**Anthropology**

*Ardipithecus* hand provides evidence that humans and chimpanzees evolved from an ancestor with suspensory adaptations

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The morphology and positional behavior of the last common ancestor of humans and chimpanzees are critical for understanding the evolution of bipedalism. Early 20th century anatomical research supported the view that humans evolved from a suspensory ancestor bearing some resemblance to apes. However, the hand of the 4.4-million-year-old hominin *Ardipithecus ramidus* purportedly provides evidence that the hominin hand was derived from a more generalized form. Here, we use morphometric and phylogenetic comparative methods to show that *Ardipithecus* retains suspensory adapted hand morphologies shared with chimpanzees and bonobos. We identify an evolutionary shift in hand morphology between *Ardipithecus* and *Australopithecus* that renews questions about the coevolution of hominin manipulative capabilities and obligate bipedalism initially proposed by Darwin. Overall, our results suggest that early hominins evolved from an ancestor with a varied positional repertoire including suspension and vertical climbing, directly affecting the viable range of hypotheses for the origin of our lineage.

**Introduction**

The morphology and inferred positional behavior of the last common ancestor (LCA) of humans, chimpanzees, and bonobos (hereafter, “LCA”) are critical for understanding the evolution of hominin bipedalism (1–5). Numerous adaptive explanations for bipedalism rely on an understanding of our place in nature. The recognition that humans are closely related to African apes influenced the range of possible explanations for bipedalism by raising questions about the kind of ancestor from which the human *bauplan* was derived (1). Keith (6) established the hypothesis that human postcranial anatomy was derived from an orthograde ancestor, later interpreted as a “brachiating” ancestor (7), by highlighting the aspects of trunk and limb anatomy shared among humans and other hominoids.

The morphology of the highly dexterous human hand, with its intrinsically elongated first ray (pollex or thumb), shortened metacarpals and nonpollical digits, and hypertrophied thanar muscles, contrasts sharply with that of suspensory adapted anthropoid primates (8–10). The most suspensory hominoids, cercopithecoids, and platyrhines tend to display a reduced pollex and narrow, elongated nonpollical rays. For example, *Pan*, *Pongo*, and *Colobus* have a markedly reduced thumb, and *Ateles* and *Brachyteles* have entirely lost an external thumb (8, 11). In these features, humans were argued to more closely resemble cercopithecoid primates than hominoids (10–12). The recognition that human and suspensory hominoid hands are specialized in seemingly divergent directions influenced the development of an alternative hypothesis in which humans evolved from a quadrupedal, cercopithecoid-like ancestor instead of a suspensory, hominoid-like one (10–12).

Detailed comparative research demonstrated that the hands of humans and cercopithecoids are only superficially similar in morphology (13–15). For example, although humans have an intrinsically elongated thumb compared to most hominoids, the lengths of the nonhuman hominoid pollical metacarpal and phalanges are not reduced relative to their body size (13). Furthermore, humans and other hominoids share an intra-articular meniscus on the ulnar side of the wrist responsible for isolating the ulnar styloid process (14, 16). Humans also share with chimpanzees, bonobos, and gorillas the fusion of the os centrale and scaphoid (1, 13, 15), which increases midcarpal joint stability and decreases joint stress (1). The shared aspects of hand and wrist morphology among humans and nonhuman hominoids, especially the African apes, supported Keith’s hypothesis (6) that humans evolved from a hominoid-like ancestor.

However, later discoveries of Miocene hominoid fossils preserving primitive postcranial morphology relative to extant hominoids suggested that the anatomical correlates of below-branch suspension might have evolved multiple times throughout hominoid evolutionary history (2–4, 17, 18). Few Miocene hominoids have traits that reflect the undisputed use of hominoid-like suspensory locomotion (19), with the potential exception of *Hispanopithecus laietanus* (18) and *Danuvius guggenmosi* (20). For example, the Miocene fossil hominoids *Sivapithecus* and *Pierolapithecus* have dorsally oriented articular surfaces of their manual proximal phalanges, indicating the habitual use of extended metacarpophalangeal (MCP) joints in palmigrade quadrupedalism (21).

The hand of the early Pliocene hominin partial skeleton ARA-VP-6/500 attributed to *Ardipithecus ramidus* has been argued to support the hypothesis of a non suspensory LCA (2–4, 22). The initial analysis of the ARA-VP-6/500 hand concluded that *Ar. ramidus* had none of the adaptations associated with forelimb-dominated suspension present among extant hominoids (2–4). Instead, the hand of *Ar. ramidus* was argued to be reminiscent of more “generalized” (in some ways monkey-like) Miocene hominoids such as *Ekombo* and *Pierolapithecus*. If *Ar. ramidus* did not have a suspensory-adapted

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hand and instead had a more generalized morphology, then human hand morphology may be less derived than that of chimpanzees and bonobos relative to the LCA (2, 22). In this case, the distinctive pollical to nonpollical ray proportions of modern humans would be considered an exaptation, rather than an adaptation, useful for manipulation and tool use (23, 24) since it originated in the context of Miocene hominoid arboreal quadrupedal locomotion and feeding behavior (22, 25).

Thus, inferences about the positional behavior of fossil hominoids and hominins have contributed to a more recent alternative hypothesis, which proposes that each extant hominoid lineage was independently derived for suspensory posture and locomotion from more generalized ancestors with “cautious climbing” adaptations (2–4). This alternative hypothesis is a refinement of Straus’ earlier hypothesis (10, 11), in that it conceives the phylogenetic position of humans as the sister taxon of chimpanzees and bonobos within the great ape clade, combined with suggestions that cautious climbing, not suspension, explains the locomotor anatomy of hominoids (16, 26).

Here, we test the hypothesis that hominins evolved from an ancestor that lacked adaptations for below-branch suspension using metric data from a large and diverse sample of extant primates and fossil hominins with special emphasis on the hand of Ar. ramidus. We combine standard morphometric analyses with phylogenetic comparative methods that allow us to reconstruct the adaptive landscape of hominin hand evolution, placing fossil taxa within shared selective regimes. Species in a selective regime share a common selective factor, e.g., positional behavior, where regime shifts imply evolution toward new adaptive optima brought on by a change in selection. First, we evaluate the morphometric affinities of the Ar. ramidus hand and show that it is most similar to chimpanzees, bonobos, and orangutans among a sample of 53 anthropoid primate species. Second, we reconstruct the evolution of the hominid hand and show that Ar. ramidus evolved in a selective regime shared with modern chimpanzees and bonobos to the exclusion of later hominins. Overall, our results (i) provide a more refined view of the positional behavior of Ar. ramidus and the Homo-Pan LCA, (ii) resolve a long-standing debate about the role of suspension in the ancestry of humans (2–4, 7, 27), and (iii) imply coevolutionary shifts in hominid hand and foot morphology associated with manipulation and obligate bipedality, respectively (23, 24, 28).

