

# Fractal circuit sensors enable rapid quantification of biomarkers for donor lung assessment for transplantation

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Biomarker profiling is being rapidly incorporated in many areas of modern medical practice to improve the precision of clinical decision-making. This potential improvement, however, has not been transferred to the practice of organ assessment and transplantation because previously developed gene-profiling techniques require an extended period of time to perform, making them unsuitable in the time-sensitive organ assessment process. We sought to develop a novel class of chip-based sensors that would enable rapid analysis of tissue levels of pre-implantation mRNA markers that correlate with the development of primary graft dysfunction (PGD) in recipients after transplant. Using fractal circuit sensors (FraCS), three-dimensional metal structures with large surface areas, we were able to rapidly (<20 min) and reproducibly quantify small differences in the expression of interleukin-6 (IL-6), IL-10, and ATP11B mRNA in donor lung biopsies. A proof-of-concept study using 52 human donor lungs was performed to develop a model that was used to predict, with excellent sensitivity (74%) and specificity (91%), the incidence of PGD for a donor lung. Thus, the FraCS-based approach delivers a key predictive value test that could be applied to enhance transplant patient outcomes. This work provides an important step toward bringing rapid diagnostic mRNA profiling to clinical application in lung transplantation.

## INTRODUCTION

Lung transplantation (LTx) is a well-established lifesaving therapeutic option for patients with end-stage lung disease. The procedure has developed rapidly since its inception in the 1980s (1, 2), and clinical outcomes are continually improving (3). Unfortunately, using current clinical selection and preservation practices, life-threatening primary graft dysfunction (PGD) can occur and accounts for about one-third of all of the 30-day mortality cases after LTx (4–8). PGD occurs within the first 72 hours after transplant and is characterized by nonspecific diffuse alveolar damage, lung edema, and severe hypoxia, and is associated with an eight times higher mortality rate compared to non-PGD LTx cases (5, 6, 8–12). Upon diagnosis, PGD is graded from low (0/I) to high (III), with PGDIII being associated with significantly poorer patient outcomes (13).

Currently, lungs donated for transplant are assessed by analysis of lung function, bronchoscopic findings, and chest radiographs. These reveal abnormalities such as hypoxia, atelectasis, hemorrhagic contusions, edema, and pulmonary infiltration (13). Unfortunately, these metrics do not accurately, specifically, or reliably reflect the injury status of the lung and therefore cannot predict the risk of PGD after transplant. The demands on the rapidity of an improved classification assay are extremely high. For every additional hour that a lung is stored, graft survival is further compromised. Consequently, the survival of the recipient depends on rapid decision-making and transfer of healthy lungs from the donor to the recipient (14). As a result, most surgeons

work to stay within a time window for donor lung assessment of less than 6 hours (14). Ideally, a useful classification assay must provide robust and actionable information in much less than this 6-hour time frame.

With the goal of increasing the availability of precise information relevant to clinical decision-making, an intensive search is under way for molecular biomarkers predictive of LTx outcome. A number of studies have identified mRNAs in lung samples that have been associated with episodes of acute rejection (15–20). Several studies have identified specific mRNAs in donor lung biopsies that preoperatively predict the development of severe PGD or ischemia reperfusion injury, including interleukin-6 (IL-6), IL-10, and ATP11B [ATPase (adenosine triphosphatase), class VI, type 11B] (9, 21). Because IL-6 is a proinflammatory cytokine and IL-10 is an anti-inflammatory cytokine, the IL-6/IL-10 ratio specifically reports an elevated inflammatory status of a donor lung. Donor lung tissues with a high IL-6-to-IL-10 ratio before LTx were shown to develop severe PGD and had a 20-fold relative risk of recipient death (21).

Microarray and polymerase chain reaction (PCR) technologies are powerful tools for the discovery (22) and validation (9, 17–21) of nucleic acid biomarkers for lung transplant outcomes. Unfortunately, the numerous sample purification steps and lengthy turnaround times for microarray and PCR assays [6 to 12 hours in a typical hospital workflow (23)] are unsuitable for translation to the clinic. In addition, these existing technologies require highly trained personnel and contamination-free laboratory facilities. Delays encountered with methods requiring laboratory facilities become even more significant when one accounts for the fact that many lung transplants occur outside normal laboratory operating hours.

Although the development of integrated PCR-like testing platforms has shown promise for infectious disease diagnosis in lung samples [for example, detection of tuberculosis with good sensitivity and specificity in pleural fluid and tissue biopsies (24, 25)], these current platforms

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are designed to amplify and detect analytes with binary outcomes (presence or absence of infection). Hence, these devices are able to actualize clinical utility at the expense of quantification. Although these platforms can make rapid diagnoses in clinical samples, it would not be possible to distinguish small differences in gene expression with a high degree of accuracy—a crucial requirement of a transplant-specific mRNA profiling test.

There has been significant progress in the area of new molecular analysis strategies. Many different electrochemical platforms are currently being studied to detect nucleic acids, including biobarcode (26), noncovalent (27) and structural (28) reporters, DNA nanostructures (29), biodiscs (30), and sandwich assays (31, 32). Our laboratories have developed a class of electrochemical biosensors designed to rapidly capture and analyze mRNA transcripts with clinically relevant sensitivity and specificity using non-amplified samples that have been subjected to minimal cleanup (33–39).

To bring molecular diagnostics to the bedside in LTx, we posed the critical challenge of developing an electrochemical assay that would deliver a reliable and reproducible result to the clinician in less than 30 min from sample receipt. In this limited time window, tissue must be taken from its crude state and processed into a form that can be analyzed for gene expression. Herein, we report a new class of nanoscale chip-based sensors, termed fractal circuit sensors (FraCS), which enable quantitative analysis of multiple biomarkers present at relevant concentrations in human lung tissue. The lung tissue assessment chip enabled by FraCS rapidly assesses predictive mRNA biomarkers in donor lungs from a lung tissue biopsy performed by the surgeons, relying on a simplified sample preparation step to release biomarkers into solution, thus essentially requiring no sample purification (Fig. 1A). To our knowledge, there exist no previous reports of sample-to-answer processing and analysis of lung tissue biomarkers for LTx suitability using techniques requiring fewer than 12 hours.

