

## EVOLUTIONARY BIOLOGY

Nasalization by *Nasalis larvatus*: Larger noses audiovisually advertise conspecifics in proboscis monkeys

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Male proboscis monkeys have uniquely enlarged noses that are prominent adornments, which may have evolved through their sexually competitive harem group social system. Nevertheless, the ecological roles of the signals encoded by enlarged noses remain unclear. We found significant correlations among nose, body, and testis sizes and a clear link between nose size and number of harem females. Therefore, there is evidence supporting both male-male competition and female choice as causal factors in the evolution of enlarged male noses. We also observed that nasal enlargement systematically modifies the resonance properties of male vocalizations, which probably encode male quality. Our results indicate that the audiovisual contributions of enlarged male noses serve as advertisements to females in their mate selection. This is the first primate research to evaluate the evolutionary processes involved in linking morphology, acoustics, and socioecology with unique masculine characteristics.

## INTRODUCTION

Exaggerated male traits may have evolved as a consequence of sexual selection (1). This is no exception for primate lineages, including us humans. The males of several primate species have prominent morphological adornments with marked sexual dimorphism (2). Facial coloration in mandrills (*Mandrillus sphinx*), cheek flanges in orangutans (*Pongo pygmaeus*), capes of white and silvery-gray hair in hamadryas baboons (*Papio hamadryas*), reddened chests in geladas (*Theropithecus gelada*), upper-lip warts in golden snub-nosed monkeys (*Rhinopithecus roxellana*), and beards in humans are all primate examples of male adornments. These specialized features play important roles in male-female and/or male-male communications. In primates, they serve as sexual signals for potential conspecific partners or rivals; however, the evolutionary causations differ among species. Theoretically, these exaggerated male traits could serve one or both of the following two major functions: as a “badge of male social status” (advertising competitive potential with respect to other males) and/or as partner attraction signals [ultimately determined by female choice (3)]. Male adornments would convey sexual quality signals as a badge of status in a sexually competitive society that probably advertise a male’s social status widely to other rival males, thereby possibly avoiding critically fatal encounters. Mandrills provide a possible example of a badge of status trait. Adult mandrills show secondary sexual adornments, the signal strengths of which differ depending on a male’s gains/loss of alpha social rank (2). In contrast, male adornments could sometimes be carefully evaluated by females in their selection of appropriate partners and their decision to belong to a particular social group. The classical experiments on the extremely long tails of male window birds (*Euplectes*) clearly showed

that female preference is determined by the degree of tail length, empirically indicating the role of female choice in the evolution of male adornments (4). Thus, both male-male competition and female choice could complexly shape the development of odd male characteristics. Of particular importance in social animals such as primates is the remarkable correlation between sexual dimorphism and their social systems. Greater sexual dimorphism is often shown in primates living in more sexually competitive social systems, such as polygynous single male societies (harem group). Thus, together with their unique social systems, the degree to which competition allows males to monopolize access to females, that is, male-male competition, female choice, or a combination of the two, probably determines both morphological and behavioral male characteristics and reliably indicates male sexual qualities (5). However, the evolutionary mechanisms underlying the development of male sexual adornments have remained inadequately explained primarily because of the difficulty of devising empirical approaches for the study of evolutionary phenomena.

Proboscis monkeys (*Nasalis larvatus*) are a typical example of a sexually dimorphic and primarily arboreal primate with a well-developed male adornment, namely, a conspicuous large nose. Among primates, larger noses with prominent sexual dimorphism are only seen in this species. Their characteristically large sexual dimorphisms in body mass may be caused by the sexually competitive nature in proboscis monkeys, associated with their unique social system (6, 7), in which smaller levels of stable social organization (the harem group) aggregate into larger units, suggestive of a multilevel social system (8). Presumably, enlarged noses would be strongly exposed to sexual selection and are visually salient cues to both males and females. Unlike in canines, however, in proboscis monkeys, the enlarged nose does not serve as a “weapon,” and so its true function is unclear. As simply predicted, it may have evolved in response to female preference for males with larger noses, which serve as an honest signal expressing male sexual quality or as a badge of social status (as in mandrills) advertised to conspecific neighbors during daily interactions within their habitat. Given their large sexual dimorphisms in body mass and their unique social system, we thus expect that sexual selection pressure plausibly shapes the sexual strategies of proboscis monkeys in a specialized way, that is, nose enlargement.