RESULTS
Morphometric analyses
To evaluate alternative evolutionary trajectories for the hominid hand, we conducted a principal components analysis (PCA) on 26 logged geometric mean-standardized measurements of metacarpals and phalanges preserved in the ARA-VP-6/500 fossil [first metacarpal (MC1), fifth metacarpal (MC5), third proximal phalanx (PP3), and third intermediate phalanx (IP3)] on the full comparative sample. Our results show that PCA separates extant anthropoid primates according to known differences in hand morphology (Fig. 1 and fig. S1). Hylobatids, Ateles, Brachytes, and Homo occupy distinct areas of the morphospace associated with their highly specialized hands related to variation in the proportions of the pollical and nonpollical rays. The first principal component (PC1) accounts for 47% of the variance and is primarily associated with the relative lengths of the phalanges and MC5 combined with MC1 variables (table S1 and figs. S2A and S3A). The second principal component (PC2) accounts for 16% of the variance and is primarily associated with MC1 and MC5 variables (figs. S2B and S3B). The third principal component (PC3) separates hominoids from most other anthropoids with little overlap. PC3 accounts for 13% of the variance and is primarily associated with carpometacarpal joint, MCP joint, and MC1 midshaft dimensions (figs. S2C and S3C).

Ar. ramidus and Australopithecus afarensis fall along an evolutionary trajectory from a suspensory LCA when examining the first three PCs together (Fig. 1). The placement of Au. afarensis between modern humans and gorillas on PC1 is consistent with a recent re-sampling analysis of hand proportions (29). While Homo naledi is nearly indistinguishable from modern humans, Australopithecus sediba and Homo neanderthalensis are distributed in different directions around the modern human mean, which is consistent with the results of previous studies (30). A multivariate cluster analysis on Euclidean distances between PC scores (unweighted pair group with arithmetic mean) results in a great ape cluster including Ar. ramidus nearest to Pan and Pongo (fig. S4). All other fossil hominins cluster exclusively with modern humans.
To compare the hand of *Ar. ramidus* (ARA-VP-6/500) to the fossil hominoid *H. laietanus* (IPS18800), we conducted a second PCA on 17 logged geometric mean-standardized measurements of metacarpals and phalanges preserved across both hand fossils (MC4, PP3, and IP3). PCA on this modified dataset also separates extant anthropoid primates according to known differences in hand morphology but with less separation among groups since the MC1 is excluded (figs. S5 and S6 and table S2). The *Ar. ramidus* hand (ARA-VP-6/500) falls within the Pan distribution along PC1 and PC2 and within the overlapping distributions of *Pan, Lagothrix,* and cercopithecoids along PC1 and PC3 (figs. S5A and S6A). The *H. laietanus* hand (IPS8800) is positioned intermediate between *Pongo* and panionins, and within the interquartile range of *Ateles,* along PC2 (figs. S5B and S6B). The *Ar. ramidus* hand is clearly Pan-like according to the PCA on the modified dataset, whereas the hand of the great ape *H. laietanus* is positioned immediately between suspensory taxa (e.g., *Pongo* and *Ateles*) and quadrupedal monkeys. Additional details on PCA loadings (table S2) are outlined in the Supplementary Materials.

To supplement our initial PCA and to offer an additional perspective on the morphometric affinities of the *Ar. ramidus* hand, we conducted discriminant function (DFA) and canonical variates analyses (CVA) on 26 logged geometric mean-standardized measurements of metacarpals and phalanges (MC1, MC5, PP3, and IP3). The DFA correctly classifies 97% of individuals using leave-one-out cross-validation (table S3). All fossil hominin hands were classified as "*Homo*" with a high posterior probability, except the *Ar. ramidus* hand, which was classified primarily as "Pan" (table S4). Extant hominoid groups are well separated from each other and from cercopithecoids and platyrrhines in the CVA (fig. S7, A and B, and table S5). The *Ar. ramidus* hand is positioned on the positive end of Canonical Axis 2 (CAN2) within the ranges of *Gorilla, Pongo,* and *hylobatids* and outside the ranges of all other groups (fig. S7A). The A.L. 333 and MH2 fossil hands are classified as *Homo* but are positioned just outside of the range of *Homo sapiens* along Canonical Axis 1 (CAN1) (fig. S7A). The *Ar. ramidus* hand falls within the Pan distribution along CAN1 and Canonical Axis 3 (CAN3) (fig. S7B). Additional details on the coefficients of linear discriminants (table S5) can be found in the Supplementary Materials.

We examined multivariate variation in MCP and interphalangeal (IP) joint shape using PCA on six logged geometric mean-standardized measurements [MC5 head mediolateral breadth (MC5 HML); MC5 head dorsopalmar depth (MC5 HDP); PP3 trochlea mediolateral breadth (PP3 TML); PP3 trochlea dorsopalmar depth (PP3 TDP); IP3 trochlea mediolateral breadth (IP3 TML); IP3 trochlea dorsopalmar depth (IP3 TDP)] that are linked to the mechanics of suspension (fig. 2A). In unimanual suspension with the hand positioned in a "hook grip" on a circular horizontal support (9, 31) and with the MCP joint in approximately 90° of flexion (32), the force of body weight (mg) creates an extension moment at the MCP joint with an external moment arm (R) determined by the distance between the weight vector and the support reaction force (SRF). Opposing MCP flexion moments are generated by the isometric force production (F_D) of digital flexors (e.g., m. flexor digitorum profundus and m. flexor digitorum superficialis) and lumbricals with a moment arm length (r) influenced by the sagittal shape profile of the MCP joint (fig. 2A). This simplified biomechanical model builds on previously published models describing the application of internal and external forces hypothesized to produce phalangeal stress and strain (32, 33). The points of application, positions, spatial orientations, and magnitudes of external and internal force vectors, as well as the moment arm lengths, are hypothetical. Their purpose is to illustrate the biomechanical relevance of variation in MCP and IP joint shape in suspension.