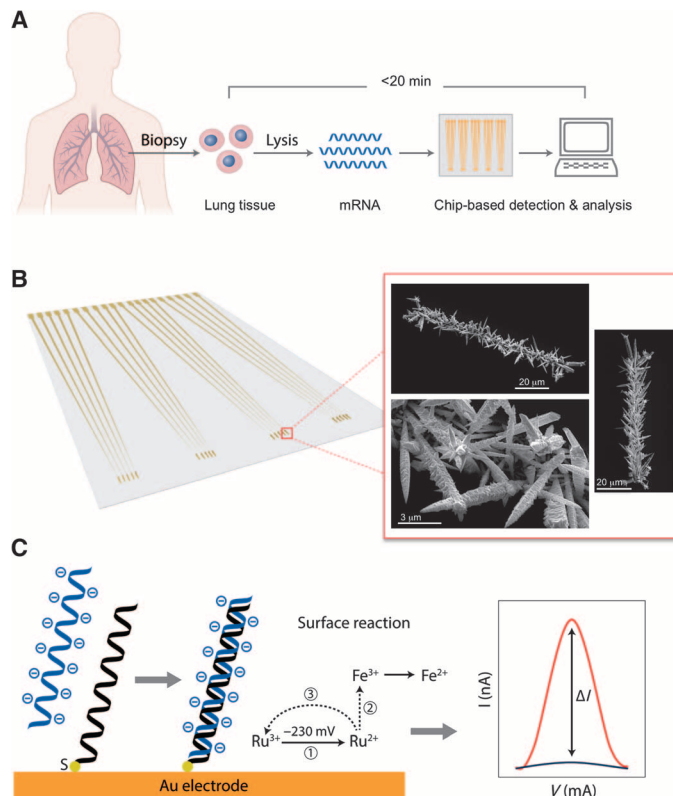
## RESULTS

### Rapid nucleic acid quantification using FraCS

Here, we endeavored to create a new type of sensor with maximal surface area to reduce signal saturation. Sensors made from electrodeposited gold were templated in apertures created in an SU-8 layer patterned over gold contacts on a glass chip. Electrodeposition was performed using conditions facilitating rapid growth and the generation of spiky, fractal structures extending into solution. The linear apertures were  $5 \times 100 \mu\text{m}$ , a size that was selected to yield sensors with large amounts of surface area (Fig. 1B).

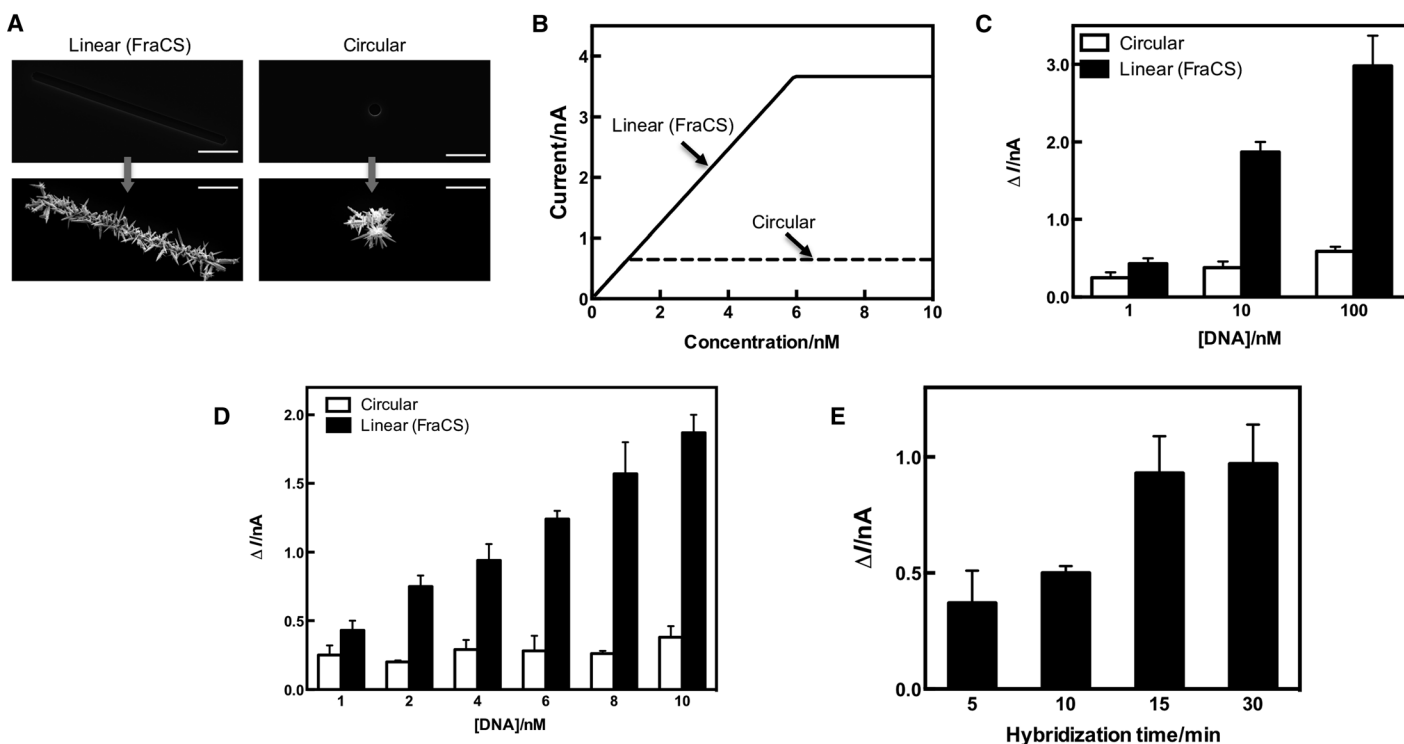
The electrochemical currents collected at these sensors and used for nucleic acid quantification are generated by a label-free, redox-active,  $[\text{Ru}(\text{NH}_3)_6]^{3+}/[\text{Fe}(\text{CN})_6]^{3-}$  reporter system (Fig. 1C). The  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  electron acceptor complex is positively charged and binds to the sensors at levels that correspond to the amount of negatively charged nucleic acid that binds to the sensor. The  $[\text{Fe}(\text{CN})_6]^{3-}$  electron acceptor complex is negatively charged, and so it does not bind to the sensor, but remains in solution to accept the electrons from  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  to allow multiple turnovers for this ion (Fig. 1C).

