

## Supplementary Materials for

### Unraveling the atomic structure, ripening behavior, and electronic structure of supported Au<sub>20</sub> clusters

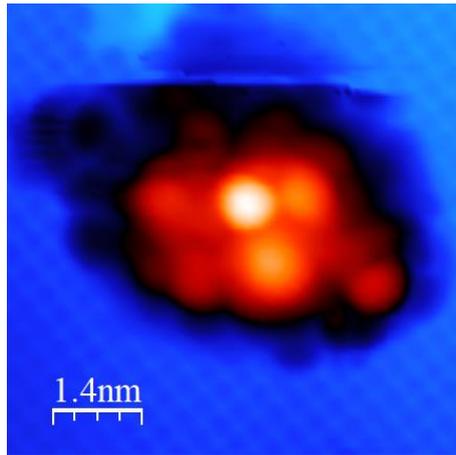
Zhe Li\*, Hsin-Yi Tiffany Chen, Koen Schouteden, Thomas Picot, Ting-Wei Liao, Aleksandr Seliverstov, Chris Van Haesendonck, Gianfranco Pacchioni, Ewald Janssens, Peter Lievens\*

\*Corresponding authors. Email: zhe.li@hit.edu.cn (Z.L.); peter.lievens@kuleuven.be (P.L.)

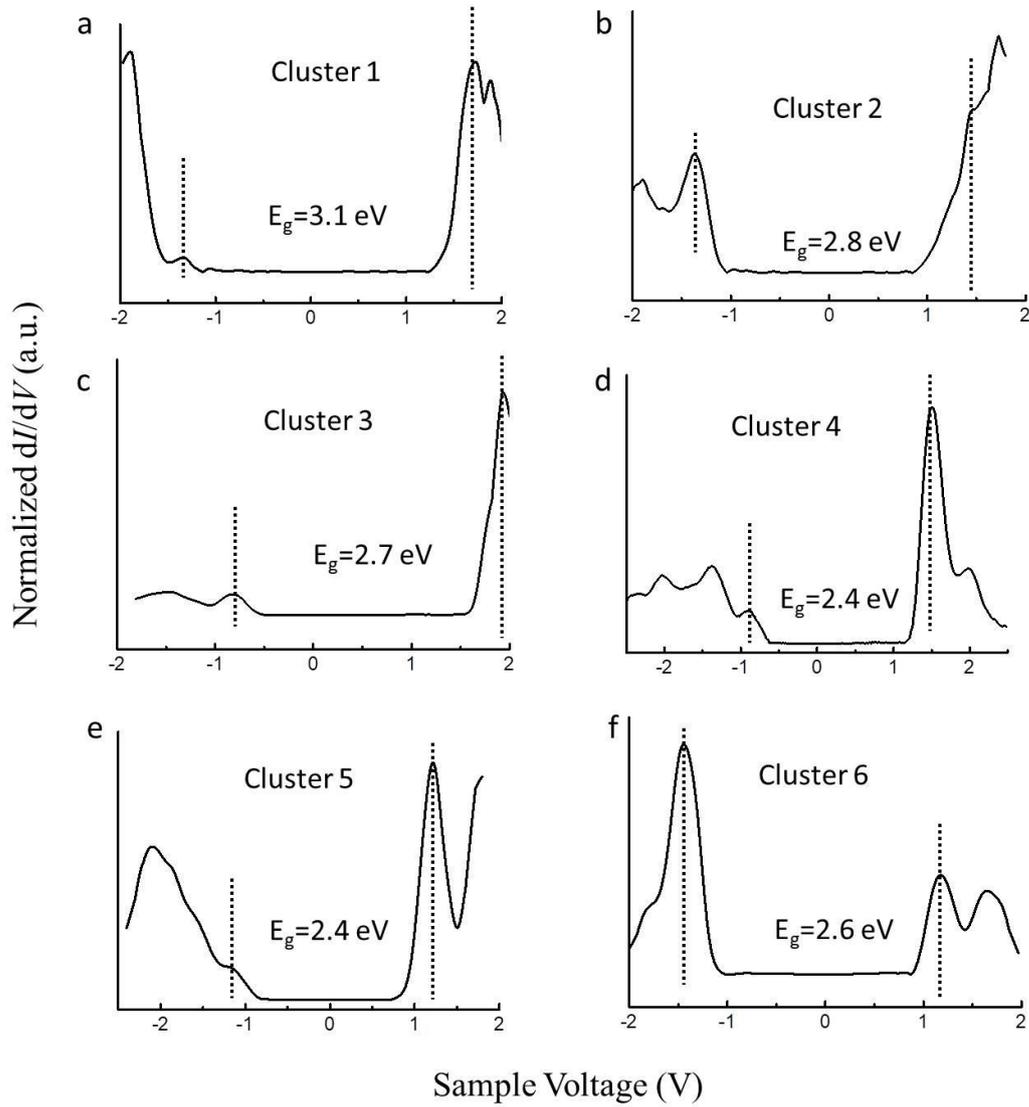
Published 3 January 2020, *Sci. Adv.* **6**, eaay4289 (2020)  
DOI: 10.1126/sciadv.aay4289