The first PC accounts for 62% of the variance, and positive values are associated with decreased MC5 HML, increased PP3 TDP, and increased IP3 TDP (fig. 2B). Higher values of PC1 therefore reflect increases in morphological measurements hypothesized correlated with the moment arms of the extrinsic digital flexors (e.g., m. flexor digitorum superficialis and m. flexor digitorum profundus). Hylobatids, *Pan, Pongo, Gorilla,* and atelines (*Ateles, Brachyteles,* and *Lagothrix*) fall to the right of panionins, cercopithecins, and colobines along PC1, with some overlap between gorillas and arboreal cercopithecoids and platyrrhines (Fig. 2B). *Ar. ramidus* falls within the range of variation of *Pan troglodytes, Gorilla gorilla,* and *Pongo* and above the ranges of variation of all cercopithecoids and platyrrhines. *Australopithecus* and *Homo* fall within the range of variation of *H. sapiens,* which occupies the negative end of PC1 overlapping with arboreal cercopithecoids and platyrrhines. The *Ar. ramidus* ARA-VP-6/500 hand does not preserve the head of the third metacarpal (MC3), so we necessarily analyzed the articular dimensions of the preserved MC5 head alongside the PP3 and IP3 dimensions. Our biomechanical model depicts the MCP and interphalangeal joints of the third ray (i.e., including the third metacarpal head), but it applies to all nonpollical rays.

We examined MC1 traits hypothesized to reflect the ability of modern homans and fossil hominins to generate forceful pollical grips and to reduce stress at the pollical MCP and carpometacarpal joints [i.e., MC1 head breadth, MC1 head depth, MC1 cross-sectional area (product of MC1 MSML and MC1 MSDP), and MC1 base area (product of MC1 BML and MC1 BDP)] associated with stone tool–related behaviors (30, 34). Modern humans have dorsopalmarly deeper (fig. S8A), mediolaterally wider (fig. S8B) MC1 heads combined with large diaphysial cross-sectional and base areas relative to overall hand size (quantified as the geometric mean of 26 metrics) compared to other taxa (fig. S8, C and D). *H. neanderthalensis, H. naledi,* *Au. sediba,* and *Au. afarensis* tend to be most similar to modern humans in most of the comparisons, whereas *Ar. ramidus* is consistently Pan-like. However, *H. naledi* has an exceptionally small MC1 base relative to hand size, as initially noted by Kivell and colleagues (33), and is similar to *Ar. ramidus* and *Pan* (fig. S8C). In addition, *Au. sediba* has an MC1 base area that is intermediate between *Homo* and *Pan* relative to hand size.

**Phalangeal curvature**

Phalangeal curvature reduces diaphysial strain associated with suspension (32, 33) and is therefore an important correlate of primate positional behavior (19, 30, 36, 37). To clarify how phalangeal curvature in the *Ar. ramidus* hand compares to our comparative sample, we quantified the curvature of the PP3 in a large sample of anthropoids and fossil taxa using the included angle method (Fig. 3). The overall pattern of phalangeal curvature in the extant and fossil sample is consistent with that of previous studies (19, 36, 37). The phalanges of *Hispanopithecus, Danuvius,* and *Ar. ramidus* are characterized by pronounced curvature, falling within the ranges of variation of the most suspensory anthropoids (*Pan, Pongo, hylobatids,* and atelines; fig. 3). The phalanges of the remaining Miocene hominoid taxa (*Pierolapithecus, Grispitheus, Ekembo, Sivapithecus,* and *Oreopithecus*) as well as *Au. afarensis* and *Au. sediba* fall within the
overlapping ranges of multiple anthropoid taxa including *Pan*, hylobatids, cercopithecins, and colobines.

**Evolutionary modeling**

To test alternative hypotheses about the relationship between hand morphology and locomotor behavior, we reconstructed the adaptive landscape of primate hand evolution using phylogenetic comparative methods ([38, 39]; see also [5, 22, 40]). These methods allow us to translate adaptive hypotheses into explicit evolutionary models tested against comparative data in a maximum likelihood framework. They also allow us to estimate evolutionary parameters such as the optimal hand morphology for a given selective regime (i.e., locomotor category).

Our evolutionary modeling analyses use the first three PCs from our PCA to reduce the dimensionality of the dataset. In our a priori adaptive hypotheses (fig. S9), we assigned *Australopithecus* and *Homo* to their own selective regime and *A. ramidus* to the same regime as *Pan* given the results of our morphometric analyses. The first hypothesis (H1) recognizes taxa that are mostly terrestrial (*Gorilla beringei*, *Papio*, *Theropithecus*, and *Mandrillus*), those that are semiterrestrial (*Pan*, *G. gorilla*, *Semnopithecus entellus*, *Macaca mulatta*, *Macaca nemestrina*, *Macaca nigra*, *Cercocebus*, and *Chlorocebus aethiops*), those that are mostly arboreal (all other non-hominin taxa), and those who frequently use their hands in nonlocomotor contexts including *Homo* and *Australopithecus*.

The second hypothesis (H2) is identical to H1 but separates mostly arboreal quadrupedal taxa from those that are more suspensory (*Pongo*, hylobatids, *Ateles*, and *Brachyteles*). The third hypothesis (H3) includes regimes for digitigrade taxa (*M. mulatta*, *M. nigra*, *Papio*, *Theropithecus*, *Mandrillus*, and *Cercocebus*), knuckle-walking...
taxa (Pan and Gorilla), suspensory taxa (Pongo, hylobatids, Ateles, and Brachytes), nonlomocmoter taxa (Homo and Australopithecus), and palmigrade taxa (all remaining anthropoids). We tested an alternative hypothesis (H₄) based on H₃ in which we placed Pan and Ardipithecus in a regime with the suspensory taxa and Gorilla in its own terrestrial knuckle-walking regime (fig. S9). The assignment of cercopithecoid taxa into locomotor or hand posture categories was based on published literature (41). Next, we used SURFACE to identify selective regimes based on morphological similarity without identifying them a priori (39), which has been used previously to test hypotheses of human and primate evolution (5, 22, 40). Last, we tested the relative support of our results with two simpler alternative evolutionary hypotheses including evolution by genetic drift (i.e., Brownian motion; H₉) and adaptation with a single optimum [i.e., Ornstein–Uhlenbeck (OU); H₀U₁]. Support for H₉M or H₀U₁ would suggest that our data do not reflect an adaptive signal related to locomotor behavior.