In an effort to maximize the amount of  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  that could access the surface of a sensor, we moved to FraCS from the sensors described in our previous work using smaller round templates (Fig. 2A). This would theoretically allow larger electrochemical currents to be gen-



**Fig. 1. Lung transplant assessment assay.** (A) The lung assessment assay workflow. A biopsy is taken from a donated lung, and the cells are homogenized and lysed. The mRNA released from the cells is analyzed using a chip-based method that delivers a gene expression profile predictive of the outcome of a transplant within 20 min of the biopsy. (B) FraCS sensor chip (left) and SEM images of sensors after electrochemical deposition (right). (C) Assay readout [PNA probe (black) and resulting differential pulse voltammetry (DPV) signal (right panel, shown in dark blue), target mRNA (light blue) hybridization, and resulting DPV signal (right panel, shown in red)].

erated, and the signals would then exhibit maximal concentration dependence. A mathematical model that compared the total amount of  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  (current) on sensors made with round templates to FraCS was constructed by taking into account the electrode size, hybridization efficiency, and diffusional properties of  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  (the model is described in “Mathematical modeling” in Materials and Methods) (Fig. 2B). By modeling the amount of ruthenium associated with analyte on the surface of FraCS, we observed that FraCS had a twofold advantage over traditional electrodes. First, the dynamic range for currents produced by FraCS could be greatly enhanced (Fig. 2B), and second, FraCS could reach a saturation current that was significantly higher than traditional electrodes (3.67 versus 0.67 nA; Fig. 2B). We then experimentally tested whether FraCS were superior for nucleic acid quantification compared to our previously developed electrochemical sensors. Both sets of electrodes achieved signal-to-noise ratios greater than 2.0 (Fig. 2, C and D); however, as quantitative sensors, FraCS had an improved ability to achieve larger signal gains per change in analyte concentration (593% versus 136%, as shown in Fig. 2C). This was consistent with our modeling predictions in Fig. 2B, and, as expected, the FraCS signals were of greater intensity, which resulted in the ability to



**Fig. 2. Rapid quantitation of LTx analytes using FraCS.** (A) Images collected with scanning electron microscopy for FraCS templated with linear apertures compared to those made with smaller round apertures. The scale bar shown on each image corresponds to 20  $\mu\text{m}$ . (B) Mathematical modeling of FraCS (solid line) versus sensors made with circular templates (dashed line) for the current generated by the sensor as a function of DNA concentration. (C and D) Quantitative comparisons of sensors with circular apertures (white bars) and FraCS (black bars) between 1- to 100-nM target (C) and 1- to 10-nM target (D). (E) Hybridization time course for rapid RNA analysis using FraCS. Data represent  $n = 15$  different sensors. Columns represent mean, and error bars correspond to SEM.

easily and reliably differentiate large concentration profiles over multiple orders of magnitude (Fig. 2C). This observation was even more apparent when looking at a narrow range of analyte concentrations (1 to 10 nM) (Fig. 2D). For sensors challenged with solutions of 1 to 10 nM, there was a 335% increase in signal using FraCS versus 52% using traditional electrodes (Fig. 2D). As a result, FraCS showed an enhanced ability to discriminate between very small differences in analyte concentrations (even within a single order of magnitude)—a result not possible with the traditional electrodes (Fig. 2D). As further proof-of-concept validation, we estimated other quantitative metrics of FraCS [that is, limit of detection (LOD)] and experimentally confirmed that an LOD could be improved by using a FraCS-based approach (Fig. 2, C and D).

In addition to improved quantification capabilities, we discovered another key advantage of using FraCS for rapid analysis of mRNA. The increase in electrode size in the  $y$  direction allows for very fast accumulation of the biomarkers of interest on the surface of the detectors (Fig. 2E). On the basis of previous diffusion and hybridization studies by our group, we predicted that biomarker profiles could be collected using a FraCS approach in less than 30 min (40). Remarkably, in time-dependent rapid hybridization experiments, FraCS performed even better than anticipated and could detect mRNA transcripts in as little as 15 min (Fig. 2E).

Using these sensors, we then proceeded to develop probes against the mRNAs of three previously reported donor lung assessment markers (IL-6, IL-10, and ATP11B) (9, 21) and control sequences [human glyceraldehyde-3-phosphate dehydrogenase (GAPDH) as a positive

control and *Drosophila melanogaster* GAPDH as a negative control]. The probes for the LTx assay were successfully designed and validated using 100 nM complementary synthetic DNA oligonucleotides (fig. S1). To test whether FraCS could accurately measure the levels of the target lung assessment mRNAs in heterogeneous samples, we isolated total RNA from donor lung tissue. For all of the respective LTx assay probes, we observed concentration-dependent signals that were highly reproducible (Fig. 3). An analysis of the ratio of two important inflammatory cytokine markers, IL-6 and IL-10, illustrates the excellent precision of the detection strategy, because the ratio of IL-6 to IL-10 remained constant at various total RNA concentrations (Fig. 3C).

