NO}_2$  ratio of ca. 0.6%. Here, we aimed to produce a similar fraction of ONs from all HOMs, as is observed in Hyytiälä during NPF. Last, we added ammonia (10 to 3000 pptv) to the chamber and repeated a subset of experiments in the presence of all the precursors (MTs,  $\text{SO}_2$ , and  $\text{NH}_3$ ) and  $\text{NO}_x$ . The estimated background  $\text{NH}_3$  mixing ratio in the chamber (i.e., before  $\text{NH}_3$  addition) is ca. 2 pptv (21, 37).

In fall 2016, additional experiments were performed during the CLOUD11 campaign at lower  $\text{H}_2\text{SO}_4$  concentrations ( $1 \times 10^6$  to  $2 \times 10^7 \text{ cm}^{-3}$ ), two MT mixing ratios (600 and 1200 pptv), and three  $\text{NH}_3$  levels (~10, 200, and 500 pptv). Between CLOUD10 and CLOUD11 campaigns, the UV light system in the chamber was enhanced (see below), enabling using a 7%  $\text{NO}/\text{NO}_2$  ratio with 1 ppbv of total  $\text{NO}_x$ , typical of daytime Hyytiälä (38). Figures 3 and 5 and fig. S7 show data from the CLOUD11 campaign. Although the relation between  $J$  and HOMs and  $\text{H}_2\text{SO}_4$  and  $\text{NH}_3$  was explored at a  $\text{NO}/\text{NO}_2$  ratio lower than 7% (Figs. 1, 2, and 4), we believe that this affects mainly the fraction of non-nitrate to nitrate HOMs in the chamber and not the particle formation process from the product molecules.

To study the neutral and ion-induced nucleation pathway separately, most of the experiments were conducted first at neutral and then at GCR (see below) conditions. All of the experiments for this study were performed at 278 K and 38% RH.

It should be noted that our current study differs in several important ways from Riccobono *et al.* (11) and Schobesberger *et al.* (10), which also show quantitative agreement of the nucleation rates from a chamber study with ambient observations, in the absence of added  $\text{NH}_3$ . First, and most importantly, the experiments in those studies focused on second-generation products formed via oxidation of pinanediol, a very low vapor pressure surrogate for first-generation alpha-pinene oxidation products, so the chemical system was different. The SOA mass yields from pinanediol are much higher than those from alpha-pinene itself, and it is plausible that the oxidation products require less stabilization than the first-generation products studied here. Second, those experiments did not include  $\text{NO}_x$ , which at least partly compensates the enhancing effect from  $\text{NH}_3$ . Moreover, the mass spectra in the study of Riccobono *et al.* (11) revealed some clusters including  $\text{NH}_3$  and dimethylamine at the low pptv level. Further experiments would be required to assess the enhancement of  $J$  by trace concentrations of amines in a HOM- $\text{H}_2\text{SO}_4$  system.

### The CLOUD facility

The CLOUD chamber (16, 17) is a temperature-controlled stainless steel cylinder with a volume of 26.1 m<sup>3</sup> located at CERN, Geneva, Switzerland. To ensure cleanliness, all inner surfaces of the chamber are electropolished. Before each campaign, the chamber was rinsed with ultrapure water and subsequently heated to 373 K. While cooling down to operating temperature, the chamber was flushed with humidified synthetic air containing several ppmv (parts per million by volume) of ozone. Thus, the background total VOC concentration is in the sub-ppbv level (39) and the contamination from condensable vapors is mostly below the detection limit of our instruments [sub-pptv (15)]. A sophisticated gas supply system was used to carefully control the amounts of trace gases added to the chamber.

A high voltage field cage ( $\pm 30$  kV) inside the chamber can be switched on to remove all ions from the chamber (referred to as “neutral conditions,” N). When the electric field is off, natural GCRs are creating ions in the chamber, as is the situation in the atmosphere. This is referred to as “GCR conditions.” Ion concentrations in the chamber can be artificially increased by using the pion beam from the CERN Proton Synchrotron (3.5 GeV/c). This is called “ $\pi$  conditions” (not used in this study).

The chamber was equipped with several UV light systems. In all the experiments described in this study, so-called UVH light ( $4 \times 200$  W Hamamatsu Hg-Xe lamps producing light in the wavelength range of 250 to 450 nm) was used to produce OH. In CLOUD10, additionally, a UV laser (4-W excimer laser; KrF, 248 nm) was used in some of the experiments to achieve higher  $\text{H}_2\text{SO}_4$  concentrations. Between the CLOUD10 and CLOUD11 campaigns, the intensity of the UVH light was increased by renewing and shortening the optical fibers, which deliver the light into the chamber. Therefore, the use of the UV laser was not necessary, as the UVH system could supply the same wavelengths. In CLOUD11, also a UV-sabre (400-W UVS3, centered on 385 nm) was available, with the main purpose to form NO from  $\text{NO}_2$ . Thus, the NO/ $\text{NO}_2$  ratio could be controlled by changing the UV-sabre light intensity. The  $\text{NO}_2$  photolysis frequency,  $j_{\text{NO}_2}$ , was characterized using  $\text{NO}_2$  actinometry and varying the UV-sabre intensity. In CLOUD10, we injected NO directly into the chamber (leading to a constant NO/ $\text{NO}_2$ ). More details of the facility can be found elsewhere (16, 17).

The instruments used to record chamber conditions, gas and particle concentration, as well as methods to calculate particle formation and GRs were similar to previous CLOUD publications, and they are described in Supplementary Materials and Methods.

### Statistical analysis

The correlation coefficients mentioned in the text and some figure captions were calculated with Matlab using function `corrcoef`, which gives Pearson's correlation coefficient and the associated  $P$  values for testing the null hypothesis that there is no relationship between the observed phenomena. The correlation is considered significant when  $P$  is smaller than 0.05. The correlation coefficients,  $P$  values, and sample sizes between the nucleation rates ( $J_{1,7}$ ) and different gas phase precursor concentrations are summarized in table S1 separately for neutral and GCR experiments before and after  $\text{NH}_3$  addition.

### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/4/12/eaau5363/DC1>

Supplementary Materials and Methods

Fig. S1. The effect of different additional vapors on the NPF rates ( $J_{2,3}$ ).

Fig. S2. The effect of different additional vapors on the biogenic nucleation rate ( $J_{1,7}$ ) at different  $\text{NO}_x$  concentrations.

Fig. S3. Nucleation rates ( $J_{1,7}$ ) as a function of the MT to  $\text{NO}_x$  ratio (MT/ $\text{NO}_x$ ).

Fig. S4. Nucleation rates ( $J_{1,7}$ ) as a function of  $\text{NH}_3$  mixing ratio.

Fig. S5. Modeled versus measured nucleation rates.

Fig. S6. Modeled versus measured GRs.

Fig. S7. Positive ions and ion clusters detected during multicomponent NPF in the CLOUD chamber.

Fig. S8. Global annual mean concentrations of vapors involved in NPF.

Table S1. Pearson's correlation coefficient ( $R$ ) between  $J_{1,7}$  and the concentration of different precursors in the chamber.

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