Our results show that hand morphology is best described by 11 selective regimes with all a priori adaptive hypotheses performing worse than the SURFACE model (Fig. 4 and tables S6 and S7). The selective regimes identified by SURFACE likely reflect a combination of locomotion and other factors (Fig. 4 and table S7). For example, the best supported a priori model fit using the "ouch" package in R included a convergent suspensory regime containing atelines and Asian hominoids, but SURFACE separated them into distinct regimes that likely reflect their distinct evolutionary histories (e.g., Ateles and Brachytes political reduction) and body sizes. Likewise, we found support for terrestrial knuckle-walking (Pan and Gorilla) and digitigrady (M. mulatta, M. niga, Papio, Theropithecus, Mandrillus, and Cercocebus) regimes that were subsequently separated by SURFACE. Note that SURFACE identified three regimes representing the spectrum of nonsuspending quadrupedal hand postures and substrate preferences among cercopithecoids and platyrrhines ranging from arboreal palmigrade to terrestrial digitigrady, mostly reflecting variation in metacarpal and phalangeal lengths relative to the hand geometric mean. Colobus was placed in its own regime nearest the arboreal palmigrade optimum reflecting evolution in the direction of the Ateles and Brachytes regime associated with political reduction. SURFACE placed Ar. ramidus into a regime with Pan paniscus and P. troglodytes, suggesting adaptation to an optimal hand shape related to shared aspects of positional behavior, body size, and evolutionary history. SURFACE detected an evolutionary shift in hand morphology between the Homo-Pan ancestral phenotypic optimum, including Ardipithecus and Pan, and all later hominins primarily related to decreased intrinsic phalangeal length and increased MC1 length.

In addition to testing adaptive hypotheses, the OU model allows for the estimation of evolutionary parameters such as the phylogenetic half-life (t½; table S7), which is the average time it takes to evolve half the distance from the ancestral optimum to a new optimum given a regime shift. The phylogenetic half-life (t½) therefore quantifies the rate of adaptation. The estimate of t½ for PC1, which is the axis separating the ancestral hominin optimum from the primary hominin optimum, is 722 ka (thousand years) (table S7), which is relatively fast evolution compared to the total length of the tree [46.8 Ma (million years)]. As discussed above, PC1 is primarily associated with the intrinsic lengths of the metacarpals and phalanges. The estimates of t½ for PC2 and PC3 are 1.26 Ma and 5 ka, respectively. PC2 and PC3 are associated with metacarpal length, joint, and midshaft dimensions. The estimates of the phylogenetic half-life for each PC suggest that anthropoid metacarpal length and midshaft dimensions (i.e., MC1 relative to MC5) have evolved more slowly than phalangeal lengths and articular dimensions (e.g., the ulnar carpometacarpal and MCP joints). We used a Monte Carlo simulation method (42) to evaluate the statistical power to distinguish between alternative evolutionary models given our dataset and phylogeny (fig. S10). The simulation results show that all models can be distinguished from each other and support information criteria metrics [Akaike information criterion (AIC), small sample size corrected Akaike information criterion (AICc), and Schwarz information criterion (SIC)]. Previous studies have criticized the use of SURFACE for multivariate evolutionary modeling (43). We include the use of SURFACE for explicit comparison to recent similar studies (5, 22, 40) and as an additional perspective to our a priori models fitting the ouch package (38) in R. Overall, our use of Monte Carlo simulations provides additional support for the model fit by SURFACE.

We conducted a SURFACE analysis using the first three PC scores derived from our PCA on a modified dataset including H. laietanus (fig. S11 and table S8). SURFACE identified nine select regimes including a convergent regime containing H. laietanus, Pongo, Lagothrix, and Ateles. The locations of the evolutionary shifts estimated by SURFACE resemble our initial analysis using a larger dataset but with some differences. Ar. ramidus is placed in the same selective regime with P. troglodytes and P. paniscus, but expectedly, the analysis on the reduced dataset also places Au. afarensis in this regime since it excludes MC1 data. The Au. afarensis hand clusters with Au. sediba, H. nalledi, and H. neanderthalensis in the PCA (fig. S5) but is distinguished from them along PC3 (fig. S11), which is associated with MCP and IP joint dimensions and MC4 length (table S2). Instead, SURFACE detects an evolutionary shift at the base of the Au. sediba and Homo clade. In addition, colobines, cercopithecins, and most papionins are placed in a single regime with evolutionary shifts toward a more terrestrial digitigrady regime in Papio and Theropithecus. Overall, the results of our SURFACE analysis on a modified dataset are consistent with our initial analyses because it recovers an evolutionary shift at the base of the great ape clade associated with suspensory adaptations.

Ancestral estimations of human and great ape hand morphology

We estimated the ancestral hand morphology for humans and great apes using StableTraits software (44). StableTraits uses a stable model of continuous trait evolution to estimate ancestral values without assuming neutrality and gradualism, which accommodates a more biologically plausible set of evolutionary processes that produce evolutionary rate heterogeneity across the phylogeny. A Markov chain Monte Carlo (MCMC) algorithm was used to estimate the posterior distributions of ancestral states for each internal node of the phylogeny. We performed separate ancestral estimations on the first three PCs derived from our PCAs on our full (fig. S1) and modified datasets (fig. S5), which we visualized as phylomorphospace plots constructed using the "phytools" package (45) in R (Fig. 5). The hand morphology of the Homo-Pan LCA is estimated to be more similar to Pan than to any other extant taxon sampled here (Fig. 5, A and B). The 95% credible interval on the estimated hand morphology of the Homo-Pan LCA using our 26-variable dataset includes the mean value of G. gorilla along PC1 and PC3 and the
mean value of *P. paniscus* along PC2. The 95% credible interval on the estimated hand morphology of the *Homo-Pan* LCA using our 17-variable dataset includes the mean value for *P. troglodytes* along the first three PCs.