We then validated the suitability of our GAPDH-positive control probe. The signals obtained at FraCS modified with a probe sequence complementary to human GAPDH demonstrated concentration dependence (fig. S2A) and were strongly correlated with total RNA concentrations purified from different biopsies (fig. S2B). In addition, the mRNA expression levels of GAPDH in tissue were consistent across several donor lungs irrespective of the position of sampling (fig. S2C). Hence, the GAPDH probe served as a reliable reference control for analyzing donor lung biomarker expression levels.

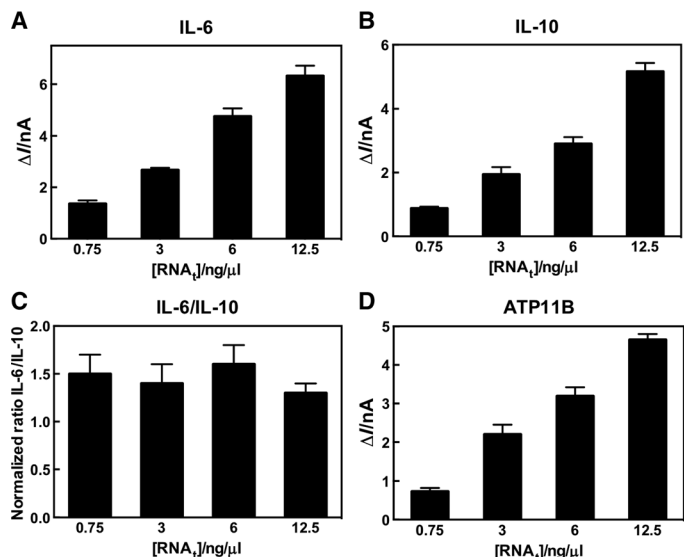
### Donor lung assessment using multiplexed FraCS

Multiplexed chips that allowed parallel analysis of the lung assessment markers were created. Each chip contained up to five sets of FraCS that could be individually functionalized with probes against the marker set of interest (Fig. 4A). Critical to minimizing turnaround time and

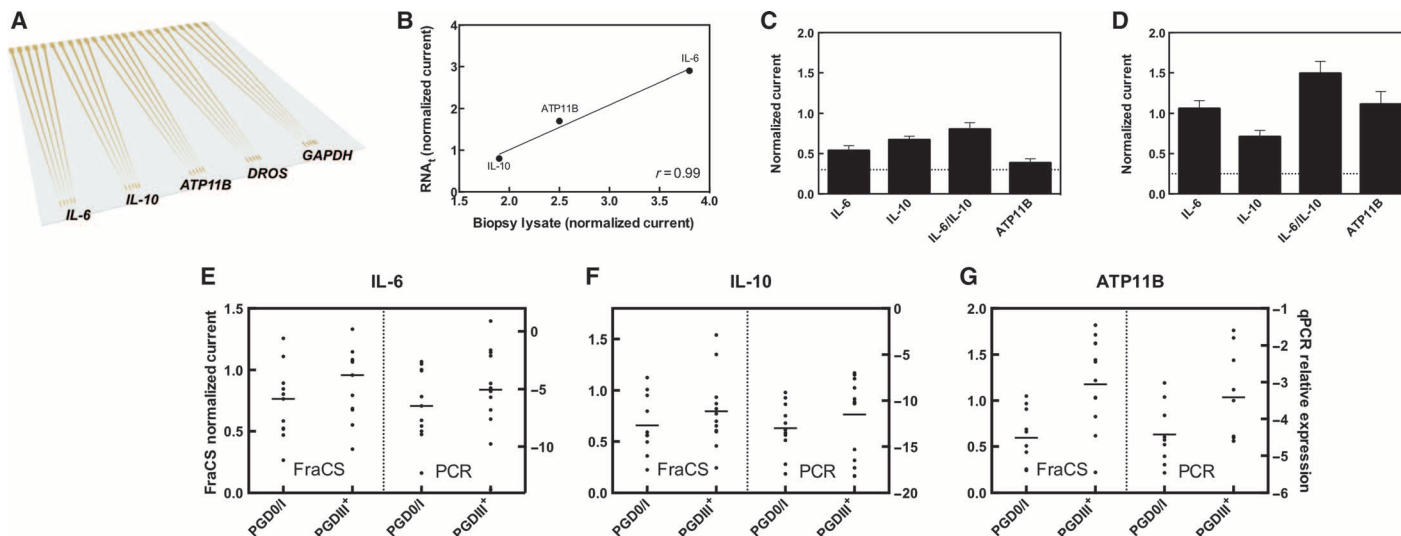
complexity of the LTx assay is the ability to perform quantitative analysis on human lung tissue biopsies without an mRNA purification step. To achieve this, we developed a rapid (~5 min) chemical lysis method based on non-ionic detergents that were effective in lysing the lung tissue while remaining compatible with FraCS and the electrochemical reporter system. To ensure consistency for signals obtained using unpurified lysate and total RNA from the same donor lung sample, we compared the FraCS response of both types of samples (Fig. 4B). There was a strong, positive correlation of the biomarker responses

for both the pure RNA and the unpurified lysate—which indicates that the assay can accommodate a notable increase in the complexity of the sample matrix.

To obtain proof of principle for the use of the FraCS approach to profile assessment markers in lung biopsy samples, we assayed target gene expression levels in a biopsy from a mildly injured (Fig. 4C) and a severely injured lung (Fig. 4D). In the case of the severely injured lung (Fig. 4D), the LTx assay accurately displayed increased expression levels of the markers indicative of a poor outcome: ATP11B, IL-6, and IL-6/IL-10.



**Fig. 3. Quantitation of RNA markers predictive of lung transplant outcome.** (A to D) Total RNA titration profiles for (A) IL-6, (B) IL-10, (C) IL-6/IL-10, and (D) ATP11B sensors. Data represent  $n = 15$  different sensors. Columns represent mean, and error bars correspond to SEM.