Nevertheless, thus far, the roles of the enlarged male nose have not been examined because of the difficulty in evaluating nose morphology

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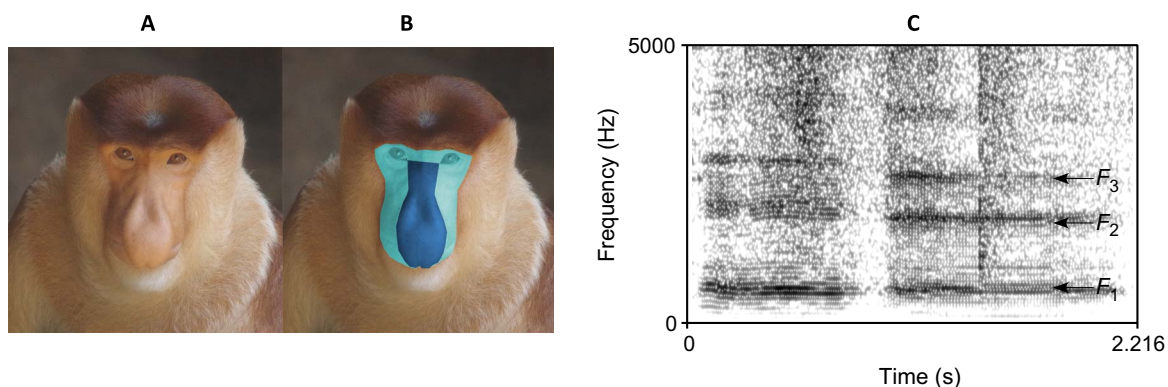
and cognitive features in the wild. A direct way to evaluate the signal role of this adornment on sexual selection is to perform experiments such as those used to study female choice in window birds (4); however, it is not possible to apply these methods to investigate primate sexual strategies. An alternative approach has been to accumulate as much evidence as possible using multidimensional approaches. In mandrills, for example, physiological, morphological, behavioral, and socioecological field observations have revealed that male facial colorations parallel testis volume and social rank, suggesting that their adornment evolved as a badge of status (9). Likewise, morphological, acoustical, and social system comparisons of howler monkey species (*Alouatta* spp.) have recently indicated the trade-off between pre- and postcopulatory investments on male trait development, suggesting that the extraordinary size of the hyoid bone might have evolved as a result of female choice, particularly within the context of harem groups, where intermale sexual competition is high (10). Thus, multidimensional approaches would be appropriate to test the theory of intra/intersexual selection of male ornaments in proboscis monkeys.

Here, we investigated the information encoded in the enlarged male nose to determine whether it contributes to sexual advertisement for male and/or females in both wild and captive males. To this end, we undertook morphological measurements of nose size, testis volume, and body size, evaluated harem group structures, and performed acoustical analysis of their nasalized male-specific vocalizations (Fig. 1). Morphological and social structure analysis could potentially reveal what “quality” enlarged noses advertise to rival males as well as partner females. To date, there have been no empirical observations on the nose morphology, sexual quality, and social status success of proboscis monkeys, although Darwinian evolutionary theory would predict significant interactions among these traits (2, 8, 11). Thus, we simultaneously assessed the possible signals exposed to female choice, namely, a male-specific vocalization. Undoubtedly, females assess male qualities not only by nose size but also by other means such as vocalizations (12–14). In several mammalian species, including red deer, koalas, and giant pandas, females prefer certain male acoustic traits strongly coupled with body size cues, which suggests female choice for male vocalizations as honest signals (10, 15–19). The long-distance vocalizations in male howler monkeys, which is a recent example, may have specifically evolved to attract females. Their hyoid bones act as a vocal resonator to transmit their body size as a male sexual quality embedded in their long-distance calls, which would be an acoustic honest signal to