The results of our evolutionary modeling and ancestral estimation analyses provide complementary perspectives on the evolution of ape and human hands. For example, our initial SURFACE analysis estimated a large morphometric discontinuity between the estimated phenotypic optima for palmigrade monkeys and suspensory apes (Fig. 4). The 95% credible intervals for the ancestral hand morphology of great apes occupy this area of the morphospace between extant palmigrade monkeys and suspensory apes, consistent with ape evolution from an arboreal palmigrade ancestor (Fig. 5, C and D). Along the first two PCs, the estimated ancestral hand morphology of great apes includes *H. laietanus*, *P. paniscus*, *Pongo*, and *Lagothrix*. Overall, our ancestral estimations and evolutionary modeling analyses are consistent with the presence of suspensory adaptations in the hands of the LCA of great apes (18).

**Relative size and scaling of metacarpal and phalangeal lengths**

Last, we used phylogenetic generalized least squares (pGLS) to estimate the regression of log metacarpal and phalangeal lengths on log body mass using the “caper” package in R (Fig. 6 and table S9) (46). *Ardipithecus*, *Pierolapithecus*, *Hispanopithecus*, and *Danuvius* were excluded from the models, but plotted with the other data, given the uncertainties of their body mass estimates and the phylogenetic positions of the Miocene hominoids. Length of the MC1 relative to body mass shows substantial overlap between taxa and variation within locomotor modes. For example, *H. sapiens*, *H. neanderthalensis*, and *H. naledi* do not have relatively longer MC1s than *Pan*. Among the suspensory taxa, hylobatids have the longest MC1s and *Ateles* the shortest. *Ardipithecus* is similar to *Homo* and *Pan* in the relative length of the MC1 (Fig. 6A). *Australopithecus* and *Homo* have the relatively shortest MC5 lengths, which contributes to their high thumb-to-digit ratio (13, 15, 22). Terrestrial monkeys overlap with the more suspensory taxa (hylobatids, *Ateles*, and *Pongo*) and *Pan*. 

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**Fig. 4. The evolution of anthropoid hand shape according to SURFACE.** (A) Phylogenetic tree with branches painted according to selective regime. (B) Species means for PC1 and PC2 (small circles) and estimated phenotypic optima (large circles). (C) Species means for PC1 and PC3 (small circles) and estimated phenotypic optima (large circles). *Ar. ramidus* was placed in the same selective regime as *P. troglodytes* and *P. paniscus*. In contrast, all later hominins were placed in a selective regime with modern humans, which results in an evolutionary shift in hominin hand morphology from a *Pan*-like ancestor between ca. 4.4 and 3.2 Ma ago.
in the relative length of the MC5. *Ar. ramidus* is intermediate between *Pan* and *Gorilla* in the relative length of the MC5 (Fig. 6B). The most suspensory taxa have relatively long phalanges of the third digit, whereas small-bodied arboreal quadrupeds, terrestrial monkeys, and other fossil hominins tend to have the relatively shortest phalanges (Fig. 6C). *Nasalis* has the largest body mass and the relatively longest phalanges of the arboreal cercopithecoids sampled here. *Ar. ramidus* is most closely aligned with *P. paniscus* and *Danuvius*, and intermediate between *Hispanopithecus* and *Pierolapithecus*, in the relative lengths of the third digit phalanges (Fig. 6D).

**DISCUSSION**

Our results show that, despite subtle differences from modern suspensory apes (e.g., decreased MC5 length), the *Ar. ramidus* hand (ARA-VP-6/500) displays morphometric affinities with great apes and shared a selective regime with modern chimpanzees and bonobos. First, morphometric analyses of the preserved metacarpals and phalanges of the ARA-VP-6/500 hand show that PCA separates extant anthropoid primates according to known differences in hand morphology that are related to behavioral differences (Fig. 1 and fig. S1), and *Ardipithecus* is most similar to extant great apes (Figs. 1 to 5). Building on this result, we found that both *Ar. ramidus* and *Au. afarensis* fall along a hypothetical evolutionary trajectory from a more chimpanzee-like, rather than monkey-like, LCA (Fig. 1). Second, we found that the relative length and curvature of the PP3 of *Ar. ramidus*, along with the shape of the MCP and proximal interphalangeal (PIP) joints, are most closely aligned with suspensory apes (Figs. 2 and 3). Last, we reconstructed the macroevolutionary adaptive landscape for the anthropoid hand and found that *Ar. ramidus* likely shared primitive suspensory adaptations with modern chimpanzees and bonobos, before an evolutionary shift detected at the base of the *Australopithecus* and *Homo* clade (Fig. 4). Hominin hand evolution from a more suspensory, ape-like ancestor rather
than a more generalized, monkey-like ancestor is also supported by ancestral estimations (Fig. 5). Collectively, these results falsify the hypothesis that hominins evolved from an ancestor with a generalized hand that lacked suspensory adaptations (2–4, 10–12, 22).

The results of our evolutionary analyses contradict the original suggestion that the hand of *Ar. ramidus* is Old World monkey–like in intrinsic proportions (2, 3) or generalized relative to extant hominoids (22), which can be explained through both analytical and methodological differences. Our PC1 mostly reflects hand length and shares similarities to the one presented in a recent study including the *Ar. ramidus* hand (22). Critically, this multivariate axis alone cannot distinguish between African ape-like and monkey-like hypotheses for the hand of the LCA. The position of *Ar. ramidus* between *Pan* and *Homo* along PC1 (and within the “monkey” range of variation) is consistent with both hypotheses. Either *Ar. ramidus* had evolved away from an African ape–like LCA or, alternatively, retained an ancestral monkey-like hand. Our inclusion of more data (i.e., articular and midshaft dimensions) and increased taxonomic breadth results in better separation on subsequent PCs (i.e., variance is distributed among more PCs) and shows that a generalized, monkey-like hand for the *Homo-Pan* LCA is not supported by the fossil and comparative data.

**Hand morphology and positional behavior**

We interpret the shared aspects of nonpollical metacarpal and phalangeal morphology of *Ar. ramidus, Pan*, and, by estimation, the *Homo-Pan* LCA to reflect the use of below-branch, suspensory posture and locomotion as part of a varied positional repertoire including arboreality, vertical climbing, and, among the latter two, terrestrial quadrupedalism. The relatively long and curved phalanges of *Ar. ramidus* allowed for the application of a greater proportion of its digital flexor force to horizontal supports in below-branch suspension while reducing diaphyseal strain (32, 33). The proportion of the flexor force applied to the support contributes to the force of static friction (e.g., equivalent to the sum of the vertical components of $F_{FD}$ and $F_{DP}$ and the horizontal component of $F_{FD'}$ in Fig. 2A multiplied by the coefficient of friction), which opposes motion between the digits and the support (33, 47).

