Giant electromechanical coupling of relaxor ferroelectrics controlled by polar nanoregion vibrations

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Relaxor-based ferroelectrics are prized for their giant electromechanical coupling and have revolutionized sensor and ultrasound applications. A long-standing challenge for piezoelectric materials has been to understand how these ultrahigh electromechanical responses occur when the polar atomic displacements underlying the response are partially broken into polar nanoregions (PNRs) in relaxor-based ferroelectrics. Given the complex inhomogeneous nanostructure of these materials, it has generally been assumed that this enhanced response must involve complicated interactions. By using neutron scattering measurements of lattice dynamics and local structure, we show that the vibrational modes of the PNRs enable giant coupling by softening the underlying macrodomain polarization rotations in relaxor-based ferroelectric PMN-xPT {(1−x)[Pb(Mg1/3Nb2/3)O3]−xPbTiO3} and PZN-xPT {(1−x)[Pb(Zn1/3Nb2/3)O3]−xPbTiO3} with electromechanical responses that are 10-fold larger than those of industry-standard PZT (PbZrO3−PbTiO3) piezoelectric ceramic materials (1, 4) and have markedly improved technologies ranging from medical three-dimensional ultrasonography to sonar (5). The key features of the parent relaxor ferroelectrics, PMN and PZN, are inhomogeneous polar nanoregions (PNRs) (6–9), which have been invoked to explain their slow dielectric relaxation and diffuse phase transitions (6–9). However, with increasing PT, large domains form below the Curie temperature (Tc) (7), and the materials behave more like conventional ferroelectrics for example, Pb(Zn, Ti)O3 and (K, Na)NbO3.

A morphotropic phase boundary (MPB) occurs in relaxor-based ferroelectrics, in which the structure changes from rhombohedral to tetragonal (x > 9% for PZN-xPT and x > 30% for PMN-xPT) (10). It is near this phase instability that the largest values for the electromechanical coupling occur (4, 10–13). Peaks in the piezoelectric response occur near MBPs and polymorphic phase transitions in conventional ferroelectric materials [and even in a homogeneous ferroelectric with pressure-induced transition (14)], but none of these materials have the ultrahigh piezoelectric responses of relaxor-based ferroelectric crystals, which have longitudinal piezoelectric coefficients (d33) that are >1500 pC/N and electromechanical coupling factors (k33) that are ~0.9 (4). These findings suggest that relaxor features play an important role (15), although a portion of this enhanced response also comes from the fact that the relaxor-based ferroelectrics are single crystals. Xu et al. (16) found that PNRs are associated with phonon softening and argued that this may underlie the phase instability, but how PNRs soften phonons was not explained in their study.

More recently, it was shown that PNRs form by phonon localization (17) and that these localized phonons delocalize below Tc for compositions near the MPB (17). Phonon localization means that some phonons become trapped in small regions of the crystal and decouple from the spatially extended phonons. The localized modes have frequencies that are independent of wave vector (dispersionless), indicating that they are stationary (17). The underlying mechanism was attributed to Anderson localization (17), in which waves become trapped by a constructive interference effect on disorder-scattered waves (18). In this context, the static (or slow) atomic displacements of the PNRs are the average local distortions (local relaxation) associated with phonon localization that occurs at much higher frequencies. Anderson localization as a mechanism for PNR formation was also recently deduced from first-principle simulations (19) and theoretically derived from equations used to describe relaxor ferroelectric behavior (20). An earlier model explained PNRs in terms of intrinsic localized modes (21–23), in which nonlinearity is responsible for decoupling the local dynamics from the extended phonons. In this model, decoupling occurs because nonlinearity shifts the frequency of the local vibrations into a gap between modes, where they do not resonate with any of the extended modes (24). However, the observed localization occurs within the phonon bands and with characteristics matching those of Anderson localization (17). In either case, delocalization

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occurs when these same modes develop dispersion as they couple to and move together with the spatially extended phonons, as hybrid modes.