**Fig. 4. Lung assessment chip and analysis of lung tissue.** (A) A multiplexed chip that could accommodate the parallel analysis of the five markers tested as proof of principle was prepared. (B) Correlation of signals obtained from purified RNA from a lung biopsy versus unpurified lysate of the same biopsy ( $r$  indicates Pearson's correlation coefficient). (C) Representative data obtained from a good-outcome lung. (D) Representative data obtained from a poor-outcome lung. The signals are normalized to GAPDH controls, and the nonspecific *D. melanogaster* signal is shown as a dashed line. Data represent  $n = 15$  different sensors. Columns represent mean, and error bars correspond to SEM. (E to G) Comparison of the FraCS assay response (left y axis) to qPCR expression levels (right y axis) of the same biopsy [PGD0/I ( $n = 9$  to 11), PGDIII<sup>+</sup> ( $n = 9$  to 12)] run on both platforms for (E) IL-6, (F) IL-10, and (G) ATP11B.

**Comparison of FraCS to quantitative PCR**

We then sought to compare the FraCS system with the current gold standard for quantitative gene expression profiling—quantitative PCR (qPCR). Indeed, we confirmed a significant correlation of FraCS and qPCR signals obtained from the donor lungs ( $n = 7$ ,  $P < 0.05$ ; fig. S3). Bland-Altman method analysis of the results obtained using FraCS and qPCR further confirmed the corroboration of both tests, because the mean difference between the two tests was zero (fig. S4 and table S1). Twenty-three donor lungs were tested on both the FraCS and qPCR platforms and compared for the expression patterns in PGD0/I and PGDIII<sup>+</sup> donor lungs. For each of the biomarkers tested (IL-6, IL-10, and ATP11B), we observed the same expression pattern for both FraCS (left panel) and qPCR (right panel) in these donor lungs (Fig. 4, E to G). Together, these data indicate that our FraCS chip-based approach, which provides rapid assay times and does not require stringent sample purification, yields comparable data to qPCR.

**Evaluation of LTx biomarkers using FraCS**

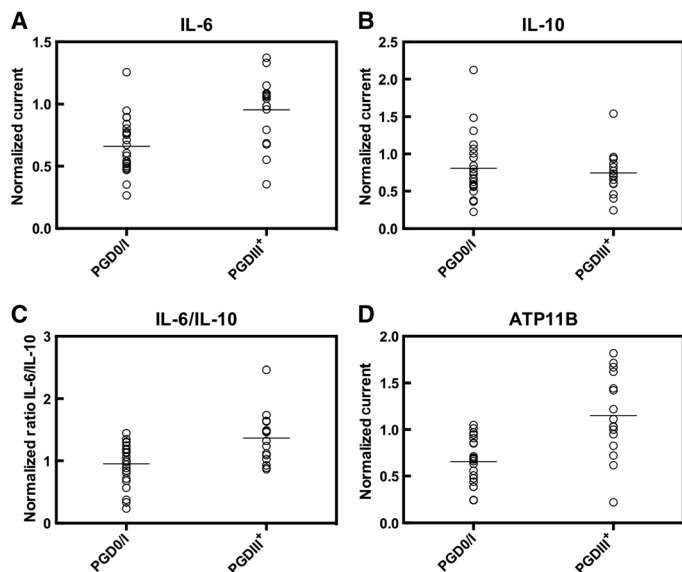
We examined the expression profiles of IL-6, IL-10, IL-6/IL-10 ratio, and ATP11B in 39 distinct donor lungs that were not previously used in biomarker discovery with two objectives: (i) to confirm the predictive nature of these biomarkers and (ii) to determine whether the chip-based

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LTx assay could confirm the differential expression profiles of donor lungs in a set of patient samples. The population was separated on the basis of the outcome (PGD0/I and PGDIII<sup>+</sup>) (Fig. 5). We observed a significant difference between the two PGD populations for IL-6 (Fig. 5A), IL-6/IL-10 ratio (Fig. 5C), and ATP11B (Fig. 5D), but not IL-10 (Fig. 5B). To validate the ability of the LTx biomarkers to predict PGD in transplanted lungs, we performed receiver operating characteristic (ROC) curve analysis and determined a significant area under the curve (AUC) for IL-6 and ATP11B mRNA, and the IL-6/IL-10 ratio, but not IL-10 mRNA as a predictor alone (Table 1).

**Development of the FraCS prediction model (FPM)**

Knowing that the LTx-FraCS sensor chip could provide important information on donor lung assessment biomarkers in a transplant-relevant time frame and that the chosen biomarkers were predictive of PGD, we then sought to develop a prototype predictive model that incorporated all of the relevant biomarkers and could help to guide transplant teams in the donor lung selection process. Using the profiles from 32 donor lungs (9 PGDIII) in a development group, we performed logistic regression analysis on all marker combinations and deter-



**Fig. 5. Relative expression of LTx biomarkers.** (A to D) Each circle represents the LTx biomarker signal normalized to GAPDH for an individual donor lung, and horizontal lines show the population means of PGD0/I (*n* = 23) and PGDIII<sup>+</sup> (*n* = 16) donor lungs for (A) IL-6, (B) IL-10, (C) IL-6/IL-10, and (D) ATP11B. Data were analyzed by a two-tailed Mann-Whitney test. The *P* values of each comparison are as follows: IL-6, 0.0007; IL-10, 0.9833; IL-6/IL-10, 0.0027; and ATP11B, 0.0002.

**Table 1. PGD predictive value of LTx biomarkers.**

Biomarker	Area under ROC curve	<i>P</i>
IL-6	0.74	0.0381
IL-10	0.53	0.8177
IL-6/IL-10	0.78	0.0160
ATP11B	0.84	0.0003

mined that a model, referred to as the FraCS prediction model (FPM), consisting of the FraCS output for the IL-6/IL-10 ratio, IL-6, and ATP11B was the most predictive with an AUC of 0.96 (*P* < 0.0001) (Table 2). Using 100 rounds of ninefold cross-validation with stratification, we estimated the model to perform with an AUC of 0.88 on future samples.