The MCP and PIP joint morphology of *Ar. ramidus* is characteristic of a digital joint complex hypothesized to increase the magnitude of internal flexion moments produced by the extrinsic digital flexors, which is an important feature of below-branch positional behavior. Previous studies have noted the “flexion set” of metacarpal heads (9, 48) and phalangeal trochleae (49), as well as the relatively tall MCP and PIP joints of extant hominoids (20, 49), which
would act to increase the moment arms of the long digital flexors, particularly in more flexed positions. In addition, the larger moment arms of hominoid digital flexors are associated with relatively large flexor musculature, implying increased ability to generate large moments at the MCP and PIP joints (50, 51). The characteristics of the forelimb musculature of Ar. ramidus are unknown, but the projections of its scaphoid tuberosity and hamate hamulus are consistent with a deepening of the carpal tunnel (2). Accordingly, the MCP and PIP joint morphology of Ar. ramidus is more closely aligned with Pan and Pongo than more generalized arboreal quadrupeds (Fig. 2B), implying a greater ability to generate forceful MCP joint flexion, which provides evidence for adaptation to suspensory locomotion in early hominins and the Homo-Pan LCA.

The relative length of the Ar. ramidus MC5 is intermediate between Pan and Gorilla but greater than that of all other hominins. Metacarpal length has mechanical consequences in the context of varied positional repertoires, hand postures, and substrate preferences. Nonpollical metacarpal length has been argued to be a correlate of suspensory positional behaviors (2, 21). The presence of shorter metacarpals relative to support diameters may cause increases in wrist flexion during suspension (31). However, metacarpal length increases do not positively contribute to performance in suspensory postures (e.g., uni- or bimanual arm hanging) and may only partly contribute to performance in forms of suspensory locomotion (e.g., brachiation and bridging) as a constituent of upper limb length. In brachiation, bridging, and vertical climbing, a longer upper limb allows individuals to travel greater distances per stride, which is hypothesized to reduce both metabolic energy expenditure and the probability of deficient grasps that could lead to deadly falls (33). The reconstructed upper limb length of Ar. ramidus suggests that it was not adapted to hylobatid-like, ricochetal brachiation or Pongo-like quadrumanous climbing and bridging (2). Instead, the hand of Ar. ramidus and the estimated morphology of the Homo-Pan LCA are more consistent with a Pan-like positional repertoire including orthograde vertical climbing and suspension. Therefore, it is premature to reject hypotheses of adaptation to below-branch suspension among fossil hominoids and early hominins on the basis of metacarpal length alone given its functional role in various primate positional repertoires, substrate preferences, and hand postures (33, 36, 41, 52).

A recent multivariate analysis of foot proportions suggested that the positional repertoire of Ar. ramidus and the Homo-Pan LCA also included terrestrial heel-strike plantigrady and vertical climbing (5). The presence of a suspensory adapted hand and a terrestrially adapted foot in Ar. ramidus and the Homo-Pan LCA is notable because this combination is only observed among extant knuckle-walking apes. The knuckle-walking hand posture is a compromise that enables large-bodied suspensory apes to spend a significant proportion of their time on the ground (51). Functionally, knuckle walking reduces external moments at the MCP joints despite the retention of...

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**Fig. 7.** The evolution of hominin hands and feet reflects an evolutionary shift toward enhanced manipulative capabilities and obligate bipedalism, respectively. Partial hands, partial feet, and stone tool exemplars are depicted here and supplemented by reference to more fragmentary specimens preserving functionally relevant anatomies. Gray bars, facultative bipedalism; black bars, obligate bipedalism; red bar, approximate timing of hypothesized hominin evolutionary shift. Asterisks indicate that the fossil was mirrored. BAR 349'00, curved juvenile manual proximal phalanx of Orrorin tugenensis; BAR 1901'01, pollical distal phalanx of O. tugenensis with extrinsic flexor insertion; OH 8, foot attributed to Homo habilis; KNM-WT 51260, MC3 with styloid process probably representing Homo erectus; U.W. 101 (foot 1), partial foot of H. naledi.
elongated phalanges (36). The suspensory hand of *Ar. ramidus*, its terrestrial plantigrade foot posture (5), and the retention of knuckle-walking features in the wrists of early hominins (1), as well as other African ape–like regions of the skeleton (53–55), provide indirect support for the knuckle-walking hypothesis. Our evolutionary modeling analyses and ancestral estimations strongly support a more *Pan*-like, rather than monkey–like, hand morphology for the *Homo–Pan* LCA, which raises the critical question of which hand posture the LCA would have used if it was not a knuckle walker. We interpret the evolution of African ape knuckle walking to be contingent upon evolution from an ancestor adapted to climbing and suspension (51). Therefore, suspension, vertical climbing, and knuckle walking are naturally linked from both functional and evolutionary perspectives. Ultimately, the definitive resolution of the knuckle-walking hypothesis relies on the recovery of direct fossil evidence of chimpanzee and gorilla postcranial evolutionary history, but we interpret the preponderance of the available fossil and comparative evidence to support hypotheses of a large-bodied, semiterrestrial, knuckle-walking LCA with adaptations to climbing, suspension, and heel-strike plantigrady (1, 5, 40, 53–55, 81).