Here, we demonstrate that the hybridization of the PNR local dynamics with transverse acoustic (TA) phonons results in shear softening that enables giant electromechanical coupling (25). The hybridization splits the TA mode into two phonons, one of lower frequency (softer) and one of higher frequency (stiffer), as compared to that of a bare acoustic phonon. The stiffer mode exhibits antisymmetric motion, meaning that the PNRs move out of phase with the phonon and it is the restoring force (coupling) between PNRs and the lattice that stiffens this mode. The softer mode exhibits symmetric motion, meaning that the PNRs move in phase with the phonon and this slows the mode down. This behavior is known as anticrossing or, sometimes, avoided crossing because of the way the hybrid modes appear to avoid each other in phonon dispersion relations (26). We also show that aligning the local modes and local structure in an electric field can enhance this phonon softening. In this way, the local vibrations of PNRs exert an enormous influence over the elastic shear stiffness, and this effect can be used to both understand and predict enhancements in the giant electromechanical response of relaxor-based ferroelectrics.

RESULTS

Neutron scattering on unpoled PMN-30%PT

The neutron scattering intensity maps in Fig. 1 (A and B) show the phonon dispersion for unpoled PMN-30%PT above and below $T_C$. These time-of-flight neutron scattering maps show wave vectors along $Q = [2 + H, −2 + H, 0]$ for $0.0 < H < 0.4$, which preferentially selects for transverse phonons polarized along $[1, −1, 0]$ and propagating along $[1, 1, 0]$ [in the rhombohedral state below $T_C$, the coordinates refer to the pseudocubic unit cell, which is justified because different domains have zone centers shifted by less than 0.4% owing to the smallness of the lattice distortions (27)]. On cooling below $T_C$, the TA phonon splits into two branches. The splitting between these branches is about 1.5 meV at $H = 0.24$ (integrated over $H = [0.2, 0.28]$) (Fig. 1B, right). The intensity of the lower branch extends out to about $H = 0.24$ at an energy of 3 meV (Fig. 1B). Compared to the TA phonon above $T_C$ (Fig. 1A), the upper branch is 0.6 meV higher at $H = 0.3$, whereas the lower branch is about 1 meV lower. The intensity of the lower branch extends out to about the same range as that of the PNR diffuse elastic scattering observed above $T_C$ (7, 28). Figure 1C (C and D) illustrates the behavior of the PNR diffuse scattering. Above $T_C$ (Fig. 1C), the PNR diffuse scattering forms a lobe of intensity that extends out to about $H \approx 0.2$ (7), whereas below $T_C$ (Fig. 1D), the diffuse scattering diminishes and the remaining intensity appears closer to the Bragg peak (7). This suggests that the PNR diffuse elastic intensity moves into the lattice dynamics on cooling below $T_C$. To further test this idea, we mapped the intensity of the lower TA phonon branch integrated over $3 \pm 1$ meV in the (HK0) plane (Fig. 1E). As can be seen by comparing Fig. 1E and Fig. 1C [after Matsuura et al. (7) and Xu et al. (28)], the intensity distribution of the lower branch closely resembles the lobe of PNR diffuse scattering above $T_C$ thus supporting the idea that PNR diffuse elastic scattering merges with lattice dynamics. A merging of PNR diffuse scattering with lattice dynamics is an expected consequence of coupling the slow PNR dynamics above $T_C$ (29) to the lattice below $T_C$.

The simple model calculation shown in Fig. 2 illustrates how coupling a lattice to small localized clusters that represent PNRs (Fig. 2C) results in two TA-PNR hybrid modes (Fig. 2D). Details are provided in Supplementary calculations. This behavior is a general consequence of coupling modes that share a common frequency and can be understood in terms of anticrossing (26). Consider the two motions for the TA phonon and random PNRs illustrated in Fig. 2E. Without TA-PNR coupling, the PNR motion occurs independently of the bulk crystal phonon, and hence the frequencies for these two scenarios can be identical. With coupling, the frequencies of these two motions become different. In the antisymmetric mode, the coupling results in a restoring force between the PNR and the TA phonon displacements, which stiffen the collective motion. In the symmetric mode, the cooperative motion of the PNRs with the phonon increases the effective mass of the oscillation, and this lowers the frequency (26). The onset of coupling below $T_C$ (Fig. 1) makes sense because the spontaneous polarization of the lattice engages coupling to PNRs through a dipole interaction. This is also consistent with the $\sim$11.5-meV PNR local mode developing dispersion below $T_C$ (17) because this dispersion also indicates a coupling to the lattice. Using triple-axis neutron scattering, we confirm that dispersion develops in the same way for PNR local modes in PZN-5%PT and PMN-38%PT, on cooling below $T_C$ (see fig. S1). The absence of PNR coupling to the lattice above $T_C$ is surprising but has been explained in terms of an Anderson-type phonon localization mechanism that occurs at higher frequencies (17–20), in which wave interference from disorder scattering effectively decouples local regions (18). However, as discussed in Neutron Scattering on Poled PMN-30%PT, there are multiple components to the PNR diffuse scattering, not all of which merge with the lattice dynamics, and the situation must be sorted out by poling the crystals (30).