We then validated this model on 20 new, previously untested, samples (10 PGDIII) and obtained an AUC of 0.87 (*P* < 0.0052) (Table 2). The FPM was refit to all 52 samples to generate a final set of coefficients. This final FPM had an AUC of 0.88 (*P* < 0.0001), representing testing on all 52 samples. Cross-validation of the final FPM was carried out using 100 rounds of stratified 10-fold cross-validation, which confirmed the significant predictive value of the FPM (AUC, 0.82) (Table 2). Furthermore, using a cutoff of -0.3575 for the FPM, we observed a diagnostic sensitivity and specificity of predicting PGD of 74 and 91%, respectively (Table 3). Thus, the FPM resulted in positive and negative predictive values (PPV and NPV) of 82 and 86%, respectively, for the probability of identifying PGDIII (poor outcome after transplant) in a donor lung (Table 3).

**DISCUSSION**

The current decision-making process for donor lung selection in LTx is based on clinical evaluation of lung function that is largely qualitative. Accurate, informative, and predictive gene-level analysis of donor lungs would prove to be extremely beneficial, yet current techniques prove limiting because of the poor suitability of the required sample-to-answer time frames in the transplant setting.

We have successfully developed an LTx assay based on FraCS and an electrochemical reporter system that is capable of reporting donor lung biomarker profiles in about 20 min. Furthermore, we have assayed various gene-expression level measures of donor lung risk and have

**Table 2. The FraCS prediction model.** The FPM was developed by logistic regression analysis and is expressed by the following equation:  $\log_e(\text{PGDIII: PGD0/I}) = -5.5669 + 0.0484 \cdot \text{IL-6} + 2.4370 \cdot \text{ATP11B} + 2.0469 \cdot \text{IL-6/IL-10}$ .

Group	Area under ROC curve	<i>P</i>
Development group ( <i>n</i> = 32, PGD0/I: <i>n</i> = 23, PGDIII: <i>n</i> = 9)	0.96	<0.0001
Cross-validation of development group	0.88	<0.05
Validation group ( <i>n</i> = 20, PGD0/I: <i>n</i> = 10, PGDIII: <i>n</i> = 10)	0.87	0.0052
All cases ( <i>n</i> = 52, PGD0/I: <i>n</i> = 33, PGDIII: <i>n</i> = 19)	0.88	<0.0001
Cross-validation of all cases	0.82	<0.05

**Table 3. Diagnostic characteristics of the FPM.**

	Sensitivity	Specificity	PPV	NPV
FPM	73.7% (14/19)	90.9% (30/33)	82.4%	85.7%

used this assessment to develop the foundation of an FPM that represents the proof of concept for a rapid diagnostic tool that successfully predicts severe graft dysfunction in donor lungs.

Two key parameters of the FraCS-based LTx assay were tested and validated: (i) a large sensor surface area that is capable of rapidly capturing slow diffusing mRNA targets and (ii) quantification of small differences in the gene expression patterns.

Sensors that are to be used for gene profiling in transplantation interrogate targets that are predominantly from inflammatory pathways and expressed on a continuum in human tissues. Thus, discerning where on the normal distribution a donor organ falls is crucial. Previous work by our group has been focused on developing metal sensors for the early detection of disease and, as such, these sensors were optimized to detect low levels of invading organisms (or altered host state), including bacteria (35, 39, 41), viruses (42), and cancers (38). They do not clearly discriminate small changes in concentration with precision because the  $\sim 100\text{-}\mu\text{m}^2$  area of existing sensors is saturated in the presence of low levels of analyte molecules. Indeed, these sensors will enter a sublinear regime in the presence of  $<100$  complementary nucleic acid biomarkers in a sample.

Here, we have demonstrated that these novel sensors, FraCS, are superior in their ability to quantify large targets. This is due to the large surface area of functionalized FraCS that provide more binding sites for target mRNA capture and efficient electrocatalysis relative to conventional chip-based sensors while maintaining a morphology that is optimal for hybridization and electrochemical analysis (43). The advantages of FraCS were confirmed experimentally, because we observed the enhanced ability to quantitate small differences in analyte concentrations.

In rapid mRNA hybridization experiments, the FraCS-based LTx assay was able to capture and convey this genetic information in as fast as 15 min—well within our desired transplant time frame. In addition, we were able to confirm that the FraCS assay reported a consistent result regardless of whether purified RNA or unpurified lysate from a donor lung was used and that these results were consistent with the profiles obtained using qPCR as a benchmark standard. Because PCR is one of the most commonly used techniques to profile gene expression, it was imperative that our LTx assay perform equally as well as this gold standard test. Notably, the FraCS assay was able to provide a sample-to-answer from a tissue biopsy in about 20 min, whereas the qPCR procedure was completed in about 5 hours. Because FraCS do not rely on enzymatic activity, and the capture probes are composed of synthetic DNA analogs, FraCS are able to work around the lengthy sample purification steps required of traditional genetic techniques while still providing accurate and equivalent measurements to these methods. Together, these results highlight the potential clinical utility of this assay in a transplant setting.

Using the FraCS platform for gene expression analysis, we were able to confirm our biomarker discovery findings that were first reported several years ago using microarrays and qPCR. By separating the donor lungs based on the outcome for each biomarker, we observed a significant up-regulation of IL-6, IL-6/IL-10, and ATP11B mRNA in the donor lungs with poor outcomes, with the exception of IL-10. This finding was consistent with the previous work that also determined that IL-10 alone was not a predictor of poor outcome; however, as part of the IL-6/IL-10 ratio, the metric was significantly predictive of PGD (21).