**Hominin hand morphology, manipulative behavior, and bipedalism**

We identified an evolutionary shift between *Ar. ramidus* and all later hominins that has been subsequently maintained for 3 Ma or more despite the gradual evolution of refinements to the hand and wrist throughout the Plio–Pleistocene (30, 34, 56–58). This evolutionary shift occurs within temporal proximity of the earliest known stone tools at Lomekwi 3, Kenya dated to 3.3 to 3.5 Ma (59) and stone tool cut marks on bones from Dikika, Ethiopia dated to >3.39 Ma (60). The evolutionary shift in hominin hand morphology implies a major difference between *Ar. ramidus* and later hominins in manipulative performance capabilities (34). The morphology and anatomy of chimpanzee and bonobo hands do not impede their use of organic tools in the wild, including the occasional use of unmodified stones by some chimpanzee populations, but they lack the intrinsic hand proportions, joint dimensions, and hypertrophied thenar and hypothenar musculature required to generate the forceful precision grips characteristic of hard hammer percussion (34, 56, 61–64). The hand of *Ar. ramidus* also lacks many of these important functional characteristics related to stone tool manufacture. The presence of tool-using behaviors among all extant great apes, combined with the ubiquitous use of more elaborate tools by chimpanzees, implies that the *Homo–Pan* LCA probably used tools (64, 65). Currently, there is no archeological evidence for hominin–like stone tool manufacture earlier than ca. 3.3 to 3.5 Ma, but we acknowledge that future discoveries may show otherwise. Nonetheless, with a synthesis of new evidence, we interpret the shared-derived aspects of *Australopithecus* and *Homo* hand morphology and the functional implications of their difference from *Ar. ramidus* to reflect adaptation to manipulative behaviors probably involving stone tool manufacture in some manner (Fig. 7).

Compared to *Ar. ramidus*, the shorter nonpollical metacarpals and phalanges of *Australopithecus* and *Homo* allow for the formation of forceful precision grips when combined with an intrinsically elongated first ray (22, 25, 30, 34, 56, 61). The intrinsically longer MC1 of *Australopithecus* and *Homo* compared to *Ar. ramidus*, *Pan*, and *Gorilla* increases the moment arms of the thenar muscles (34, 62), which enables them to generate large-magnitude internal moments to oppose large-magnitude external moments generated by tool reaction forces hypothesized to be characteristic of hard hammer percussion (63). The increased dorsopalmar depth of the MC1 head relative to hand size in *Australopithecus* and *Homo* is consistent with the production of larger-magnitude internal moments at the pollical MCP joint (fig. S8A). Biomechanical modeling supports the hypothesis that human hand proportions enhance manual dexterity (66) and that the phenotypic optimum occupied by *Australopithecus* and *Homo* is consistent with enhanced manipulative performance (67).

At the same time, the *Au. afarensis* hand displays primitive retentions that may suggest some manipulative performance deficits relative to later hominins (56, 68, 69). The *Au. afarensis* MC5 (A.L. 333-14) has an ape-like palmar extension of its hamate facet, indicating articulation with the hook of the hamate, which is hypothesized to limit ulnar carpometacarpal flexion and supination useful for opposing the fifth digit with the pollex during manipulative behaviors (62, 69). Furthermore, the *Au. afarensis* MC1 (A.L. 333w-39) has a *Pan*-like origin of the first dorsal interosseous muscle (57), the trapezium (A.L. 333-80) has a strongly curved MC1 facet, and the MC3 (A.L. 333-16) lacks a styloid process (57, 58, 70). We acknowledge that the A.L. 333 hand sampled here is a composite of specimens potentially representing multiple individuals (25). A recent resampling analysis suggested that *Au. afarensis* might have had hand proportions slightly more *Gorilla*-like than previously thought (29). Our multivariate analyses show that the morphology of the *Au. afarensis* hand is most similar to *Au. sediba* and *Homo* but with some proximity to extant gorillas along PC1. Overall, the hand morphology of *Au. afarensis* is consistent with the ability to use human-like precision grips, but it might have limited its ability to make advanced stone tools (56).

A series of derived postcranial traits indicate that *Ar. ramidus* used an early form of facultative bipedality (3–5, 71–73). Primitive retentions in limb morphology, including a grasping hallux, signify the importance of arboreality in the positional repertoire of *Ar. ramidus* (3, 4) despite the presence of a terrestrial plantigrade quadrupedal ancestry shared by the hominine clade (5, 27). The loss of a grasping hallux that permitted the use of large-diameter arboreal supports occurs as early as ca. 3.7 Ma according to the morphology of the *Laetoli* footprints (74) and certainly by ca. 3.2 Ma as evidenced by the morphology of the *Au. afarensis* foot fossils from A.L. 333 (75). The ca. 3.4 Ma hominin partial foot from Burtele, Ethiopia (BRT-VP-2/73) was initially suggested to provide evidence for the retention of a more *Ardipithecus*-like grasping foot into the Pliocene (76). However, recent work has shown that the Burtele hominin foot had a hallucal proximal phalanx with a dorsally canted base; a medially widened, mildly dorsally domed first metatarsal head; low diaphyseal torsion; and a derived, asymmetric proximal articular surface of the first metatarsal, implying reduced hallucal grasping capabilities relative to *Ar. ramidus* and extant apes (77). The presence of these features show that the Burtele hominin had a more derived hallux relative to *Ar. ramidus* capable of increased metatarsophalangeal dorsiflexion in bipedalism. In contrast, the first metatarsal of *Ar. ramidus* from Aramis lacks these metatarsophalangeal and tarsometatarsal joint features characteristic of later hominins (73), including the Burtele hominin, and instead has an overall more *Gorilla*-like morphology (77).

The evolution of hominin hallucal adduction occurs alongside numerous other postcranial traits of the trunk, pelvis, and lower limb, indicative of a more derived form of obligate bipedalism in
Au. afarensis compared to Ar. ramidus (3, 4). To our knowledge, these observations provide the first fossil evidence to support the hypothesis of a coevolutionary shift in hominin postcranial morphology related to obligate bipedalism and manipulative behaviors probably involving stone tools (Fig. 7) (23–25, 28). The evolutionary mechanisms underlying this coevolutionary shift are unclear, but our observations complement the hypothesis that hominin hand evolution could have occurred as a correlated response to selection on pedal phalanges in relation to bipedalism due to genetic and developmental integration (28). Therefore, both paleontological and neontological data support the hypothesis that hominin hands and feet evolved in a correlated fashion (28).

Overall, our analysis demonstrates that the hand morphology of Ar. ramidus is more closely aligned with chimpanzees and bonobos than generalized quadrupeds, which supports the hypothesis that hominins evolved from an ancestor with a positional repertoire including suspension, vertical climbing, and, possibly, knuckle walking (1, 5–7, 27, 55). The foot skeleton of Ar. ramidus also suggests that the positional repertoire of the LCA included terrestrial plantigrade quadrupedalism and vertical climbing (5), supporting previous studies based on primate comparative anatomy and biomechanics (26, 27). The poor temporal resolution and fragmentary nature of the early hominin fossil and archeological records limit our ability to disentangle causes and effects in a satisfying manner. However, the hand morphology of Ar. ramidus differs substantially from that of Au. afarensis and all later hominins, marking a significant transition in the paleobiology and evolutionary history of hominins related to obligate bipedality, enhanced manipulation, and stone tool use.