Neutron scattering on poled PMN-30%PT

To explore the effects of poling on the TA-PNR mode hybridization, the $\sim$11.5-meV PNR local mode (17), and the PNR diffuse elastic scattering, we electric field-poled a PMN-30%PT crystal along the [100] direction. The [100] poling direction is chosen here because the resulting 4R “engineered” domain structure elicits the largest piezoelectric response (31) (4R refers to the occurrence of just four of the eight <111>-type rhombohedral domains; that is, the four domains inclined toward the [100] poling axis).

Comparing Fig. 1B and Fig. 1F reveals the effect of [100] poling on the transverse [110] phonons. Upon poling, the [110]-TA phonon splitting increases from 1.5 meV (Fig. 1B) to 2 meV (Fig. 1F). This 2-meV splitting is also confirmed with an equivalent measurement in the ($1, −1, 0$) zone (Fig. 1G). The lower branch of the split TA mode also appears significantly softened near the zone center in the poled crystal, as compared to the unpoled crystal; the TA phonon at $H = 0.1$ softens from 3.2 to 2.2 meV (Fig. 1, B and F, left). Even closer to the zone center at $H = 0.05$, the [100]-poled crystal has a TA phonon peak that merges with the elastic line and appears four times more intense. The fourfold increase in intensity is consistent with a phonon frequency that is half the value because the scattering intensity of a phonon in the high temperature limit scales as the inverse square of the phonon frequency (32). Hence, the TA phonon splitting results in a lower branch that is softer by about a factor of 2, and this includes the long-wavelength modes occurring near the zone center ($H = 0$). According to the simple phonon model in Fig. 2, the increased splitting and shear mode softening are consistent with an increase in the effective mass of the PNRs carried by the TA-PNR mode, $M_{PNR}$. This suggests that poling results in a larger fraction of the PNRs being carried...
Fig. 1. Phonon dispersion along $Q = [2 + H, -2 + H, 0]$ ($L = 0 \pm 0.05$) in PMN-30%PT comparing temperature and poling effects. (A) Unpoled crystal measured above $T_C$ at 488 K showing a single TA mode. (B) Unpoled crystal measured below $T_C$ at 300 K showing splitting of the TA phonon. (C and D) Sketches of the diffuse elastic scattering around $(HHK)$ reflections in the $(HK0)$ plane, above and below $T_C$ (7, 28). (E) Inelastic scattering intensity map at the energy of the lower branch of the split TA phonon ($E = [2, 4]$ meV) near the $(2, -2, 0)$ reflection in the $(HK0)$ plane. (F) [100]-poled PMN-30%PT showing a marked softening of the lower section of the TA branch (left) and an increase in the splitting of the TA mode from 1.5 to 2 meV (right). Data points overlaying the images of the TA phonon [center in (A), (B), and (F)] are peak positions from fits to the data, and the white lines running through these points are guides to the eye. (G) Confirmation of features in the $(1, -1, 0)$ zone for the same direction, $Q = [1 + H, -1 + H, 0]$. The transverse optic (TO) and upper TA branch appear relatively weaker in the $(1, -1, 0)$ zone, but the same features appear. All measurements were made on the time-of-flight angular-range chopper spectrometer (ARCS) at the Spallation Neutron Source, Oak Ridge National Laboratory.
in this TA-PNR mode. As discussed below, it is the softening of this same [110]-TA shear mode that enables the giant electromechanical response.