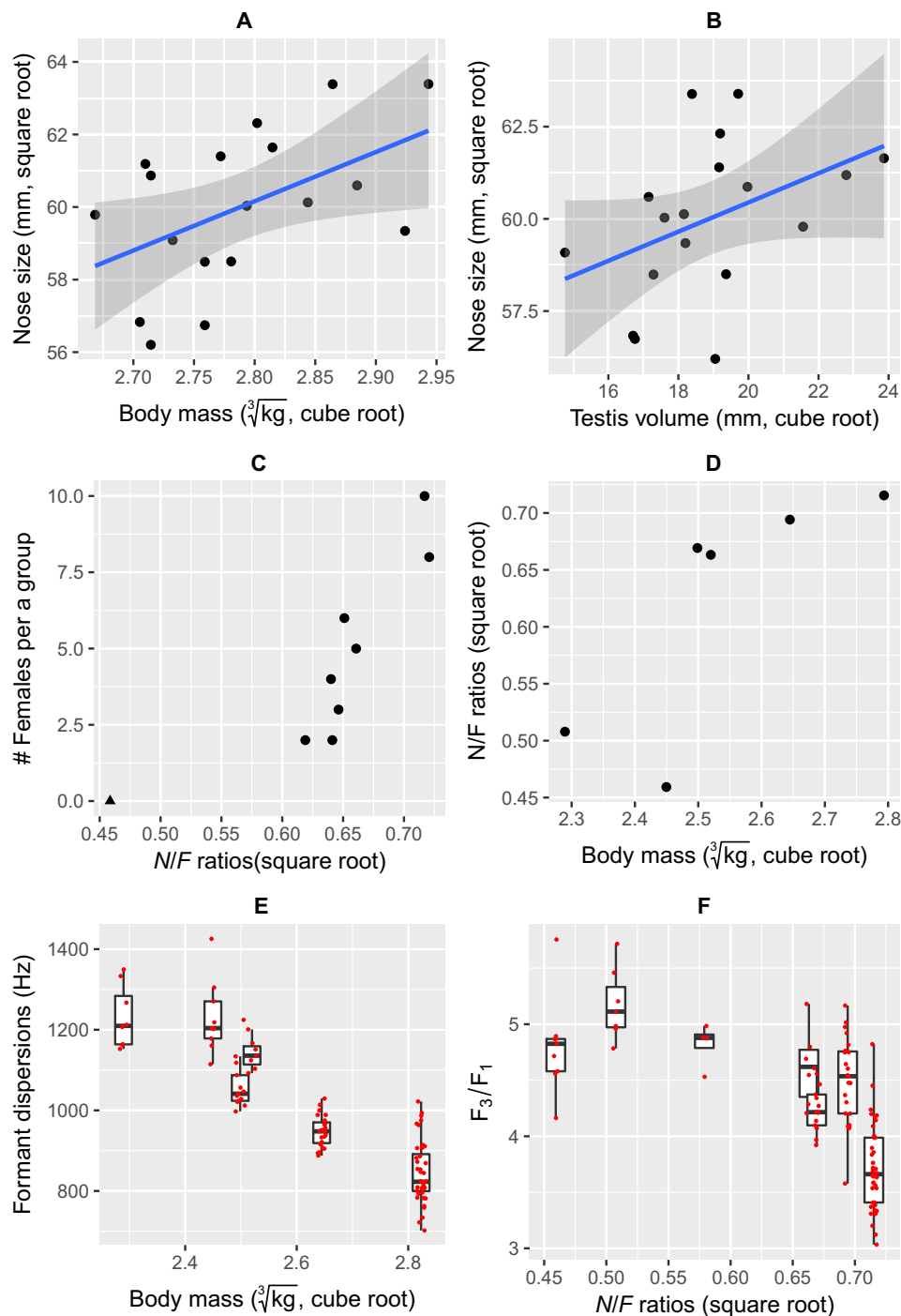
be preferentially selected by female choice (10). In this regard, a key acoustic property is resonance comprising formants, which are the frequencies produced by the supraglottal vocal tract areas (20–23). In animals, there is a significant correlation between body size and morphological features such as vocal resonators. These are used in vowel discriminations as primary acoustic cues in human speech (20, 23, 24). Male proboscis monkeys use “nasalized” long vocalizations, referred to as “brays” (25), and the nasal cavity can modify the resonance properties to encode male nasal enlargement in a manner similar to human nasalized vowels (26). An enlarged nose would multimodally convey male qualities in the form of resonance properties determined by the vocal tract and the nasal cavity. This study accordingly represents the first attempt to integrate multidimensional assessments of nose enlargements and thereby makes a practical contribution to studies of the evolution of the proboscis odd nose.

