Three-dimensional all-dielectric metamaterial solid immersion lens for subwavelength imaging at visible frequencies

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Although all-dielectric metamaterials offer a low-loss alternative to current metal-based metamaterials to manipulate light at the nanoscale and may have important applications, very few have been reported to date owing to the current nanofabrication technologies. We develop a new “nano-solid-fluid assembly” method using 15-nm TiO₂ nanoparticles as building blocks to fabricate the first three-dimensional (3D) all-dielectric metamaterial at visible frequencies. Because of its optical transparency, high refractive index, and deep-subwavelength structures, this 3D all-dielectric metamaterial-based solid immersion lens (mSIL) can produce a sharp image with a super-resolution of at least 45 nm under a white-light optical microscope, significantly exceeding the classical diffraction limit and previous near-field imaging techniques. Theoretical analysis reveals that electric field enhancement can be formed between contacting TiO₂ nanoparticles, which causes effective confinement and propagation of visible light at the deep-subwavelength scale. This endows the mSIL with unusual abilities to illuminate object surfaces with large-area nanoscale near-field evanescent spots and to collect and convert the evanescent information into propagating waves. Our all-dielectric metamaterial design strategy demonstrates the potential to develop low-loss nanophotonic devices at visible frequencies.

INTRODUCTION

Metamaterials are a class of artificial electromagnetic media structured on a subwavelength scale, aiming to provide specific electromagnetic properties that are not available in natural materials (1–3), such as negative, zero, or ultrahigh refractive index (4–7); subdiffraction confinement (1, 8); electromagnetic cloaking (9, 10); and unusual optical nonlinearities (11, 12). Most metamaterials involve the use of metallic resonant structures designed to achieve the response of electromagnetic waves (1). These metal-based metamaterials can operate with negligible electromagnetic energy loss at microwave and terahertz frequencies (4, 6, 10, 13) but suffer from high intrinsic loss at higher optical frequencies (for example, near-infrared and particularly the visible region) because of the coupling of light to plasmons in metallic nanostructures (1, 3, 8, 14). This metallic loss severely limits the performance of optical metamaterials and their scalability to dimensions for practical uses. For example, metal-based plasmonic components have low transmission efficiency at optical frequencies, thus making them less useful for optical waveguiding over long distances or through bulk three-dimensional (3D) structures (2, 15).

Substantial efforts have been devoted to developing low-loss optical metamaterials in recent years (7, 16–20), because they may have many important applications, such as in perfect lenses with diffraction-unlimited optical resolution (21–26), invisibility cloaks (27–29), and optical computers (30, 31). The most promising approach is the all-dielectric metamaterials that use the near-field coupling between transparent (low absorption), high-refractive-index dielectric building blocks (19, 20, 32, 33). Coupling between dielectric nanoresonators can exhibit unusual optical phenomena similar to those of metallic nanoresonators, such as local field enhancement and confinement, but with much lower energy dissipation and thus significantly higher device performance (20, 34, 35). Moreover, the high transmission and diffraction efficiencies of dielectric components make it possible to move optical metamaterials from current 2D metasurfaces or layered metamaterials to truly 3D metamaterials (2). Nanostructured silicon is known as an excellent candidate for near-infrared metamaterials because of silicon’s high refractive index (n ≈ 3.6) and transparency in the near-infrared region, as well as its compatibility with current nanofabrication techniques, such as electron-beam lithography and focused ion beam (7, 34–37). Although a recent report indicates that silicon nanodimers can also induce near-field enhancement effects in the visible region (38), unfortunately, silicon is not ideal for visible light metamaterials because of its visible light absorption caused by electron transitions across the bandgap (39). The ideal dielectric for visible light metamaterials has not yet been reported, probably because there is a limited choice of visible-transparent, high-refractive-index dielectric materials and because fabricating these materials into desired nanostructures using the current top-down or bottom-up approaches remains a challenge.