A direct alignment of the PNR local mode (17) polarization with the [100] poling direction is evidenced in Fig. 3 (A and B). Figure 3A shows measurements in a transverse geometry that selects for modes that displace atoms nearly parallel to the [100] poling direction (see schematic of scattering geometry in Fig. 3). In this direction, the PNR mode, between the TO mode and the TA mode near 11 meV, appears strongly enhanced; relative to the respective TO phonon intensities, the PNR mode is about three times more intense than that for the unpoled PMN-30%PT (17). There is also a hint of some splitting of the TA phonon in the cut integrated over −0.5 < K < −0.4, but this is difficult to resolve and is much weaker than that observed along the [110] direction (Fig. 1, B and F). The measurements in Fig. 3B are in a similar transverse geometry but select for modes that displace atoms
(nearly) perpendicular to the [100] poling direction. In this direction, the PNR modes are absent, and there is no evidence of splitting in the TA phonon peak. The dispersion in the TO phonon is also steeper without the PNR mode, falling ~2 meV lower near the zone center and not appearing as flat near the zone edge (Fig. 3A and B). The flattening of the TO phonon in Fig. 3A can be understood as a repulsion between the TO phonon and the PNR mode (the flatter mode contributes a sharper and more intense TO peak to the integration cut). Together, these results show that the high-frequency PNR modes align perpendicular directions (Fig. 3, E and F), indicating a rearrangement of static local displacements. The changes in diffuse scattering occur primarily in broad planes oriented perpendicular to the poling direction, whereas the more complex scattering around the Bragg peaks does not change, as seen in the difference images in Fig. 3 (G and H). The broad diffuse intensity integrated over \( L \) (inset to Fig. 3H) fits a profile

\[
I_{\{0, 0, \ell\}}(Q) = \alpha \times H^2 \cos^2\left(\frac{\pi H}{2}\right) + \beta \\
\times H \sin^2\left(\frac{\pi H}{2}\right)
\]

and a monotonic background, where \( \alpha \) and \( \beta \) are constants of the fit. This is a known solution for a crystal with additional well-defined local

![Fig. 3. Time-of-flight neutron scattering measurements of [100]-poled and unpoled PMN-30%PT at 300 K.](http://advances.sciencemag.org/)
displacements between atomic pairs separated by a/2 in the [100] poling direction (33). The decrease in the monotonic diffuse scattering indicates a corresponding decrease in local displacements occurring with random magnitudes.

There are two components of the diffuse scattering in relaxor-based ferroelectrics: a butterfly-shaped pattern that appears around Bragg peaks and the broader component described above. The former has been the focus of most previous studies of diffuse scattering in relaxor and relaxor-based ferroelectrics (28, 34–38). Xu et al. (39) observed the latter in the scattering from [100]-poled PZN-4.5%PT, but the measurement did not cover enough reciprocal space to observe the broad modulations across Q space. Pair distribution function analysis of PMN powders indicates local Pb off-centering on this scale (40), but these studies did not include poling effects. Measurements of the local mode structure factor at high temperatures also indicate that well-localized modes first form near the Burns temperature and then drive the formation of the larger PNR structures (~2 nm) on cooling (17), which indicates that both components of the diffuse scattering are associated with the same PNRs. This is also supported by the fact that the poling-induced [100] local displacements (Fig. 3) also appear to modify the TA-PNR mode hybridization along the [110] direction (Fig. 1, B and F). The bulk rhombohedral domains do not rotate into the [100] direction but rather distort along the four <111>-type directions inclined toward the [100] poling direction, in the 4R domain structure (31). However, by applying a much larger electric field, it is possible to induce a phase transition to a single tetragonal domain poled along [100] (1). Hence, the PNR modes and local displacements are more easily aligned along the [100] poling direction than are the ferroelectric domains in which they are embedded, and this is expected because the ferroelectric domains are large, static, and pinned.

**DISCUSSION**

The observed shear mode softening (Fig. 1) is consistent with ultrasonic pulse echo measurements that show a soft shear acoustic mode along [110] in the (001) plane for [100]-poled PMN-33%PT (41); this is equivalent to the TA phonon in Fig. 1 at long wavelengths (H → 0). A similar ultrasonic result was found for [100]-poled PZN-4.5%PT (42). In these studies, it was argued that the soft shear mode must result from domain motion induced by the ultrasound measurement (42). However, the phonon softening in Fig. 1 (B and F) shows that shear softening extends to wavelengths much shorter than the domain size. From the picture presented in Fig. 2E, we can understand that the softening originates from the increased effective mass felt by the symmetric mode because more PNRs couple to and move collectively with the TA phonon. A key point here is that the PNRs are smaller than a large share of the phonon wavelengths, and for this reason, they manifest in a way that is more akin to an impurity mode (43) than to a macroscopic domain.