New fossil discoveries in critical times and places will continue to throw light on this aspect of human origins.

MATERIALS AND METHODS

Data on extant anthropoid hand bones were collected at the following institutions: American Museum of Natural History, U.S. National Museum of Natural History, Harvard Museum of Comparative Zoology, Royal Museum for Central Africa, Cleveland Museum of Natural History, Berkeley Museum of Vertebrate Zoology, and Human Evolutionary Research Center at the University of California, Berkeley (table S10). The extant comparative sample includes 416 individuals representing hominoids, cercopithecoids, and platyrhines for a total of 53 extant taxa. The mean number of individuals per taxon is 7.8 (SD = 11.9). We also present analyses using a modified dataset for the inclusion of H. laietanus composed of 369 individuals and 45 taxa (table S11). Some taxa are well sampled (e.g., H. sapiens and P. troglodytes), whereas others are represented by one or a few individuals because of availability in museum collections. Data on Ar. ramidus (ARA-VP-6/500), Au. afarensis (A.L. 333 composite), Au. sediba (MH2), H. neanderthalensis (Shanidar 4), and H. laietanus (IP3) using digital calipers: maximum length (L), mediolateral breadth (BML) and dorso-palmar depth of the base (BDP), midshaft (MSML and MSDP), and head/trochlea (HML, HDP, TML, and TDP) (21, 36). The phalanges of the third digit were identified on the basis of their interdigital size and shape characteristics (e.g., length, robusticity, torsion, and asymmetries). We quantified proximal phalangeal curvature using photographs of phalanges using the included angle method following Susman and colleagues (37). The ARA-VP-6/500 partial hand of Ar. ramidus preserves pollical and nonpollical metacarpals and phalanges. Our analysis of the Ar. ramidus hand is focused on the well-preserved elements of the first and third rays (ARA-VP-6/500-015, MC1; ARA-VP-6/500-030, PP3; ARA-VP-6/500-059, IP3), but the second, third, and fourth metacarpals of the ARA-VP-6/500 hand are incomplete, so we instead included the MC5 (ARA-VP-6/500-036, MC5). We repeated our analysis of the Ar. ramidus hand using the available measurements of the fourth metacarpal (ARA-VP-6/500-010), whose length was estimated using regression by Lovejoy and colleagues (2), where possible. Our analysis of morphometric affinities is centered on the best-preserved elements of the Ar. ramidus hand to minimize uncertainty surrounding estimates of individual metrics given the primacy of questions surrounding its morphometric affinities. We took two approaches to evaluating the morphometric affinities of the Ar. ramidus hand. First, we standardized each raw measurement by their combined geometric mean to create scale-free shape variables for analysis. In addition, we log-transformed these shape-free variables because of the skewed distributions often produced by ratios. Second, we evaluated the size and scaling of metacarpal, proximal phalanx, and IP length relative to body mass. Body mass data for extant primates and estimates for fossil taxa (Au. afarensis, 39.1 kg; Au. sediba, 25.8 kg; H. naledi, 37.4 kg; H. neanderthalensis, 72 kg; H. laietanus, 33.5 kg; P. catalaunicus, 32.5 kg; D. guggenmosi, 31 kg) were culled from the literature (20, 40, 79–83). The body mass estimates for the ARA-VP-6/500 partial skeleton vary substantially, so we included both small (32.1 kg) and large (50.8 kg) estimates, as in previous studies (22, 40).

Our phylogenetic analyses use a consensus phylogeny based on molecular data of extant anthropoid primates with branch lengths proportional to elapsed time (84). Ar. ramidus was added to the consensus phylogeny for hominins as a stem hominin (85, 86). We used a branch length of 1.4 Ma for Ar. ramidus in accordance with the first appearance datum of Ardipithecus kadabba at 5.8 Ma and a last appearance datum of 4.4 Ma associated with the ARA-VP-6/500 partial skeleton (87). All other fossil hominin branch lengths were set to 1 Ma, except for H. neanderthalensis, whose branch length was estimated using a molecular method (84).

PCA on 26 scale-free variables was used to reduce the dimensionality of the dataset for evaluating morphometric affinities of the Ar. ramidus hand and for use of PC scores in subsequent evolutionary modeling analyses to maximize statistical power (42). In addition, PCA on 17 scale-free variables was used in supplementary analyses to include H. laietanus. Evolutionary modeling methods were used to test hypotheses about the link between hand morphology and locomotor behavior among anthropoid primates (38, 39). First, we used the ouch package (38) in R (88) to evaluate the fit of alternative a priori multiple-optimum OU models given our PC score data and phylogeny with an information criteria approach (e.g., AIC and AICc). Second, we used the “SURFACE” package (39) to fit a model without identifying selective regimes a priori and
compared it to our best-fitting a priori OU model usingouch. Last, we
carried out Monte Carlo simulations to evaluate model fit as an
alternative to information criteria (42).

We conducted ancestral estimations on PC scores derived from
our PCAs on our full dataset of 26 variables and our reduced dataset
of 17 variables using StableTraits software version 1.5 (44). Ances-
tral states were estimated using a constant-rate Brownian motion
model and a stable model. In both analyses, we ran two indepen-
dent Markov chains with 10,000,000 iterations at a thinning rate of
200, which resulted in 50,000 samples each. To prevent evolution-
ary rates from approaching zero, we used default priors on the evolu-
 tionary rate in StableTraits. The convergence of our two chains
was assessed by evaluation of the proportional scale reduction fac-
tor value, which hovered ~1 (89). The first half of the iterations was
discarded as burn-in. The Bayesian predictive information criterion
provided support for the stable model over the constant-rate Brownian
motion model (90). The ancestral state estimations and 95% credi-
ble intervals for the ancestral hominin and hominin nodes were
visualized using a phylomorphospace plot constructed with the
phytools package (45) in R (88).

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/
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View/request a protocol for this paper from Bio-protocol.

REFERENCES AND NOTES


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Ardipithecus hand provides evidence that humans and chimpanzees evolved from an ancestor with suspensory adaptations.

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