Because each marker exhibited a statistically significant area under the ROC curve, we anticipated that these biomarkers could be used to develop the foundation for a PGD prediction model. It is likely that

each individual biomarker itself would have limited predictive ability, because a donor lung may be consistent with a PGD0/I outcome for some markers, but not others. By combining several biomarkers, the LTx assay accounts for the individual response of each biomarker and reports a composite profile reflecting the condition of the donor lung overall, as a function of multiple biomarkers. A logistic regression model, the FPM, confirmed previous results that demonstrated that the signals from IL-6, ATP11B, and the ratio of IL-6/IL-10 were highly predictive of PGD. Both cross-validation and an independent validation group of donor lungs confirmed the predictive value of the assay and the FPM. Furthermore, this model displayed a high degree of sensitivity and specificity for detecting PGDIII in donor lungs. Ultimately, this model represents a means by which the raw gene expression output from the LTx assay biomarkers can be converted into a simple numerical score with a cutoff (for example,  $-0.3575$ ) that could successfully predict donor lung outcomes—donor lungs above the cutoff are likely to develop PGDIII, and those below the cutoff are likely PGD0/I donor lungs. Having a composite numerical score with the FPM will help to facilitate ease of use for surgeons by limiting the analysis and interpretation of the data that the transplant teams would have to perform during the time-sensitive transplantation process. The NPV of the FPM (NPV 86%) represents a significant advance over current clinical practice, because all of the lungs used in the FPM were viewed as clinically suitable and transplanted; unfortunately, 19 of 52 patients developed PGDIII (NPV 63%). Thus, the use of the FPM could provide an improvement of greater than 20% in NPV. The FPM coefficients and desired cutoff will ultimately change because a larger number of donor lungs will need to be tested to improve the accuracy of the FPM; however, with the LTx assay and FraCS, we have demonstrated the possibility for this type of analysis and profiling to be performed in real time, directly in the operating rooms.

Future studies that involve large, multicenter prospective studies will be needed to further validate the FraCS approach. These trials will focus on integrating this technology within the transplant procedure, validating the prediction models, and prospectively observing patient outcomes. Additionally, standardization of the sample collection process (including biopsy size, location, etc.) will help to improve the precision of the LTx assay. With the discovery and validation of additional, informative genetic biomarkers, the scope of LTx assay biomarkers can be expanded beyond the markers studied herein, which will further increase the utility and accuracy of the FPM.

The LTx assay run using the FraCS chip could potentially aid surgeons in the decision-making process when selecting donor lungs and represents a major advance toward a personalized transplant medicine approach. The information provided by FraCS could be acted upon to treat the donor lung in a directed manner to repair a specific diagnosed injury, and studies have shown that various treatment regimes can be effective in improving donor lung function (44). Furthermore, the LTx assay could be used to guide therapy and monitor response to treatment of donor lungs that may have been previously discarded, thereby expanding the number of available organs. Studies have shown that information available at the genetic level could be used to select suitable donor lungs that would otherwise be rejected using current clinical practice standards (45). It is estimated that more than 40% of the discarded lungs may in fact be suitable for clinical transplantation (46); thus, the rapid sample-to-answer turnaround provided by the FraCS assay could have broad implications for the organ selection process. With this technology, organs can now be diagnosed, treated, repaired, and confirmed to be improved in a transplant-relevant timeline. By

identifying donor lung outcomes in addition to monitoring the repair of damaged donor lungs, the LTx assay will help improve the utilization of donor lungs and the outcomes of LTx.

## MATERIALS AND METHODS

### Experimental design

**Lung tissue collection and processing.** A biopsy sample (about 2 cm × 1 cm) was obtained from a peripheral part of the donor lung with a mechanical stapler at the end of the cold ischemic period (just before the implantation of the lung). The samples were immediately snap-frozen in liquid nitrogen and stored at -80°C until use. All the patients provided informed consent for the study, and the study was approved by the institutional Human Tissue Committee and Research Ethics Board at the University Health Network, University of Toronto. A portion (~5 mm<sup>3</sup>) of each frozen tissue section was ground in liquid nitrogen and then placed in lysis buffer (2% Triton X-100, 2% NP-40; Sigma-Aldrich) with RNase (ribonuclease) inhibitor (SUPERase-In, Sigma-Aldrich) for 5 to 10 min. The lysate was subsequently spun at maximum speed for 3 min, and the supernatant was placed on the electrodes (in 1× SSC). For RNA analysis, the total RNA was purified from the lung tissues using an RNeasy Mini kit (Qiagen) according to the manufacturer's protocol. For FPM development, the total sample size required was estimated to be  $n = 25$  to 35 for PGD0/I donor lungs and  $n = 15$  to 20 for PGDIII donor lungs based on previous studies by our group.

**Microchip preparation.** Glass microchips with exposed gold apertures were fabricated as previously described (47). FraCS were electrodeposited at room temperature using a Bioanalytical Systems Epsilon potentiostat with a three-electrode system featuring an Ag/AgCl reference electrode and a platinum wire auxiliary electrode. Gold apertures on the fabricated electrodes were used as the working electrode, and the structures were deposited using 50 mM HAuCl<sub>4</sub> (Sigma-Aldrich) using DC potential amperometry at 0 mV for 30 s.

**LTx assay protocol.** PNA probe solution [0.85 μM PNA probe (PNA BIO), 0.15 μM MCH (Sigma-Aldrich), 10 mM tris-HCl (pH 7.5) (Invitrogen), 1 mM TCEP (Sigma-Aldrich), 100 mM NaCl (Sigma-Aldrich), 1 mM EDTA (Sigma-Aldrich)] was placed on freshly prepared gold electrodes (20 μl of probe solution volume) in a humidity chamber, and the deposition was allowed to occur overnight at room temperature. The electrodes were thoroughly washed with dH<sub>2</sub>O and then backfilled with 1 mM MCH (Sigma-Aldrich) for at least 2 hours. The electrodes were thoroughly washed again and then hybridized with 20 μl of the various targets (DNA, RNA, tissue lysate) in 1× SSC (Sigma-Aldrich) for 15 or 30 min at 37°C. After hybridization, the electrodes were washed and prepared for electrochemical measurements. The PNA probe sequences were as follows (N to C): GAPDH Cys-O-GTT-GTC-ATA-CTT-CTC; *Drosophila* Cys-O-ACC-GAA-CTC-GTT-GTC-GTA-CC; ATP11B Cys-O-ATC-CAC-TAC-CAG-CCC; IL-6 Cys-O-GCC-AGT-GCC-TCT-TTG-CT; IL-10 Cys-O-CGC-CGT-AGC-CTC-AGC-C (where O represents an ethylene glycol linker, and Cys represents the amino acid cysteine). Synthetic DNA oligonucleotides representing the complementary sequence to the respective PNA probes were ordered from Integrated DNA Technologies.