The TA phonon softening (Fig. 1) is also reminiscent of the softening observed using neutron scattering in [111]-poled single-domain PZN-4.5%PT for the same TA mode: it is the phonons that are aligned with PNR diffuse scattering patterns that soften (16). However, in these measurements, neither the PNR vibrational modes (17) nor the anticrossing of the phonons (Fig. 1) was detected. These features were easily missed because the ~0.3-cm³ crystal used in a previous study (16) was about 60 times smaller than the ~20-cm³ crystals used here (fig. S2). With this knowledge, we can now understand that the relationship between PNRs and phonon softening described by Xu et al. (16) likely originates from the hybridization of the PNRs with the TA phonon (Figs. 1 and 2).

The shear softening induced by [100] poling (Fig. 1F) directly relates to the ease of the polarization rotations (25) underlying the giant

![Fig. 4. Relationship between the soft [110]-TA phonon and the giant electromechanical coupling.](http://advances.sciencemag.org/)
electromechanical coupling used in applications (4). The high longitudinal piezoelectric coefficients along the poling axis for the [100]-poled 4R engineered domain (31) state are attributed to a high-shear piezoelectric response in the single domain state (4, 44–47). This is because the polarization rotations involve shearing of the individual domains (1). Figure 4 illustrates how the softening of the [110]-TA phonons observed in Fig. 1 implies a softening of these shear deformations. The soft shear mode (Fig. 4A) makes it easy to tilt the [111] axis about [001] (Fig. 4B), whereas an equivalent soft shear makes it easy to tilt about [010] (Fig. 4C). Together, the [111] axis is easy to tilt toward the [100] poling axis (Fig. 4D), and the same argument applies to all 4R domains. The result is the large piezoelectric response along the [100] poling direction (Fig. 4E). The alignment of the PNR modes acts synergistically with the intrinsic effect of being near the MPB to lower the energy barriers of the polarization rotations (25) because the MPB occurs even without PNR mode alignment. Pier et al. (48) proposed a semimicroscopic model for PNR-enhanced electromechanical coupling, in which the interactions between PNRs are explained in terms of a dipole-phonon interaction. However, the effect coupling the phonons to the PNR vibrations, which underlies the anticrossing-induced shear softening, was not considered.

Our results reveal that domain engineering of relaxor-based ferroelectric single crystals (31) enhances the electromechanical coupling for two reasons, one deliberate and one serendipitous. Whereas the deliberate 4R arrangement of the domains mechanically facilitates the conversion of the high-shear piezoelectric response into a longitudinal response (31), the serendipitous alignment of the PNR vibrational modes and local structure (Fig. 3) further enhances this shear response by softening the TA phonon through the anticrossing (Fig. 1P). This engineered phonon softening was likely missed as a contribution in bulk measurements (4) because single-domain <111>-poled crystals used for comparison also have TA phonons that are softened by having the PNR modes aligned during the poling process (16). However, the underlying cause is important to recognize because an optimally engineered relaxor-based ferroelectric crystal should enhance both the domain mechanics (31) and the appropriate shear softening (4) to maximize the electromechanical coupling. In this context, the high-frequency PNR vibrational modes fully align with the [100] poling field (Fig. 3), whereas the larger domains remain in the 4R configuration unless much larger fields are applied (1). This suggests that it should be possible to independently engineer the domain structure and the local structure or dynamics, optimizing each to improve performance.

Our work establishes the crucial role that nanoregion local vibrations play in the performance of relaxor-based ferroelectrics and reveals an elastic softening mechanism that is likely applicable to other nanostructured materials. For example, ferroelastic nanoregions might enable the superelasticity of shape-memory strain glass alloys (2) by inducing similar elastic softening. Thus, our work provides a new perspective for understanding and controlling the performance of nanostructured materials.