## RESULTS AND DISCUSSION

We measured body mass, nose size, and testis volume in 18 free-ranging males and found significant correlations among the three parameters. A best-fit statistical model predicting proboscis monkey nose size included both body mass and testis volume and showed positive correlations [coefficient and SE:  $14.8 \pm 5.09$  (for body mass) and  $0.44 \pm 0.18$  (for testis volume),  $R^2 = 0.47$ , adjusted  $R^2 = 0.40$ ,  $F = 6.67$ ,  $P = 0.008$ ; Fig. 2, A and B]. The change in corrected version of the Akaike information criterion for small sample size [ $\Delta AIC(c)$ ] value of the next model was  $>2.0$  (see table S1 for details). These findings suggest that nose enlargement is a reliable predictor of masculine characters typically associated with social dominance or high sperm counts. Recent frameworks of a trade-off between investments in pre- and postcopulatory traits in primates (10, 27) do not apply well to proboscis monkeys, that is, positive correlation between pre- (nose size) and postcopulatory (testis volume) traits, or to certain other species, such as the coupling of facial coloration with testis size in mandrills (28). The reason for this discrepancy may be that trade-off phenomena are theoretically based on interspecies comparisons, where pre- and postcopulatory male traits among the closely related species are analyzed with a consideration of social and mating systems (for example, degree of female monopolization). However, the studies on proboscis monkeys and mandrills are focused on analyses within a single species. Little is known about intraspecific variation in testis size in primates, although our findings suggest that sperm competition



**Fig. 1. Facial and vocal characteristics in a proboscis monkey male.** Examples of (A) a male proboscis monkey face, (B) nasal/facial areas, and (C) sound spectrogram of long calls. For (B), the areas shaded by light green and dark blue are facial and nasal areas, respectively. For (C), two sequential vocalizations were included (sounds are available online), and the arrows represent the positions of the three formant frequencies.



**Fig. 2. Significant correlations between body mass, facial characteristics, testicular volume, vocalizations, and number of harem females in proboscis monkeys.** Bivariate plots representing the correlations between (A) the cube roots of the body mass and the square roots of the nose-to-face ( $N/F$ ) ratios and (B) the testis sizes of the 18 wild males. Blue lines and shaded areas represent the linear regressions estimated by the “lm” method in R for the observed samples and their 95% confidence interval ranges, respectively. (C) Plots of the number of females belonging to eight harem groups, with the  $N/F$  ratios of the core males in the group (circles). The triangle represents the  $N/F$  ratio of one male of an all-male group (see main text for a detailed description). (D) Plots representing the correlations between the cube roots of the body mass and the square roots of the  $N/F$  ratios of the six captive males. (E) Box plot of the formant dispersion parameters ( $D_5$ ) with the body masses of six captive males. (F) Box plot of  $F_3/F_1$  parameters with the  $N/F$  ratios of seven captive males. Box plots represent the medians (horizontal bold lines), 25th and 75th percentiles (bottom and top of the box), and the 1.5 $\times$  interquartile ranges (whiskers). All observed data were plotted with jitter effects on the x axis.

occurs within the species as theoretically predicted. Larger testes produce more sperm than small testes and thus may provide a selective advantage associated with multiple inseminations and sperm competi-

tion (29). In proboscis monkeys, harem groups (and all-male groups in some cases) assemble with each other on a regular basis at their sleeping sites, and female dispersal among the harem groups is a typical pattern

(30, 31) [suggestive of a multilevel social system (6, 7)], potentially indicating the possibility of the high male-male competition for females. Thus, the high levels of sexual competition could promote the evolution of both pre- and postcopulatory male traits.

To understand the potential influence of female selection on male nose size, we attempted to correlate the numbers of adult females per wild harem group with the degree of nasal enlargement ( $N/F$  ratios; see Materials and Methods for details) in the core males. We found that the number of females ( $n = 8$ , mean = 5.0, SD = 2.9, range = 2 to 10) was positively correlated with the  $N/F$  ratio ( $r = 0.91$ ,  $P = 0.001$ ; Fig. 2C). Furthermore, we noted that the nose size of the largest male in an all-male group consisting of 27 to 30 males (32) was considerably smaller than that of harem males (that is, 0.21 versus  $0.44 \pm \text{SD: } 0.05$ ), suggesting that the male nose functions as a badge of status for the core males in harem groups. These results imply that larger male noses play a role in both female attraction and male-male competition, advertising group-holding status in wild populations, similar to the facial coloration in mandrills (33), male lip color in snub-nosed monkeys (11), and chest color in geladas (34).