Here, we report a new design and nanofabrication of a 3D TiO₂ metamaterial capable of working efficiently in the visible spectrum. This all-dielectric metamaterial is fabricated through a novel nano-solid-fluid assembly (NSFA) method using visible-transparent, high-refractive-index, and deep-subwavelength-sized anatase TiO₂ nanoparticles (15 nm; refractive index n = 2.55) as dense building blocks. We experimentally demonstrate that when this metamaterial is used as a solid immersion lens (mSIL), this novel dielectric superlens can produce a sharp-contrast, wide-field-of-view virtual image with a super-resolution of at least 45 nm under a white-light optical microscope, which has surpassed the performance of all existing near-field imaging approaches (22–25, 40–42). We further show by simulations that the near-field coupling between contacting TiO₂ nanoparticles can lead to subdiffraction
confinement of visible light at the nanoscale comparable to the size of TiO$_2$ nanoparticles. Because of the lack of energy dissipation in the all-dielectric media, large-area nanoscale evanescent wave illumination can thus be effectively guided onto the surface of the observed object, and the excited evanescent waves that carry subwavelength information about the object will also be readily coupled and propagated through the media into propagating waves for far-field super-resolution imaging. The all-dielectric metamaterial and its NSFA method that we present here may open a door to designing and fabricating a new type of metamaterial to understand and study novel physical phenomena and properties for more important applications.

RESULTS

Fabrication of the TiO$_2$ mSIL

Bottom-up assembly of dielectric nanoparticles may provide a simple route to all-dielectric metamaterials but often suffers from problems such as cracking, irreversible aggregation, and insufficient controllability of the assembled morphology. To address these issues, we develop the NSFA method that uses the oil/water interface to induce the plastic deformation of fluid-like TiO$_2$ nanoparticle precipitation into controllable 3D morphology during the assembly process and to solidify the TiO$_2$ nanoparticles into a more densely packed structure after dehydration.

Figure 1 illustrates the NSFA method for the assembly of the TiO$_2$ mSIL, which resembles a conventional SIL’s hemisphere or super-hemisphere appearance (43–45). Briefly, an aqueous suspension containing 15-nm anatase TiO$_2$ nanoparticles is centrifuged to obtain a tightly packed precipitate (Fig. 1A). The resulting supernatant is then completely removed from the precipitate and replaced by a water-immiscible organic solvent mixture consisting of hexane and tetrachloroethylene, resulting in a nano-solid-fluid of TiO$_2$ nanoparticles (Fig. 1B). This novel nano-solid-fluid consists of an inner aqueous phase that contains a high volume fraction of TiO$_2$ nanoparticles and residual water filling the voids between nanoparticles and an outer oil phase that contains tetrachloroethylene (with a high boiling point of 121.1°C) as a protective and lubricant layer to prevent the evaporation of interparticle water. Because the TiO$_2$ nanoparticles have limited mobility in this confined water-in-oil interface, the nano-solid-fluid can behave as a plastic solid capable of being molded into desired 3D shapes. To produce a hemispherical mSIL, we directly sprayed the nano-solid-fluid onto the surface of the observed object with a syringe. The dispersed droplets of the nano-solid-fluid collapse under gravity, and the oil/water interfacial tension, will drive the deformation of the nano-solid-fluid into a curved shape with a regular and smooth surface (Fig. 1C). Owing to the low surface tension of hexane (18.4 mN/m at 25°C), the organic solvent mixture can readily wet the surface of various objects, which will enhance the filling of nanoscale surface roughness by TiO$_2$ nanoparticles, causing an intimate contact between the mSIL and the object surface. After evaporation of the organic solvent mixture, these nanoparticles are expected to undergo a phase transition (from a fluid-like to a solid-like state) arising from the interparticle capillary attraction due to further evaporation of the residual water, thus forming an even more densely packed 3D structure (Fig. 1E). To produce a super-hemispherical mSIL, we used a precoated thin layer of organic solvent mixture on the sample surface to float and support the nanoparticle droplets (Fig. 1D), which will retain their shape after dehydration (Fig. 1F).

Super-resolution properties of the TiO$_2$ mSIL

To investigate the parameters affecting the magnification factor and field of view of the TiO$_2$ mSIL, we compared the white-light optical microscopy images of a semiconductor wafer pattern with a lattice spacing of 200 nm (fig. S1) observed through these hemispherical or super-hemispherical mSILs. As shown in Fig. 2, the mSIL width is the same in each group of transverse images, around 10, 15, and 20 μm [see the inserted scanning electron microscopy (SEM) images]. Not surprisingly, as the height of the mSIL increases, we observed an increase in the magnification factor of around 1.8, 2.5, 3.0, 3.6, 4.7, and 5.3. This indicates that the magnification factor is determined by the shape (height-to-width ratio) of the mSIL. The magnification factor sharply increases with the height-to-width ratio of the mSIL approaching unity (a spherical shape) and reaches 5.3 at a height-to-width ratio of 0.82. With the further increase of this ratio, the contrast of the virtual image gradually disappears. Using geometrical optics
ray tracing, we theoretically fit the experimental magnification curve and inversely derived that the mSIL media has an effective index of 1.95 and a high particle volume fraction of 61.3% (fig. S2). This packing fraction is close to the random close packing limit (~64%) of monodisperse hard spheres (46), indicating an intimate contact between TiO$_2$ nanoparticles throughout the media. Moreover, the mSIL presented here exhibits a wide field of view, which is approximately linearly proportional to the width of the mSIL (fig. S3).