#### This PDF file includes:

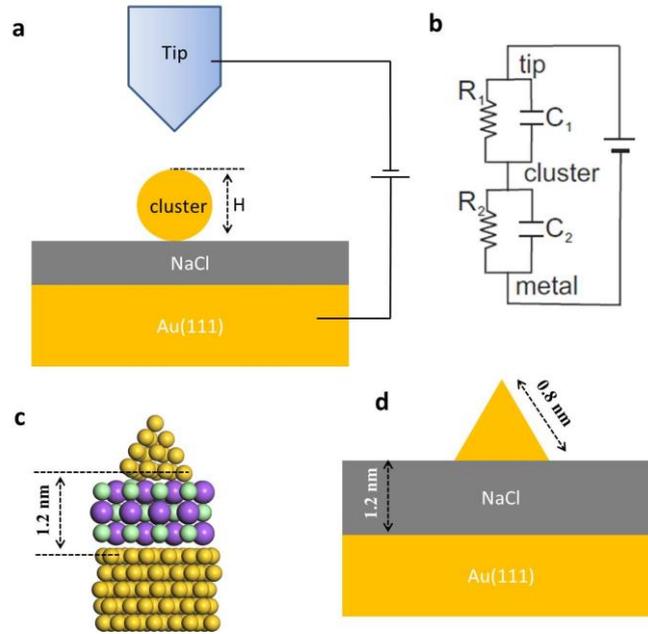
- Fig. S1. Additional (next to Fig. 1, B and C) STM topography image of a Au<sub>20</sub> cluster on 3L NaCl/Au(111), imaged with a Cl-functionalized tip.
- Fig. S2. Series of  $dI/dV$  spectra recorded on different Au<sub>20</sub> clusters with different conditions of STM tip apex.
- Fig. S3. Electrostatic model to estimate the capacitance of Au<sub>20</sub>/NaCl/Au(111) system.
- Fig. S4. Electrostatic model to estimate the capacitance of Au<sub>40</sub>/NaCl/Au(111) and Au<sub>60</sub>/NaCl/Au(111) systems.
- Fig. S5. High-resolution STM images for supported Au<sub>40</sub> and Au<sub>60</sub> clusters.
- Table S1. The relationship between distortion and the HL gap for supported Au<sub>20</sub>.
- Reference (42)