Next, we investigated other masculine signals such as audiovisual characteristics by analyzing facial photographs and recording vocalizations in seven captive males (see Materials and Methods for details). On the basis of facial analyses of the captive males, we identified two nasal enlargement groups (see fig. S1 for facial images): full adults [mean  $N/F$  ratio = 0.47 (SD = 0.03)] and young adults [mean  $N/F$  ratio = 0.22 (SD = 0.03)]. In addition, the  $N/F$  ratio in each of the six males was significantly and positively correlated with body mass ( $r_s = 0.886$ ,  $P = 0.033$ ; Fig. 2D). Therefore, the  $N/F$  ratio is a reliable indicator of male body size. This finding is consistent with the analyses of the wild males. We obtained 104 analyzable loud calls (brays) from seven males (range, 5 to 40 calls; median, 11.5 calls; see table S2 for details). A comparison of the generalized linear mixed model (GLMM) revealed that the formant dispersion parameters (see Materials and Methods for details) were better explained by the model that included the body mass than the null model [body mass model,  $\text{AIC}(c) = 2015.5$ ; null model,  $\text{AIC}(c) = 2036.0$ ; Fig. 2E, but see table S3 for details]. Formant dispersion parameters are precise predictors of vocal tract length and may be strongly correlated with body mass in many mammals. We then analyzed the  $F_3/F_1$  ratios (the ratio of the first formant to the third formant; see Materials and Methods for details), which are, in theory, independent of vocal tract length but may be influenced by vocal tract shape. Vocal tract lengths and  $F_3/F_1$  ratios are, in theory, independent of each other. This study, however, indicated that the  $F_3/F_1$  ratios were probably correlated with the  $N/F$  ratios (Fig. 2F). When we compared the GLMM that included the  $N/F$  ratio with the null model, we found that the former showed a better fit than the latter [ $N/F$  ratio model,  $\text{AIC}(c) = 100.3$ ; null model,  $\text{AIC}(c) = 103.3$ ; Fig. 2F, but see table S4 for details]. Therefore,  $F_3/F_1$  ratios may encode nasal enlargement information.

To our knowledge, this is the first evidence of significant correlations among body mass, facial characteristics, testis volumes, and vocalizations in primate lineages. The relative size of an enlarged nose would act as an advertisement signal for conspecific male rivals and an informative visual signal for the recognition of male qualities, such as physical strength (body mass) and reproductive ability (testis size), by females. Androgen (testosterone) levels would primarily determine the traits reflecting male social status and quality and accentuate the variations between individual males. Therefore, the  $N/F$  ratio is a robust and reliable signal for rapid evaluation of male status and quality. Given that the

nose size of the core male in harem groups is likely to be larger than that of males in all-male groups, nose enlargement would serve as a badge of status that may directly deter other rival males from attacking or gain access to harem groups. The interactions between harem groups and between harem and all-male groups are relatively peaceful without any evidence of direct fighting in general (7, 32). Male nose size would be much more evident to females than other masculine traits for partner attraction and may confer a selective advantage in contests between males for access to females, although further longitudinal field demographic data would be necessary to clarify the mating success of males with different nose size in terms of the possible effects on their social status (for example, dominance rank).

Acoustic cues (formants) may contribute to female mate selection. A novelty of our findings is the duality of formants as sexual advertisement cues. Formant dispersion parameters are indicative of body mass and are, in theory, mediated by vocal tract length. In contrast, the  $F_3/F_1$  ratios represent nose size. Because the nasal cavity acts as an antiresonance filter, nose size overrides body mass as a cue for other masculine traits and could be selected in parallel by females. Vocalizations would transmit sexual signals more effectively than visual cues in a dense rain-forest with poor visibility (5). In these habitats, obstructions limit visual conspecific recognition, whereas vocalizations are transmitted over a long range (that is, ca. 100 to 200 m depending on forest conditions based on our field experience) to ensure effective communications among group members rarely moving >50 m away from the core male of harem groups (35). Therefore, male vocalizations may be a truer representation of male quality than visual cues, and the former could more effectively help to ensure offspring survival than the latter. This phenomenon has been demonstrated in other animals (12), even though formant structures are by-products of enlarged noses. As a result, nasal enlargements, which may initially have evolved as a visual badge of status sexual trait, are multimodally subjected to female selection.