To demonstrate the super-resolution capability of mSILs, we present in Fig. 3 the optical microscopy images of a recordable Blu-ray disk and a semiconductor wafer observed by the TiO$_2$ mSIL. Through SEM, the Blu-ray disk contains recording tracks with 200-nm-wide ridges separated by 100-nm-wide grooves (Fig. 3A), and the wafer contains parallel line patterns with a pitch of 60 nm (Fig. 3E) or point and line patterns with a pitch of 50 nm (Fig. 3I). Typically, the surface roughness on the wafer surface is below 5 nm, as revealed by atomic force microscopy (AFM) (Fig. 3, F and J). The SEM images of the bottom surfaces of the mSIL detached from the test samples (Fig. 3, B, C, G, and K) indicate that the nano–solid-fluid consisting of 15-nm nanoparticles has fully penetrated into different nanopatterns with a feature size down to a few tens of nanometers owing to their small size and fluidity.

The 100-nm-wide grooves on the disk surface are clearly visible through the 1.8× magnified virtual image created by a hemispherical TiO$_2$ mSIL (Fig. 3D). The mSIL also enables direct observation of the recorded data that are stored in the grooves as the difference in reflectivity (as indicated by the arrow in Fig. 3D) that cannot be detected even by SEM. Moreover, the hemispherical mSIL is formed into a semi-ellipsoid shape with its long axis parallel to the tracks on the disk (Fig. 3, B to D). This confirms that the nano–solid-fluid can plastically deform and flow along the grooves and ridges on the disk during the assembly process. Furthermore, after dehydration of interparticle water, the assembled morphology of TiO$_2$ nanoparticles can be maintained and reinforced because of the strong capillary attraction between nanoparticles.

Nonetheless, this type of hemispherical mSIL cannot efficiently resolve the wafer pattern with 75-nm features because of its low magnification factor (fig. S4). However, when a super-hemispherical mSIL is located on the pattern, a 60-nm pitch can be observed through the 3.1× magnified virtual image (Fig. 3H). This type of super-hemispherical mSIL can be further used to image a more complex structure with 50-nm features (Fig. 3L). By using an optical microscope equipped with a higher-resolution CCD (charge-coupled device) camera, we can clearly capture the subwavelength details of the 50-nm features (fig. S5) and even a gold-coated pattern with 45-nm features (Fig. 3M), in which the dots are distinguished from the lines under an illumination of white light, green light ($\lambda \sim 540$ nm), or blue light ($\lambda \sim 470$ nm) (Fig. 3, N to P). Obviously, these subwavelength features are far beyond the resolution of the optical microscope (fig. S6). The best super-resolution performance is achieved under the illumination of short-wavelength blue light. This suggests that the super-hemispherical mSIL can be used for the observation of sub–50-nm features, which significantly exceeds the resolution of 130 nm that can be obtained with conventional SIL made of polymer materials (45). Moreover, the mSIL also allows direct observation of 50-nm polystyrene latex beads located on the surface of a Blu-ray disk, which indicates that the super-resolution ability of the mSIL does not rely on the metallic properties of the observed object (fig. S7).

In addition, the particle size of TiO$_2$ nanoparticles has a profound influence on the super-resolution performance, and the mSIL assembled from 45-nm anatase TiO$_2$ nanoparticles will reveal a lower contrast and a lower resolution than those from 15-nm TiO$_2$ nanoparticles (fig. S8).