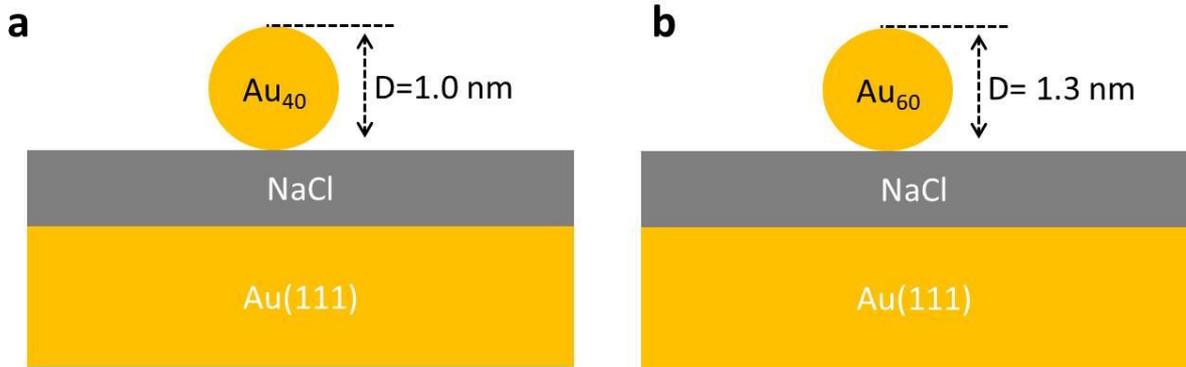
**Fig. S1. Additional (next to Fig. 1, B and C) STM topography image of a  $\text{Au}_{20}$  cluster on 3L NaCl/Au(111), imaged with a Cl-functionalized tip.** This image shows one protruding atom, but no clear tetrahedral feature. Tunneling condition:  $V = -2\text{V}$ ,  $I = 0.02 \text{ nA}$ .



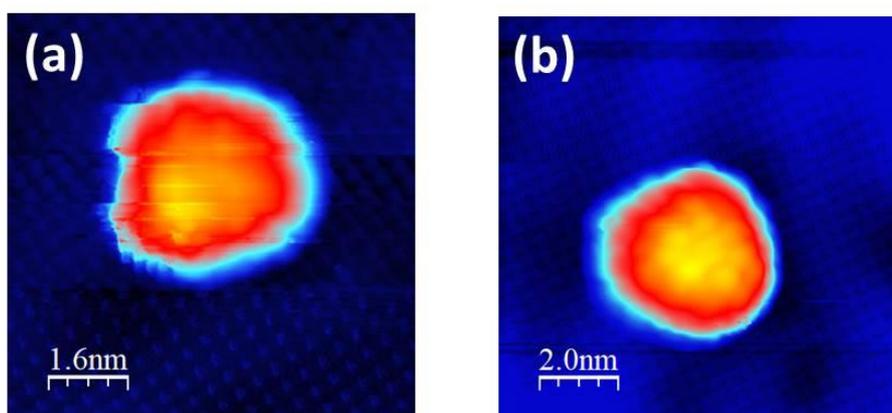
**Fig. S2. Series of  $dI/dV$  spectra recorded on different  $\text{Au}_{20}$  clusters with different conditions of STM tip apex.** Before performing the  $dI/dV$  measurements, the tip is modified on a bare Au(111) region. During searching for clusters in a large scanning area (typically  $200 \times 200 \text{ nm}^2$ ), the tip accidentally changes. So change of the tip apex for different  $\text{Au}_{20}$  images cannot be avoided. The size of the energy gap ( $E_g$ ) around the Fermi level (0V) is indicated in each figure.



**Fig. S3. Electrostatic model to estimate the capacitance of  $\text{Au}_{20}/\text{NaCl}/\text{Au}(111)$  system.** **a**, Scheme of the STM tip scanning on a cluster on  $\text{NaCl}/\text{Au}(111)$ . **b**, Equivalent electronic circuit of the tip-cluster- $\text{Au}(111)$  double barrier tunnel junction in **a**. **c**, Simulated structures of  $\text{Au}_{20}$  on 3L  $\text{NaCl}/\text{Au}(111)$ . **d**, Model used for calculation of the capacitance  $C_2$  between  $\text{Au}_{20}$  and  $\text{Au}(111)$ , where  $\text{Au}_{20}$  is modeled as an ideal tetrahedron. The edge of  $\text{Au}_{20}$  is 0.8 nm, which is consistent with the edge length obtained from the atomic model in Figure S3c/Figure 2(A). We assume that the gap between the  $\text{Au}_{20}$  metallic pyramid and the  $\text{Au}(111)$  metallic surface is completely filled with the  $\text{NaCl}$  dielectric material. Note that in the atomic model in Figure 2(A), the distance between the top Au atom and the  $\text{NaCl}$  surface is 0.94 nm because there is an additional gap of 0.29 nm between the bottom Au atoms and the top  $\text{NaCl}$  layer. The dielectric constant  $\epsilon=5.5$  (42) of bulk  $\text{NaCl}$  is used. Dimensions for  $\text{NaCl}$ : 30 nm  $\times$  30 nm  $\times$  1.2 nm; Dimensions for  $\text{Au}(111)$ : 30 nm  $\times$  30 nm  $\times$  30 nm. The capacitance between  $\text{Au}_{20}$  and  $\text{Au}(111)$  is numerically calculated using the COMSOL Multiphysics software, which gives  $C_2 = 1.5 \times 10^{-19}$  F. When  $C_1 \ll C_2$ , the charging energy is approximately  $E_c = e^2/C_2 = 1.1$  eV. Considering a 5% uncertainty for the dimensions,  $C_2$  is in the range between  $1.4 \times 10^{-19}$  F and  $1.5 \times 10^{-19}$  F, resulting the charging energy with an uncertainty of about 0.1 eV.