**MATERIALS AND METHODS**

**Time-of-flight inelastic neutron scattering**

Time-of-flight measurements were performed on a large (~20 cm$^3$) [100]-poled PMN-30%PT crystal (see fig. S2 for pictures of crystal) and, for comparison, an unpoled PMN-30%PT crystal (~10 cm$^3$) using the ARCS at the Spallation Neutron Source, Oak Ridge National Laboratory (49). All measurements were performed with the crystals oriented in the (HK0) plane and with an incident neutron energy of 25 meV. Comprehensive four-dimensional Q-E volumes of data were obtained by rotating the angle between the [100] axis and the incident beam in 0.5° steps and combining the data using MSlice in the DAVE (Data Analysis and Visualization Environment) software (50). For the unpoled PMN-30%PT crystal, data were collected at each angle from 0° to 60°. For the poled PMN-30%PT crystal, data were collected at each angle from ~70° to 55° to obtain a complete data set that includes Q values in directions both perpendicular and parallel to the [100] poling direction in the (HK0) plane.

**Triple-axis inelastic neutron scattering**

Large (~10 cm$^3$) single crystals of PZN-5%PT and PMN-38%PT were measured using triple-axis neutron spectroscopy. The PMN-38%PT crystal was measured on the BT7 spectrometer at the National Institute of Standards and Technology Center for Neutron Research (51). The spectrometer was operated with a filtered fixed final neutron energy of 13.7 meV [with horizontal collimation of 120°:50°:50°:120° (32)], and the crystals were mounted in a furnace with the (HK0) reflections in the scattering plane using a vanadium holder (vanadium was used because it scatters incoherently). Measurements were made along [2, K, 0] at 21 equally spaced values of K between 0 and 0.5 at temperatures above and below $T_C$: 515 and 300 K for PMN-38%PT ($T_C$ = 465 K). The PZN-5%PT crystal, also mounted in a vanadium holder, was measured on the HB3 spectrometer at the High Flux Isotope Reactor at Oak Ridge National Laboratory. The HB3 spectrometer was operated with a filtered fixed final neutron energy of 14.7 meV (with horizontal collimation of 48°:60°:80°:120°), and measurements were made with the same crystal orientation and at the same points in reciprocal space as with BT7, again at temperatures above and below $T_C$: 500 and 300 K ($T_C$ = 425 K for PZN-5%PT). Both instruments used pyrolytic graphite PG(002) for the monochromator and the analyzer.

**Crystal growth and electric field poling**

PMN-PT single-crystal boules were grown using a modified Bridgman growth method. The boules were oriented for the major crystallographic [010]ₘ, [100]ₘ, and [111]ₘ using Laue x-ray and x-ray diffractometry. Sections of crystals 0.5 cm thick were sliced from the boule using an ID (inner diameter) saw. The composition of the crystals was first estimated from the positions in the crystal boules and then confirmed by measuring the transition temperatures using high-temperature x-ray diffraction on the individual crystals and comparing these to the known phase diagrams. For the unpoled PMN-30%PT crystals, the slices were used as cut.

For poling purposes, two slices (0.5 cm thick and ~5 cm in diameter) were cleaned and metallized with chromium (~500-Å layer) and gold (2000-Å layer) using a sputtering process (fig. S2). The metallized section was then poled using a polarization bath. The crystal slice was immersed in a bath of Fluorinert oil (silicone oil with high dielectric strength), and a dc electric field was applied. For the rhombohedral PMN-30%PT crystal, the crystal samples were poled by applying a dc electric field of 4 kV/cm at room temperature. Piezoelectric charge coefficient ($d_{33}$) was measured using a $d_{33}$ meter to determine whether the crystal slices were poled into a piezoelectric state. After poling, polarization changes (as a function of applied electric field) were observed on batch qualification samples using a hysteresis loop device that works
based on the Sawyer-Tower circuit. This measurement uses an integrator to determine the charge per unit area formed on the electrode surface of a dielectric subjected to an electric field. The voltage is applied in the form of a biased (unipolar) triangle wave (0 to peak) for a small number of cycles (~3). The hysteresis area between the field-ascending and the field-descending portions of the curve is proportional to the energy lost as heat during a charge-discharge cycle, and this hysteresis loop represents a behavior that is typical of ferroelectric materials.

**SUPPLEMENTARY MATERIALS**

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/content/full/2/9/e1501814/DC1

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