**Super-resolution mechanism of the mSIL**

For classical hemispherical and super-hemispherical SILs composed of homogeneous material, the lateral resolution is enhanced by a factor of $n$ and $n^2$, respectively, but their maximum lateral resolution will be capped at $\lambda/2n$ (44, 47, 48). Along the axial direction, the resolution may be scaled by a factor of $n^2$, which is out of the scope of current research (49). Accordingly, using a conventional SIL with a refractive index of...
$n = 2.55$, the best resolution attainable is $\lambda/2n = 108$ nm under an optical microscope with $\lambda = 550$-nm illumination. Obviously, the outstanding super-resolution capability of the mSIL presented here cannot be explained by classic geometrical optics. To explore the super-resolution mechanism of the mSIL, we performed full-wave 3D simulations of the all-dielectric metamaterial media. The basic simulation structure of the artificial media is a closely stacked 15-nm anatase TiO$_2$ nanoparticle composite, in which tiny air gaps between the particles exist, resulting in a dense scattering media. Figure 4 shows the simulation results of electric field distribution in the media when applying a plane wave illumination at a wavelength of 550 nm from the far field. Electric field confinements are observed in the gaps between nanoparticles, indicating the ability of the metamaterial media to modulate and confine visible light at the nanoscale (Fig. 4A). Because TiO$_2$ is nearly free of energy dissipation at visible wavelengths, this near-field coupling effect among neighboring nanoparticles can effectively propagate through the media over long distances, forming an arrayed “patterned illumination” landscape on the surface of an underlying substrate (Fig. 4B). These illumination spots are evanescent in nature, containing high–spatial frequency components. Their sizes are mainly determined by the size of TiO$_2$ nanoparticles, having a full width at half maximum (FWHM) resolution of ~8 nm (Fig. 4C).

Therefore, it is expected that mSILs will have the unusual ability to transform the far-field illumination into large-area nanoscale evanescent wave illumination focused on the object surface within the near-field region. This novel nanophotonic effect is somewhat similar to that of aperture near-field scanning optical microscopy (NSOM), in which evanescent wave illumination is transmitted from the subwavelength aperture at the tip of a metal-coated optical fiber, and the size of the illumination spot is not limited by the incident wavelength but by the aperture size ($40, 50$). However, the single nanoaperture
design in NSOM suffers from several limitations, such as low optical throughput, long scanning time, and insufficient contact between aperture probe and object surface (40). In our design, the array of TiO2 nanoparticles can act as thousands of near-field probes to simultaneously illuminate the sample surface, and the strength of the focused evanescent wave illumination can be maximized owing to the near-perfect solid immersion of imaging object by TiO2 nanoparticles. Moreover, theoretically, the size of the evanescent wave illumination spots can be further reduced by using smaller anatase TiO2 nanoparticles or higher refractive index rutile (n = 2.70) TiO2 nanoparticles, and this near-field illumination may also be useful for other applications such as nanoscale light harvesting and sensing.

According to the reciprocal principle (51), the conversion process in mSILs (Fig. 4) from propagating waves to evanescent waves can be optically reversed. In other words, an array of evanescent wave source located on the bottom surface of the mSIL will be converted back into propagating waves by the mSIL media. This is confirmed by two–point source calculation—a technique widely used to determine the imaging resolution of an optical system. In simulation, two incoherent point sources including all evanescent wave components were used; they were separated by a distance of 45 nm. For comparison purposes, both conventional media (homogeneous anatase TiO2 material) and our metamaterial media (stacked 15-nm anatase TiO2 nanoparticle) were simulated. Using slab geometry, we demonstrate in Fig. 5 that evanescent waves behave differently when interacting with conventional media (Fig. 5A) and metamaterial media (Fig. 5B). As shown in Fig. 5C, in conventional media, the evanescent waves decay exponentially as expected when the distance to point sources increases. The loss of evanescent waves causes reduced resolution. Figure 5E demonstrates the inability of conventional media to resolve two point sources in the far-field region (for example, z = 650 nm > λ = 550 nm). The two points are only resolvable in the near field when the distance to point sources is extremely small, typically smaller than 50 nm in the present case. However, in metamaterial media, the evanescent waves experience strong interaction with TiO2 nanoparticles, which causes effective conversion of evanescent waves into propagating waves (Fig. 5D). The
converted waves are mainly guided through the gaps between particles. Electric field received at the far-field region \((z > \lambda)\) is about \(|E| = 0.45\) (because source amplitude \(|E| = 1\), this corresponds to \(|E|^2 = 20\%\) of total evanescent energy), which is comparable to the field strength at \(z = 7.5\) nm (near field). This means that near-field energy is converted and transported to the far field. The periodic modulation effect of electric field inside the metamaterial media can be seen as a signature of propagating waves. Because there is essentially no material loss in the media, the periodic propagation experiences an undamped modulation, showing an effective period of 160 nm. These waves propagate outward from the near field into the far field and contribute to super-resolution. This result confirms the validity of the reciprocal principle mentioned earlier. Figure 5F shows that the two point sources are reconstructed and discernible at the far field (for example, \(z = 650\) nm). Compared to metal-based superlenses and hyperlenses whose resolution is limited by material loss in metal (22–25), the proposed mSIL is free from this problem. Its resolution is mainly affected by the excitation of evanescent waves and the conversion efficiency of evanescent waves into propagating waves, as well as the effective capture of the subwavelength information in the far field (for example, using an mSIL with a higher magnification factor and an optical microscope with a higher-resolution CCD camera). This makes it possible to design a perfect imaging device using dielectric nanoparticles as building blocks.