Collectively, all evidence here supports the strong sexual selection on enlargement of nose size in relation to the unique social systems of proboscis monkeys. Although they form harem groups, there is surprisingly no evidence of strong male-male antagonistic interactions with core-male replacements or infanticide in proboscis monkeys within their natural habitat (32), which, in contrast, is commonly observed in primates forming sexually highly competitive societies, particularly harem groups, as in the cases of howler monkeys and langurs (36). Their “peaceful” social system can plausibly be explained by the flexible group organization in the multilevel society of proboscis monkeys at their sleeping trees. Because the sleeping sites of harem groups are often closely located within 50 m, with occasional occurrences of sharing the same tree (35), showing their badge of social status may lessen male-male physical antagonistic interactions, thereby avoiding seriously wounding each other. In addition, adult females seemingly often transfer from one harem to another, sometimes with a newborn infant (30, 31), and thus, core males in harem groups may not be able to maintain stable relationships with females over a long period. Consequently, these high rates of female migration would inhibit the risk of infanticide. Without any direct battle for female guarding, larger noses as male adornments would ecologically act as signals strongly audiovisually exposed to female choice.

This study may shed light on the hypothesis of audiovisual co-evolution of exaggerated male traits in primate lineages and provide further evidence for the evolutionary pathway of enlarged noses in proboscis monkeys. However, we should acknowledge the current research limitation. Our promising observations strongly suggest sexual

selection, although all were based on indirect correlations and thus must be regarded as potentially biased. Without direct experimentation, it is difficult to conclude from our study whether the secondary sexual trait of males (enlarged noses) is solely a badge of status, solely a sexually attractive signal, or some combination of the two possibilities. More detailed studies are definitely required to assess the cognition of male reactions or female preferences for enlarged noses and to determine how the enlarged male nose ecologically contributes to mating success in the unique social system of proboscis monkeys.

## MATERIALS AND METHODS

### Measuring body characteristics in free-ranging proboscis monkeys

Between July 2011 and December 2015, we captured 18 free-ranging adult male proboscis monkeys in the lower Kinabatangan area, Sabah, Borneo, Malaysia (5°18'N to 5°42'N and 117°54'E to 118°33'E), as described in detail in the methods and ethical statement of Stark *et al.* (37). We recorded body mass, nose size (length  $\times$  width), and testis volume (using the formula for a regular ellipsoid  $V = 1/6 \times \pi W^2 L$ , where  $W$  is the average width of both testes and  $L$  is the length of the longer testis). A linear model was used to establish whether the nose size was related to other physical properties such as body mass and testis volume. Nose sizes were square root-transformed, and the other factors were cube root-transformed to generate response and explanatory variables, respectively. In theory, nose size and body mass should be proportional to the square and cube of a linear dimension, respectively. The variance inflation factors were 1.18 for body mass and 1.01 for testis volume, which were less than the cutoff value, that is, 10 (38); therefore, collinearity among independent factors did not affect the results. We examined a set of models accounting for all possible combinations of the explanatory variables and ranked them using the AIC(c) (39). Following guidelines published for wildlife research, we selected the models with  $\Delta\text{AIC}(c) \leq 2$ , where  $\Delta\text{AIC}(c) = \text{AIC}(c) - \text{minimum AIC}(c)$  within the candidate model set (39).

### Adult female enumeration and male nose size evaluation in free-ranging proboscis monkeys

Between January 2000 and March 2001, and again between May 2005 and 2006, we observed proboscis monkeys along a tributary of the Kinabatangan River (118°30'E, 5°30'N). We identified eight harem groups totaling 45 adults, 12 subadults, 30 juveniles, and 41 infants (30, 31), and thus, the exact number of adult females in each group was determined. Male noses were measured while the monkeys were being observed. To evaluate the extent of nose enlargements, we took photographs of the frontal facial views of each male. Images were digitized at the pixel resolution level. The pixel sizes of the facial areas ( $S_{\text{face}}$ ) (defined as the areas of bare facial skin) and those of the nasal areas ( $S_{\text{nose}}$ ) (defined as the areas of the noses) were calculated using the pictures. The facial areas were defined as the facial beard skin area surrounded by the circulate boundary edge between the bare skin and the facial fur (see Fig. 1, A and B). The nasal areas were defined as the facial regions bounded by the two eye base corners and the nasal lines (see Fig. 1, A and B). The  $N/F$  ratio is defined as  $S_{\text{nose}}/S_{\text{face}}$ . We used GNU Image Manipulation Program (GIMP) v. 2.8 (available from The GIMP Team, www.gimp.org) for pixel scaling. We performed a simple correlation analysis of the number of adult females per harem group and square root-transformed the  $N/F$  ratios for eight subjects using Pearson's product-moment correlation coefficients.