**Fig. S4. Electrostatic model to estimate the capacitance of Au<sub>40</sub>/NaCl/Au(111) and Au<sub>60</sub>/NaCl/Au(111) systems.** Model used for calculation of the capacitance  $C_2$  **a**, between Au<sub>40</sub> and Au(111), and **b**, between Au<sub>60</sub> and Au(111). Both Au<sub>40</sub> and Au<sub>60</sub> are modeled as an ideal sphere, with diameter of 1.0 nm and 1.3 nm, respectively. Parameters for NaCl and Au(111) are the same as those used in fig. S3d. Applying similar analysis, the calculated capacitance using COMSOL Multiphysics software for **a** and **b** is  $1.0 \times 10^{-19}$  F and  $1.4 \times 10^{-19}$  F, respectively, resulting in charging energies of 1.6 eV and 1.1 eV, respectively. Considering a 5% uncertainty for the dimensions,  $C_2$  for Au<sub>40</sub> (Au<sub>60</sub>) is in the range between  $1.0 \times 10^{-19}$  F and  $1.1 \times 10^{-19}$  F (between  $1.4 \times 10^{-19}$  F and  $1.7 \times 10^{-19}$  F), resulting the charging energy between 1.5 eV to 1.6 eV (between 0.9 eV to 1.1 eV).



**Fig. S5. High-resolution STM images for supported Au<sub>40</sub> and Au<sub>60</sub> clusters.** Atomic-resolution STM topography image of (a) a Au<sub>40</sub> agglomerate with height of 1.05 nm ( $V = -1.8$  V,  $I = 0.5$  nA), and (b) a Au<sub>60</sub> agglomerate with height of 1.4 nm ( $V = -1.5$  V,  $I = 0.075$  nA). Note that in both images there is atomic resolution on NaCl. For the Au<sub>40</sub> in (a) atoms are not resolved due to an unstable Cl-functionalized tip, while for the Au<sub>60</sub> in (b) several atoms on the top part of the cluster can be resolved.

**Table S1. The relationship between distortion and the HL gap for supported Au<sub>20</sub>.** The HL gaps (in eV) and their relative energies (in eV) of isolated Au<sub>20</sub> and supported Au<sub>20</sub> on 3L NaCl/Au(111) with different degrees of distortion from a perfect T<sub>d</sub>-symmetry tetrahedral structure. The three supported Au<sub>20</sub> structures are obtained by optimization of different initial configurations, e.g., Au<sub>20</sub> on different active sites of NaCl/Au(111) surfaces.

System	Deviation of Au <sub>20</sub> from T <sub>d</sub> symmetry <sup>a</sup> (Å)	Distortion percentage <sup>b</sup> (%)	HL gap (eV)	Relative energy (eV)
Isolated Au <sub>20</sub>	0	0	1.78	--
Supported Au <sub>20</sub> (I)	0.03	0.4	1.73	0.04
Supported Au <sub>20</sub> (II)	0.34	4.3	1.67	0.00
Supported Au <sub>20</sub> (III)	0.45	5.6	1.51	0.09

<sup>a</sup> It is defined as the largest displacement of an Au atom w.r.t. that with T<sub>d</sub> symmetry.

<sup>b</sup> These values are w.r.t. the edge length of 8 Å of Au<sub>20</sub>.