We would like to point out that there is a significant difference between propagating (for example, plane wave exciting) and evanescent wave scattering by TiO\(_2\) nanoparticles. Under propagating wave excitation, there are theoretically no cross-polarization terms in the scattering matrix. However, in evanescent wave scattering, the exponentially decreasing amplitude introduces an asymmetry, which leads to polarization mixing. Moreover, as opposed to the propagating case, the scattering coefficients do not necessarily decrease with their order, and the magnetic terms can actually be enhanced (52). These factors have to be considered to understand the unusual conversion process in the metamaterial media subjected to an evanescent wave excitation.

**CONCLUSION**

In summary, we have demonstrated for the first time that TiO\(_2\) nanoparticles can be used to assemble low-loss all-dielectric metamaterials working over the entire visible spectrum. Owing to the densely packed structure of deep-subwavelength–sized TiO\(_2\) nanoparticles, the metamaterial can achieve both high refractive index and high transparency. The near-field coupling among neighboring TiO\(_2\) nanoparticles can lead to unique photophysical properties that are not available with conventional optics. For instance, the mSIL presented here allows a nano–solid-fluid was directly sprayed onto the surface of the observed sample via a 1-ml syringe, and the residual solvents were left to evaporate at ambient pressure and temperature (1 atm, 20°C) for 30 min. To prepare a super-hemispherical mSIL, a thin layer of the organic solvent mixture was spread over the sample surface and then the TiO\(_2\) nano–solid-fluid was sprayed onto this surface. After 30 s, the excess organic solvent mixture was removed by touching the edge of the sample with a piece of filter paper and left for evaporation of residual solvents.

**Optical super-resolution imaging**

The optical micrographs in Fig. 3 (D, H, and L) were taken using a HIROX KH-7700 light microscope (HIROX Co. Ltd.) equipped with a 2.11-megapixel CCD camera, an MX-10C co-axial vertical lighting zoom lens, an OL-700II objective lens [numerical aperture (NA), 0.8], and an AD-10S direction lighting adapter at a total magnification of x2100 in reflection mode. The optical micrographs in Fig. 3 (N to P) were taken using an Olympus BX63 light microscope (Olympus Corporation) equipped with a 5-megapixel CCD camera (Olympus, DP26) and a 100× objective lens (Olympus, LMPFlN; NA, 0.8) in reflection mode.

**SEM and AFM observations**

The nanoscale patterns on a recordable Blu-ray disk (after recording of data and removal of the cover layer) or a semiconductor wafer were observed on a Philips XL30 SEM operating at an accelerating voltage of 10 kV without gold coating. The wafer pattern with 45-nm features was obtained by sputter-coating the wafer with gold at a current of 30 mA for 180 s (BalTec SCD005). To observe the bottom surface of the mSIL, it was detached from the observed sample using double-sided adhesive tape. AFM images were taken on a Bruker MultiMode 8 AFM (Veeco Instruments) in tapping mode.
**Optical simulation**
Results presented in Fig. 5 were calculated using full-wave 3D electromagnetic software (CST MICROWAVE STUDIO). The frequency domain solver (finite element method) was used. Two incoherence point sources (y-polarized, perpendicular to the xz plane, set using a 1 nm × 1 nm rectangular waveguide port in software) were placed 45 nm away, and a convergence accuracy of 1 × 10⁻⁴ was used. Periodic boundary conditions were applied in xy directions. The computation was run on a server with a 16× 2.4-GHz central processing unit and 128-gigabyte memory. The averaged electric fields at different z planes were obtained using the postprocessing functions included in the software.

**SUPPLEMENTARY MATERIALS**
Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/2/8/e1600901/DC1
fig. S1. Wafer pattern used for evaluating the magnification factor and field of view of a TiO₂ mSiL.
fig. S2. Estimation of the effective refractive index and particle volume fraction of a TiO₂ mSiL.
fig. S3. Field of view of a TiO₂ mSiL.
fig. S4. The limiting resolution obtained with a TiO₂ hemispherical mSiL.
fig. S5. Direct imaging of wafer patterns by an optical microscope.
fig. S6. Direct observation of 50-nm latex beads located on the surface of a Blu-ray disk.
fig. S8. Comparisons of TiO₂ hemispherical mSiL assembled from 15- or 45-nm anatase TiO₂ nanoparticles.

**REFERENCES AND NOTES**

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