**Quantitative polymerase chain reaction.** For synthesis of cDNA (complementary DNA) (20 μl) from 0.5 μg of total RNA, High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems) was used according to the manufacturer's instructions on an Eppendorf Master-

cycler pro thermocycler. qPCRs were performed on a Applied Biosystems 7500 real-time PCR system using 2 μl of cDNA, 12.5 μl of SYBR Green PCR Master Mix (2×) (Applied Biosystems), and 200 nM forward and reverse primers for a total volume of 25 μl. Conditions used for qPCR included an initial step of 15 s at 95°C followed by 40 cycles of 15 s at 95°C and 1 min at 60°C. The expression levels of target genes were normalized to the level of GAPDH. Linear regression analysis was used to compare the signals obtained from lung biopsies run on FraCS and qPCR. The equation of the regression line was used to transform qPCR values to FraCS-based signals for subsequent Bland-Altman analysis.

**Mathematical modeling.** MATLAB software was used to mathematically model the current generated by the FraCS as a function of DNA concentration. The parameters used in the model are shown in Table 4. For a reactant confined to the surface of the electrode (ruthenium), the peak current is given by (48):

$$i_p = \frac{n^2 F^2 \Gamma_T \nu}{4RT}$$

where  $i_p$  is the peak current (A),  $n$  is the number of electrons in the redox process,  $F$  is Faraday's constant,  $\Gamma_T$  is the total amount of redox molecule at the electrode surface (mol),  $\nu$  is the scan rate (V s<sup>-1</sup>),  $R$  is the gas constant, and  $T$  is temperature (K).  $\Gamma_T$  is approximated by estimating the total amount of molecules that have reached the surface by diffusion using the equations described by Sheehan and Whitman (49). The saturation current occurs when the surface of the sensor is fully saturated with target molecules. This occurs when a target molecule is bound to each available probe. The maximum number of ruthenium molecules at a sensor is thus given by:

$$\Gamma_{T_{\max}} = A \Gamma_p \epsilon \beta$$

where  $A$  is the sensor area,  $\epsilon$  is the hybridization efficiency,  $\beta$  is the ruthenium molecules per bound target molecule, and  $\Gamma_p$  is the probe density. We assumed that the number of ruthenium molecules bound per target molecule,  $\beta$ , is 20.

**Table 4.**

Parameter	Value	Reference
Target length	20 base pairs	
Spot diameter	15 μm	
Line size	10 × 100 μm	
Probe density	$8.7 \times 10^{12} \text{ cm}^{-2}$	(50)
Hybridization efficiency	30%	(50)
Ru/Fe turnover	45×	(51)

**Electrochemical measurements.** All electrochemical measurements were performed on a Bioanalytical Systems Epsilon potentiostat with a three-electrode system featuring an Ag/AgCl reference electrode and a platinum wire auxiliary electrode. The PNA-modified electrodes were incubated in 100 μM [Ru(NH<sub>3</sub>)<sub>6</sub>]<sup>3+</sup> solution (Sigma-Aldrich) for 60 s and then rinsed in 10 mM tris-HCl (pH 7.5), and the electrochemical signals were measured in a solution containing 100 μM [Fe(CN)<sub>6</sub>]<sup>3-</sup> (Sigma-Aldrich) and 10 mM tris-HCl (pH 7.5). Differential

pulse voltammetry was used to measure the current generated from the reduction of adsorbed  $[\text{Ru}(\text{NH}_3)_6]^{3+}$  at the surface of the electrode.

### Statistical analysis

**General.** For all statistical calculations, a *P* value of less than 0.05 was considered statistically significant.

**Biomarker validation.** The signals for the lysate samples were GAPDH-normalized and FraCS-current results were plotted using GraphPad Prism 6, and the donor lungs were divided into PGD0/I ( $n = 23$ ) or PGDIII<sup>+</sup> [clinically unsuitable for transplant ( $n = 7$ ) or PGDIII after transplant ( $n = 9$ )] outcomes. The population means were calculated, and a Mann-Whitney *U* test was used to determine statistical differences in the various groups.

**FraCS prediction model.** For the PGD prediction model, only the PGD0/I (proven to be non-injured by transplant) and PGDIII donor lungs (proven to have severe injury by transplant) were used in the calculations ( $n = 23$  and 9, respectively). Logistic regression analysis on the currents generated for each individual biomarker was carried out in all combinations of measured markers and the IL-6/IL-10 ratio to develop the FPM. For validation of the FPM, an additional unique set of PGD0/I ( $n = 10$ ) and PGDIII ( $n = 10$ ) donor lungs were tested using FraCS and the FPM fit. Cross-validation of the FPM was carried out using 100 rounds of 10-fold (for the complete data set; 9-fold for the development set only) cross-validation with stratification. Diagnostic sensitivity, specificity, NPV, and PPV for the FPM were calculated using the PGDIII lungs as true positives and PGD0/I lungs as true negatives with a cutoff value of  $-0.3575$ .

### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/1/7/e1500417/DC1>

Fig. S1. Validation of LTx probes and FraCS approach with DNA targets.

Fig. S2. GAPDH validation with RNA.

Fig. S3. qPCR validation.

Fig. S4. Bland-Altman analysis of FraCS versus qPCR.

Table S1. Bland-Altman results of FraCS versus qPCR.

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## Fractal circuit sensors enable rapid quantification of biomarkers for donor lung assessment for transplantation

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