### Male call characteristics

Between May and September 2014, we recorded proboscis monkey vocalizations in the exhibition and/or backyard areas at three different zoos using a Roland Edirol R05 solid state recorder (Roland Corp.) connected to Sony ECM-674 microphones (Sony Corp.). We used a sampling rate of 48 kHz and a 16-bit resolution. We took facial photographs and recorded the vocalizations of seven captive male monkeys (see table S2). We were unable to measure the body mass of one young male in the Lok Kawi Wildlife Park. For the body mass analysis, we used six monkeys, whereas the  $N/F$  ratios and vocalizations were determined for seven monkeys. In our recordings, we focused on the "brays," which are loud male calls (hereafter, "loud call") that are typically heard in the vocal exchanges among group members and possibly between groups (25). Only loud calls recorded with no sound clipping and high signal-to-noise ratios were used. They were analyzed using Praat v. 5.3.52 software (available from Paul Boersma and David Weenink, www.praat.org). For the resonance properties of individual vocalizations, we measured the first, second, and third formant frequencies using Burg's algorithm in Praat (maximum number of formants = 4, maximum formants = 4500 or 5000 Hz, window length = 0.025 s, preemphasis = 50 Hz). We calculated the formant dispersion parameters ( $D_f$ ) and the  $F_3/F_1$  ratios. The  $D_f$  is the average distance between each adjacent pair of formants and is calculated as  $D_f = \{(F_3 - F_2) + (F_2 - F_1)\}^2$ , where  $D_f$  is the formant dispersion, and  $F_1$ ,  $F_2$ , and  $F_3$  are the first, second, and third formant frequencies, respectively. Theoretically, the formant frequencies produced by the mammalian vocal tract are given by  $F_i = (2i - 1) \times c/4L$ , where  $i = 1, 2, 3, \dots, L$  is the vocal tract length, and  $c$  is the speed of sound. Therefore,  $D_f$  is represented as  $c/2L$  and is negatively correlated with the vocal tract length. This formula has been commonly used to evaluate resonance properties in nonhuman mammalian vocalizations (40). In contrast,  $F_3/F_1$  should, in theory, always be  $\sim 5$  [that is,  $(5 \times c/4L)/(c/4L)$ ] and independent of vocal tract length. In practice, however, variations in  $F_3/F_1$  could be caused by fluctuations in vocal tract shape. Therefore,  $F_3/F_1$  would most probably reflect these shapes, which are determined by the topological configurations formed by the larynx, tongue, lips, and nasal cavity. Using Spearman's method, we initially validated the correlations between body mass and  $N/F$  ratios for six subjects to ensure consistent results in our field subjects. GLMMs including the body mass alone were then constructed and compared with the null models for  $D_f$ s in the six males. GLMMs including the  $N/F$  ratio alone were compared with the null models for  $F_3/F_1$  ratios in the seven males. The body masses and the  $N/F$  ratios were cube root- and square root-transformed, respectively. All procedures were approved by the institutional zoo committees and were conducted in accordance with national guidelines or legal requirements.

## SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/4/2/eaq0250/DC1>

table S1. AIC(c) selection of the linear models accounting for the  $N/F$  ratios using the "lm" and "degrade" functions in the "MuMIn" packages of R.

table S2. List of subject information.

table S3. AIC(c) comparisons of the GLMMs accounting for  $D_f$ s with and without body mass, using the "lmer," "lme4," and "degrade" functions in the "MuMIn" packages of R.

table S4. AIC(c) comparisons of the GLMMs accounting for the  $F_3/F_1$  ratios with and without the  $N/F$  ratios using the "lmer," "lme4," and "degrade" functions in the "MuMIn" packages of R.

fig. S1. Photographs of captive monkeys.

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